

=====  
Date: Wed, 28 Aug 2002 11:20:27 -0700  
Sender: AAPORNET@ASU.EDU  
From: Shapard Wolf <shap.wolf@ASU.EDU>  
Subject: September 1997 archive - one BIG message

This is the USC Listproc archive of AAPORNET messages for this entire month. It is one big message, in chronological order, just the way the USC archive stored it. You can search within this month with your browser's search function (usually Ctrl-F).

Turning this into individual messages that ASU's Listserv software can index and sort means a lot of reformatting. We will do this as time permits.

New messages are of course automatically formatted correctly, and I have converted November 1994 through January 1995 and June 2002 to the present.

Shap Wolf  
Survey Research Laboratory  
Arizona State University  
shap.wolf@asu.edu  
AAPORNET volunteer host

Begin archive:

-----  
Archive aapornet, file log9709.

Part 1/1, total size 245930 bytes:

----- Cut here -----

>From Eric.Rademacher@UC.Edu Tue Sep 2 07:23:09 1997

Received: from blues.fd1.uc.edu (blues.fd1.uc.edu [129.137.244.7])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id HAA25246 for <aapornet@usc.EDU>; Tue, 2 Sep 1997 07:23:05 -0700

(PDT)

Received: from 129.137.35.249 (ucipr48.ipr.uc.edu)

by UCBEH.SAN.UC.EDU (PMDF V5.0-8 #15949)

id <01IN5WL6WOYE937U69@UCBEH.SAN.UC.EDU>; Tue, 02 Sep 1997 10:21:06 -0500

(EST)

Date: Tue, 02 Sep 1997 10:21:06 -0500 (EST)

From: Eric Rademacher <Eric.Rademacher@UC.Edu>

Subject: Institute for Policy Research Job Opening

X-Sender: rademaew@ucbeh.san.uc.edu

To: por@frosty.irss.unc.EDU, aapornet@usc.EDU

Cc: Annette.Georgin@UC.Edu

Message-id: <01IN5WL6XRJC937U69@UCBEH.SAN.UC.EDU>

MIME-version: 1.0

X-Mailer: Windows Eudora Light Version 1.5.2

Content-type: text/plain; charset="us-ascii"

Content-transfer-encoding: 7BIT

Please excuse the cross posting. Please have any interested individuals  
respond in writing. Do not respond via email.

Thanks,

Eric Rademacher

\*\*\*\*\*

Research Associate

(Project Manager)

#### Job Description

The Institute for Policy Research (IPR) is seeking an experienced survey research professional to assist with the management and operation of the survey operations of the IPR including coding, data management, and recruiting and supervising field work personnel. This person will assist with the ongoing survey projects and programs of the IPR. This person will also assist with the management of the Institute's Computer-Aided-Telephone-Interviewing system. The Project Manager will develop interviewing and coding procedures and policies and will monitor project budgets.

#### Minimum Qualifications

Masters Degree in social sciences or behavioral sciences (or equivalent experience)

Demonstrated training and experience in survey research

Steadily increasing experience and responsibility in survey-based research

Experience with Computer-Aided-Telephone-Interviewing (CATI) Systems

Experience with financial data and procedures

Supervisory experience

Strong oral and written communication skills

Strong interpersonal skills

Familiarity with data base management, ability to design, write and modify complex statistical programs

Send letter, resume, and three or more names of references by September 19, 1997 to:

Dr. Alfred J. Tuchfarber, Director  
Institute for Policy Research  
University of Cincinnati  
P. O. Box 210132  
Cincinnati, OH 45221-0132

The University of Cincinnati is an Affirmative Action, Equal Opportunity Employer.

>From beniger@rcf.usc.edu Wed Sep 3 13:55:35 1997

Received: from almaak.usc.edu (almaak.usc.edu [128.125.19.166])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id NAA25441 for <aapornet@usc.edu>; Wed, 3 Sep 1997 13:55:32 -0700

(PDT)

Received: from localhost (beniger@localhost)

by almaak.usc.edu (8.8.4/8.8.4/usc) with SMTP

id NAA26007 for <aapornet@usc.edu>; Wed, 3 Sep 1997 13:55:33 -0700

(PDT)

Date: Wed, 3 Sep 1997 13:55:33 -0700 (PDT)

From: James Beniger <beniger@rcf.usc.edu>

To: AAPORNET <aapornet@usc.edu>

Subject: Request for Help

Message-ID: <Pine.SV4.3.94.970903134655.23921A-100000@almaak.usc.edu>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

>From Jim Beniger...

REQUEST FOR IDEAS--A STANDING FAVOR TO ASK OF YOU

With Labor Day's passage marking the end of summer, it's time to turn our collective attention back to the business of AAPOR.

Our Council will next meet on Friday, September 19, in New York.

If you have any matters to bring to Council's attention at this meeting, please either post them here on AAPORNET for general membership discussion or, if you prefer, send them directly to me or to some other Council member.

One obvious and important topic for Council's attention, while many of our plans for our 53rd Annual Conference in St. Louis are still in relatively formative stages, is your opinion of last May's meeting in Norfolk. What venerable Conference traditions would you like us to change, at least as a

trial? What recent changes would you like to see changed in some new way, or perhaps changed back to the tradition?

Among less pressing but I think no less important business, I would personally welcome your reactions to the following questions: How do you see your own work and profession changing in the decades ahead, both for better and for worse? Among the positive changes, which do you think AAPOR might help to foster or promote? Among the negative changes, which do you think AAPOR might act to mitigate or prevent in some way?

Answers to all derivative questions beginning with "how" will, of course, be especially welcomed.

-- Jim

\*\*\*\*\*

>From bnash@marketdecisions.com Thu Sep 4 08:10:33 1997

Received: from mail.gwi.net (root@mail.gwi.net [204.120.68.142])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id IAA08244 for <aapornet@usc.edu>; Thu, 4 Sep 1997 08:10:30 -0700

(PDT)

Received: from pld44.gwi.net (pld44.gwi.net [204.248.133.107]) by

mail.gwi.net (8.8.5/8.7.3) with SMTP id LAA02418 for <aapornet@usc.edu>;

Thu, 4 Sep 1997 11:10:29 -0400 (EDT)

Date: Thu, 4 Sep 1997 11:10:29 -0400 (EDT)

Message-Id: <199709041510.LAA02418@mail.gwi.net>

X-Sender: bnash@mail.biddeford.com (Unverified)

X-Mailer: Windows Eudora Light Version 1.5.2

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

To: aapornet@usc.edu

From: Barbara Nash <bnash@marketdecisions.com>

Subject: Scanning software

We need information on scanning software/hardware available for processing a large number of long self-administered surveys (about 26 pages long). We're looking at scanners in the mid-range that process 10-20 pages per minute.

Some of our concerns are...

- What is the best way to handle booklets?
  
- Should there be a preprinted respondent ID on every page?
  
- How difficult is the programming and how many hours should we expect it to take?
  
- How do we handle pages where the respondent writes in answers vs. fills in bubbles?
  
- What questions should we ask when comparing scanners?

As you can see, we're new at this -- ANY thoughts you might have, as well as your experiences, will be very much appreciated!

Thanks!

Barbara Nash

bnash@marketdecisions.com

Market Decisions

(207) 767-6440

>From Teri.Nelson@NAU.EDU Thu Sep 4 14:36:32 1997

Received: from logjam.ucc.nau.edu (mailgate.nau.edu [134.114.96.14])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id OAA25563 for <aapornet@usc.edu>; Thu, 4 Sep 1997 14:36:30 -0700

(PDT)

Received: from pc116 (pc116.sbs.nau.edu)

by NAUVAX.UCC.NAU.EDU (PMDF V5.1-6 #18805)

with SMTP id <01IN8Y135JW890SL04@NAUVAX.UCC.NAU.EDU> for aapornet@usc.edu;

Thu, 4 Sep 1997 14:34:32 MST

Date: Thu, 04 Sep 1997 14:34:32 -0700 (MST)

From: Teri Nelson <Teri.Nelson@NAU.EDU>

Subject: Call for sex ed questions

X-Sender: Bray@nau.edu

To: aapornet@usc.edu

Message-id: <01IN8Y137FEY90SL04@NAUVAX.UCC.NAU.EDU>

MIME-version: 1.0

X-Mailer: Windows Eudora Light Version 1.5.2

Content-type: text/plain; charset="us-ascii"

The Social Research Laboratory at NAU is currently designing a module for our statewide poll of Arizona on sex education curricula and policy and attitudes toward them. I am interested in knowing if anyone else has



previous experience with such issues, and if so, if survey questions and/or results would be available for us to peruse. Thanks!

>From FFullam@aol.com Thu Sep 4 19:58:25 1997

Received: from emout05.mail.aol.com (emout05.mx.aol.com [198.81.11.96])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id TAA25187 for <aapornet@usc.edu>; Thu, 4 Sep 1997 19:58:23 -0700

(PDT)

From: FFullam@aol.com

Received: (from root@localhost)

by emout05.mail.aol.com (8.7.6/8.7.3/AOL-2.0.0)

id WAA20334 for aapornet@usc.edu;

Thu, 4 Sep 1997 22:57:52 -0400 (EDT)

Date: Thu, 4 Sep 1997 22:57:52 -0400 (EDT)

Message-ID: <970904225541\_-1633104420@emout05.mail.aol.com>

To: aapornet@usc.edu

Subject: Re: Scanning software

I have some experience with Teleform, a scanning system. I mainly process many single page forms but do some work with multiple page forms. It works very well for me for simple processing

My question back to you is, are you sure you want to jump into complex, long form scanning? When all is said and done you may find that keypunching is faster, more accurate and cheaper. I would be curious to hear what advice you get.

Francis Fullam

>From penaloza@wsrl.cee.uwex.edu Fri Sep 5 08:45:37 1997

Received: from shaggy.uwex.edu (shaggy.uwex.edu [144.92.105.17])  
by usc.edu (8.8.4/8.7.2/usc) with SMTP  
id IAA13434 for <aapornet@usc.edu>; Fri, 5 Sep 1997 08:45:35 -0700  
(PDT)

Received: from by shaggy.uwex.edu;  
id AB26047; 4.1/42; Fri, 5 Sep 97 10:45:55 CDT

Received: From SCOOBY/WORKQUEUE by charon1.uwex.edu  
via Charon-4.0-VROOM with IPX id 100.970905101951.6624;  
05 Sep 97 10:45:24 +600

Message-Id: <MAILQUEUE-101.970905101932.256@conted2.uwex.edu>

From: "Linda Penalosa 5-2796" <penalosa@wsrl.cee.uwex.edu>

To: aapornet@usc.edu

Date: Fri, 5 Sep 1997 10:19:32 CDT

Subject: Drunk Driving

X-Mailer: Pegasus Mail for Windows (v2.23)

Colleagues -

We are interested in adding a couple of questions about lowering the  
legal level of blood alcohol to our quarterly opinion poll this fall.

There is some discussion in Wisconsin about lowering the legal blood  
alcohol level from 0.10 to .08, and want to see what support or  
opposition exists to do this (yes, it is actually unrelated to Princess  
Di; just one of those coincidences).

Does anyone out there have similar questions you've already asked on  
a state or national poll? Any ideas about support for lowering legal  
limits, or other similar means of getting tough on drunk driving?

I'm interested in question wordings, as well as results that we might be

able to compare with Wisconsin data. Please reply to me at:

penaloza@wsrl.cee.uwex.edu

Thanks!

\*\*\*\*\*

Linda J. Penaloza

Associate Director and Head of Field Operations

Wisconsin Survey Research Laboratory

1930 Monroe St., Madison, WI 53711

Phone: (608) 265-2796 FAX: (608) 262-3366

email: penaloza@wsrl.cee.uwex.edu

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"The researches of many commentators have already thrown much darkness on this subject, and it is probable that, if they continue, we shall soon know nothing at all about it." - Mark Twain

>From yogi@vt.edu Fri Sep 5 08:50:33 1997

Received: from quackerjack.cc.vt.edu (quackerjack.cc.vt.edu [198.82.160.250])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id IAA15575 for <aapornet@usc.edu>; Fri, 5 Sep 1997 08:50:30 -0700

(PDT)

Received: from sable.cc.vt.edu (sable.cc.vt.edu [128.173.16.30])

by quackerjack.cc.vt.edu (8.8.5/8.8.5) with ESMTP id LAA27427

for <aapornet@usc.edu>; Fri, 5 Sep 1997 11:50:30 -0400 (EDT)

Received: from vtcsr.vt.edu (as5200-7.sl016.cns.vt.edu [128.173.38.125])

by sable.cc.vt.edu (8.8.5/8.8.6) with SMTP id LAA01250

for <aapornet@usc.edu>; Fri, 5 Sep 1997 11:50:22 -0400 (EDT)

Message-Id: <3.0.3.32.19970905114121.006973d4@mail.vt.edu>

X-Sender: yogi@mail.vt.edu

X-Mailer: QUALCOMM Windows Eudora Pro Version 3.0.3 (32)

Date: Fri, 05 Sep 1997 11:41:21 +0600

To: aapornet@usc.edu

From: Alan Bayer <yogi@vt.edu>

Subject: Re: Scanning Software

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

>Date: Thu, 04 Sep 1997 12:30:11 +0600

>To: aapornet@usc.edu

>From: Alan Bayer <yogi@vt.edu>

>Subject: Re: Scanning software

>In-Reply-To: <199709041510.LAA02418@mail.gwi.net>

>Mime-Version: 1.0

>Content-Type: text/plain; charset="us-ascii"

>

>

>I recently heard a presentation from a vendor who can answer virtually

>all of these questions re. optical scanning and scanners. He sells

>both the software to design instruments (including multiple pages, and

>front/back

>forms) and the hardware for processing opscan sheets (products from

>National Computer Systems of Minneapolis -- a vendor I used for opscan

>surveys of up to 500K persons (college students) a few years ago, and found

>to be excellent). The person who gave the recent demo presentation here is  
>Bill Gray, Bill Gray and Associates, 88 Stanmore Road, Richmond, VA 23236,  
>804/330-7048. I have no personal relationship with this individual, nor  
>any proprietary interest.

>

>Alan Bayer

>Virginia Tech

>

>

>At 11:10 AM 9/4/97 -0400, you wrote:

>>We need information on scanning software/hardware available for  
>>processing a large number of long self-administered surveys (about 26  
>>pages long). We're looking at scanners in the mid-range that process  
>>10-20 pages per minute.

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>>Some of our concerns are...

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>>- What is the best way to handle booklets?

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>>- How difficult is the programming and how many hours should we expect

>>it to take?

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>>fills in bubbles?

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>>- What questions should we ask when comparing scanners?

>>

>>As you can see, we're new at this -- ANY thoughts you might have, as  
>>well as your experiences, will be very much appreciated!

>>

>>Thanks!

>>

>>Barbara Nash

>>bnash@marketdecisions.com

>>Market Decisions

>>(207) 767-6440

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> (@ @)

>=====w---V---w=====

> Alan E. Bayer, Director e-mail: yogi@vt.edu

> Center for Survey Research phone: (540)231-3676

> 207 W. Roanoke St. fax: (540)231-3678

> Virginia Tech

> Blacksburg, VA 24061-0543 USA

>

> <http://www.vt.edu:10021/centers/survey/index.html>

>=====

> Never play leapfrog with a unicorn

>=====

>

>

>

>From dg@sei.cmu.edu Fri Sep 5 10:11:48 1997

Received: from as0a.sei.cmu.edu (as0a.sei.cmu.edu [128.237.1.11])

by usc.edu (8.8.4/8.7.2/usc) with SMTP

id KAA06905 for <aapornet@usc.edu>; Fri, 5 Sep 1997 10:11:45 -0700

(PDT)

Received: from ts3a.sei.cmu.edu by as0a.sei.cmu.edu (8.6.10/3.00)

id NAA01508; Fri, 5 Sep 1997 13:03:32 -0400

Received: from localhost.sei.cmu.edu by ts3a.sei.cmu.edu (8.6.10/3.00)

id NAA24890; Fri, 5 Sep 1997 13:03:31 -0400

Message-Id: <199709051703.NAA24890@ts3a.sei.cmu.edu>

To: aapornet@usc.edu

cc: noel@datacap.com

Subject: Re: Scanning software

In-reply-to: Your message of "Thu, 04 Sep 97 11:10:29 EDT."

<199709041510.LAA02418@mail.gwi.net>

Date: Fri, 05 Sep 97 13:03:30 EDT

From: Dennis R Goldenson <dg@sei.cmu.edu>

Barbara and others,

Let me also suggest that you seriously consider the PaperKeyboard suite of products. We've been using them for several years now and have been much more than satisfied with them. They were among the first to provide flexible, cost effective solutions that are based in (intelligent) software that uses general purpose scanners.

Multiple page forms (made for a human respondent not a machine) are no

problem. The programming is straightforward for anyone with a decent background in high level languages. (I haven't looked lately, but I understand that the interface now requires even less programming.) The software also can handle limited character recognition, although we often enter verbatim text separately and join the data later.

The vendor, Datacap Inc, sells hardware bundled with the software, but I've found them to be quite helpful and honest in recommending hardware even if you purchase it elsewhere. You can find them on the world wide web (<http://www.datacap.com/Index.htm>) and/or contact them at 580 White Plains Road, Tarrytown, NY 10591 USA, 914/332-7515. Feel free to use my name.

Regards,

Dennis

---

Dennis R. Goldenson                      Office: 412/268-8506  
Software Engineering Institute              Fax: 412/268-5758  
Carnegie Mellon University              Internet: dg@sei.cmu.edu  
Pittsburgh, PA 15213-3890

In message <199709041510.LAA02418@mail.gwi.net> you write:

>

> We need information on scanning software/hardware available for  
> processing a large number of long self-administered surveys (about 26  
> pages long). We're looking at scanners in the mid-range that process  
> 10-20 pages per minute.

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> fills in bubbles?

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> As you can see, we're new at this -- ANY thoughts you might have, as

> well as your experiences, will be very much appreciated!

>

> Thanks!

>

> Barbara Nash

> bnash@marketdecisions.com

> Market Decisions

> (207) 767-6440

>

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>From norm\_c@ix.netcom.com Fri Sep 5 16:00:56 1997

Received: from dfw-ix14.ix.netcom.com (dfw-ix14.ix.netcom.com  
[206.214.98.14])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id QAA29779 for <aapornet@usc.edu>; Fri, 5 Sep 1997 16:00:50 -0700  
(PDT)

Received: (from smap@localhost)

by dfw-ix14.ix.netcom.com (8.8.4/8.8.4)

id SAA15949 for <aapornet@usc.edu>; Fri, 5 Sep 1997 18:00:12 -0500  
(CDT)

Received: from wck-ca11-22.ix.netcom.com(204.31.231.182) by  
dfw-ix14.ix.netcom.com via smap (V1.3)

id sma015900; Fri Sep 5 17:59:33 1997

Message-ID: <34108ED7.5C76@ix.netcom.com>

Date: Fri, 05 Sep 1997 15:59:35 -0700

From: Norm Constantine <norm\_c@ix.netcom.com>

Reply-To: norm\_c@ix.netcom.com

X-Mailer: Mozilla 3.01 (Win95; I)

MIME-Version: 1.0

To: aapornet@usc.edu

Subject: Re: Call for sex ed questions

References: <01IN8Y137FEY90SL04@NAUVAX.UCC.NAU.EDU>

Content-Type: text/plain; charset=us-ascii

Content-Transfer-Encoding: 7bit

Teri Nelson wrote:

>

> The Social Research Laboratory at NAU is currently desiging a module

> for our statewide poll of Arizona on sex education curricula and  
> policy and attitudes toward them. I am interested in knowing if  
> anyone else has previous experience with such issues, and if so, if  
> survey questions and/or results would be available for us to peruse.  
> Thanks!

See the Centers for Disease Control and Prevention's "School Health Policies and Programs Study (SHPPS), reported in the October, 1995 special issue of the Journal of School Health. Or call the Division of Adolescent and School Health at CDC in Atlanta for more recent information.

Norm

--

Norm Constantine, Ph.D.

Director, School and Community Health Research

WestEd, San Francisco

Phone: (510)284-8118 FAX: (510)284-8107

Email: norm\_c@ix.netcom.com -or- nconsta@wested.org

WestEd Home Page: <http://www.wested.org>

>From jwerner@jwdp.com Sun Sep 7 17:40:51 1997

Received: from vgnert.net (qmailr@vgnert.net [205.219.186.1])

by usc.edu (8.8.4/8.7.2/usc) with SMTP

id RAA21265 for <aapornet@usc.edu>; Sun, 7 Sep 1997 17:40:48 -0700

(PDT)

Received: (qmail 21060 invoked from network); 8 Sep 1997 00:40:53 -0000

Received: from plp2.vgnert.net (HELO jwdp.com) (205.219.186.102)

by vgnert.net with SMTP; 8 Sep 1997 00:40:53 -0000

Message-ID: <3413499C.312F2C5@jwdp.com>

Date: Sun, 07 Sep 1997 20:41:00 -0400

From: Jan Werner <jwerner@jwdp.com>

Reply-To: jwerner@jwdp.com

X-Mailer: Mozilla 4.02 [en] (Win95; U)

MIME-Version: 1.0

To: aapornet@usc.edu

Subject: Personal privacy and AAPOR code of ethics.

References: <Pine.SV4.3.94.970903134655.23921A-100000@almaak.usc.edu>

Content-Type: text/plain; charset=us-ascii

Content-Transfer-Encoding: 7bit

In a recent column in SLATE, the Microsoft online magazine, Bill Barnes, the SLATE program manager, provided an excellent overview of the difficulties in counting web site "readership". At the end of the article, Mr. Barnes notes parenthetically that:

...the off-line reader built into Microsoft's  
upcoming Internet Explorer 4.0 will actually send  
the server a log of the user's reading habits.

(the entire article may be read at:

<http://www.slate.com/webhead/97-08-08/webhead.asp>)

Internet Explorer 4 will be an integral part of the next version of Windows, so, if this is true, it would appear that within a few years, almost every personal computer will be using a system with a built-in capability for reporting on their behavior without their explicit knowledge or approval.

Alas, I find nothing in the AAPOR code of ethics that in any way condemns

the practice of gathering information from respondents without their informed consent. I strongly feel that, given growing concern about matters of personal privacy, this issue needs to be addressed as soon as possible.

I would hope that this might be raised at the AAPOR council meeting on September 19th.

Jan Werner  
jwerner@jwdp.com

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James Beniger wrote:

>

> REQUEST FOR IDEAS--A STANDING FAVOR TO ASK OF YOU

>

> Our Council will next meet on Friday, September 19, in New York. If

>you have any matters to bring to Council's attention at this meeting,

>please either post them here on AAPORNET for general membership

>discussion or, if you prefer, send them directly to me or to some

>other Council member. From dg@SEI.CMU.EDU Mon Sep 8 06:05:20 1997

Received: from as0a.sei.cmu.edu (as0a.sei.cmu.edu [128.237.1.11])

by usc.edu (8.8.4/8.7.2/usc) with SMTP

id GAA08753 for <aapornet@usc.edu>; Mon, 8 Sep 1997 06:05:19 -0700

(PDT)

Received: from ts3a.sei.cmu.edu by as0a.sei.cmu.edu (8.6.10/3.00)

id IAA21799; Mon, 8 Sep 1997 08:58:02 -0400

Received: from localhost.sei.cmu.edu by ts3a.sei.cmu.edu (8.6.10/3.00)

id IAA01582; Mon, 8 Sep 1997 08:58:02 -0400

Message-Id: <199709081258.IAA01582@ts3a.sei.cmu.edu>

To: aapornet@usc.edu

Subject: Re: Personal privacy and AAPOR code of ethics.

In-reply-to: Your message of "Sun, 07 Sep 97 20:41:00 EDT."

<3413499C.312F2C5@jwdp.com>

Date: Mon, 08 Sep 97 08:58:01 EDT

From: Dennis R Goldenson <dg@SEI.CMU.EDU>

Jan and others,

AAPOR could do a real service for the web community by clarifying the sorts of security and privacy issues that Jan raises. The technology makes it very easy to track people's behavior in quite some detail without their knowledge. Well meaning web masters (and browser developers) often do so without the slightest awareness of impropriety. This problem can only get worse as more and more business and social interaction takes place electronically.

Dennis Goldenson

---

Dennis R. Goldenson                      Office: 412/268-8506  
Software Engineering Institute              Fax: 412/268-5758  
Carnegie Mellon University              Internet: dg@sei.cmu.edu  
Pittsburgh, PA 15213-3890

In message <3413499C.312F2C5@jwdp.com> you write:

>

> In a recent column in SLATE, the Microsoft online magazine, Bill

> Barnes, the SLATE program manager, provided an excellent overview of  
> the difficulties in counting web site "readership". At the end of the  
> article, Mr. Barnes notes parenthetically that:

>

> ...the off-line reader built into Microsoft's  
> upcoming Internet Explorer 4.0 will actually send  
> the server a log of the user's reading habits.

>

> (the entire article may be read at:

> <http://www.slate.com/webhead/97-08-08/webhead.asp>)

>

> Internet Explorer 4 will be an integral part of the next version of  
> Windows, so, if this is true, it would appear that within a few years,  
> almost every personal computer will be using a system with a built-in  
> capability for reporting on their behavior without their explicit  
> knowledge or approval.

>

> Alas, I find nothing in the AAPOR code of ethics that in any way  
> condemns the practice of gathering information from respondents  
> without their informed consent. I strongly feel that, given growing  
> concern about matters of personal privacy, this issue needs to be  
> addressed as soon as possible.

>

> I would hope that this might be raised at the AAPOR council meeting on  
> September 19th.

>

> Jan Werner

> [jwerner@jwdp.com](mailto:jwerner@jwdp.com)

>

>

> \_\_\_\_\_

> James Beniger wrote:

>>

>> REQUEST FOR IDEAS--A STANDING FAVOR TO ASK OF YOU

>>

>> Our Council will next meet on Friday, September 19, in New York. If

>> you have any matters to bring to Council's attention at this

>> meeting, please either post them here on AAPORNET for general

>> membership discussion or, if you prefer, send them directly to me or

>> to some other Council member.

>

>From rasinski@norcmail.uchicago.edu Mon Sep 8 06:27:13 1997

Received: from genesis0.norc.uchicago.edu (genesis0.norc.uchicago.edu [128.135.45.38])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id GAA12612 for <aapornet@usc.edu>; Mon, 8 Sep 1997 06:27:11 -0700

(PDT)

From: rasinski@norcmail.uchicago.edu

Received: from norcmail.uchicago.edu (norcmail.uchicago.edu [128.135.45.4])

by genesis0.norc.uchicago.edu (8.8.5/8.8.5) with SMTP id IAA09802 for

<aapornet@usc.edu>; Mon, 8 Sep 1997 08:08:53 GMT

Received: from ccMail by norcmail.uchicago.edu (ccMail Link to SMTP R6.00.02)

id AA873724560; Mon, 08 Sep 97 08:16:03 -0600

Message-Id: <9709088737.AA873724560@norcmail.uchicago.edu>

X-Mailer: ccMail Link to SMTP R6.00.02

Date: Mon, 08 Sep 97 08:25:46 -0600



To: <aapornet@usc.edu>

Subject: Re: AAPORNET digest 601

MIME-Version: 1.0

Content-Type: text/plain; charset=US-ASCII

Content-Transfer-Encoding: 7bit

Jan Werner wrote...

In a recent column in SLATE, the Microsoft online magazine, Bill Barnes, the SLATE program manager, provided an excellent overview of the difficulties in counting web site "readership". At the end of the article, Mr. Barnes notes parenthetically that:

...the off-line reader built into Microsoft's upcoming Internet Explorer 4.0 will actually send the server a log of the user's reading habits.

(the entire article may be read at:

<http://www.slate.com/webhead/97-08-08/webhead.asp>)

Internet Explorer 4 will be an integral part of the next version of Windows, so, if this is true, it would appear that within a few years, almost every personal computer will be using a system with a built-in capability for reporting on their behavior without their explicit knowledge or approval.

Alas, I find nothing in the AAPOR code of ethics that in any way

condemns the practice of gathering information from respondents without their informed consent. I strongly feel that, given growing concern about matters of personal privacy, this issue needs to be addressed as soon as possible.

I would hope that this might be raised at the AAPOR council meeting on September 19th.

Jan Werner  
jwerner@jwdp.com

---

I wish to add that I am in complete agreement with Jan and thank her for bringing up the issue. Although I believe that the practice she describes is similar to collecting information about our purchases everytime we use a grocery store's "Preferred Customer" card. Still, I don't like the practice and it would be nice if AAPOR took a stand.

Ken Rasinski  
rasinski@norcmail.uchicago.edu

>From jwerner@jwdp.com Mon Sep 8 06:54:28 1997

Received: from vgnernet.net (vgnernet.net [205.219.186.1])

by usc.edu (8.8.4/8.7.2/usc) with SMTP

id GAA16681 for <aapornet@usc.edu>; Mon, 8 Sep 1997 06:54:27 -0700

(PDT)

Received: (qmail 2326 invoked from network); 8 Sep 1997 13:54:30 -0000

Received: from plp14.vgernet.net (HELO jwdp.com) (205.219.186.114)

by vgernet.net with SMTP; 8 Sep 1997 13:54:30 -0000

Message-ID: <3414039D.B211A5D@jwdp.com>

Date: Mon, 08 Sep 1997 09:54:37 -0400

From: Jan Werner <jwerner@jwdp.com>

Reply-To: jwerner@jwdp.com

X-Mailer: Mozilla 4.02 [en] (Win95; U)

MIME-Version: 1.0

To: aapornet@usc.edu

Subject: Re: AAPORNET digest 601

References: <9709088737.AA873724560@norcmail.uchicago.edu>

Content-Type: text/plain; charset=us-ascii

Content-Transfer-Encoding: 7bit

rasinski@norcmail.uchicago.edu wrote:

>

> I wish to add that I am in complete agreement with Jan and thank her  
> for bringing up the issue. Although I believe that the practice she  
> describes is similar to collecting information about our purchases  
> everytime we use a grocery store's "Preferred Customer" card. Still,  
> I don't like the practice and it would be nice if AAPOR took a  
> stand.

---

There is a major difference.

Presenting a grocery store card requires positive action by the consumer,  
who is explicitly aware, at the time the card is presented, of what

information is being provided and to whom.

This certainly does not appear to be the case with the proposed browser "feature".

Jan Werner

jwerner@jwdp.com

>From beniger@rcf.usc.edu Tue Sep 9 12:09:07 1997

Received: from almaak.usc.edu (almaak.usc.edu [128.125.19.166])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id MAA15884 for <aapornet@usc.edu>; Tue, 9 Sep 1997 12:09:05 -0700

(PDT)

Received: from localhost (beniger@localhost)

by almaak.usc.edu (8.8.4/8.8.4/usc) with SMTP

id MAA27731 for <aapornet@usc.edu>; Tue, 9 Sep 1997 12:09:06 -0700

(PDT)

Date: Tue, 9 Sep 1997 12:09:06 -0700 (PDT)

From: James Beniger <beniger@rcf.usc.edu>

To: AAPORNET <aapornet@usc.edu>

Subject: Call for Papers

Message-ID: <Pine.SV4.3.94.970909120311.16331I-100000@almaak.usc.edu>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

Date: Tue, 9 Sep 1997

From: "Allan L. McCutcheon" <amccutch@unlinfo.unl.edu>

CALL FOR PAPERS

on the Sociology of Religion

The Sociology of Religion session for the 1998 Midwest Sociological Society is seeking papers for the April 2-5 meeting in the Kansas City Hyatt Regency Crown Center. Papers on all aspects of the the field are sought. Titles and extended abstracts (2-3 pages) should be sent to:

Allan McCutcheon  
Department of Sociology  
723 Oldfather Hall  
University of Nebraska-Lincoln  
Lincoln, NE 68588-0324

The required abstracts should be sent by October 1. Please note that completed papers will also be accepted.

\*\*\*\*\*

>From billt@tidalwave.net Tue Sep 9 20:41:56 1997

Received: from proteus.tidalwave.net (proteus.nicom.com [208.206.112.1])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id UAA06402 for <aapornet@usc.edu>; Tue, 9 Sep 1997 20:41:54 -0700

(PDT)

Received: from default ([208.220.25.12]) by proteus.tidalwave.net

(Netscape Messaging Server 3.0) with SMTP id AAA3476

for <aapornet@usc.edu>; Tue, 9 Sep 1997 23:27:45 -0400

Message-ID: <341616DE.22BB@Tidalwave.net>

Date: Tue, 09 Sep 1997 23:41:18 -0400

From: "Bill Thompson" <billt@tidalwave.net>

Reply-To: billt@Tidalwave.net

X-Mailer: Mozilla 3.0C (Win95; I)

MIME-Version: 1.0

To: aapornet@usc.edu

Subject: Internet survey research vendors

Content-Type: text/plain; charset=us-ascii

Content-Transfer-Encoding: 7bit

Hello all,

My company, KRC Research & Consulting in Washington DC & NY is interested in learning about any vendors you have used to conduct online research.

We have a relationship with a few vendors and are looking to find out more about those companies that provide this service to companies like ours.

Who have you used, what are their weaknesses & strengths? What types of research have you done with them? Do they have any specialties? What types of audiences can they target?

Any information you could provide would be helpful. You may respond to me directly at either of the following addresses:

billt@tidalwave.net

bthompso@bsmg.com

Thanks

Bill Thompson

Senior Associate

KRC Research & Consulting

>From hjsmith@unm.edu Wed Sep 10 07:27:15 1997

Received: from crux.unm.edu (crux.unm.edu [129.24.8.11])

by usc.edu (8.8.4/8.7.2/usc) with SMTP

id HAA14423 for <aapornet@usc.edu>; Wed, 10 Sep 1997 07:27:12 -0700

(PDT)

Received: from DialupEudora(really [129.24.8.36]) by crux.unm.edu

via sendmail with esmtp

id <m0x8nhC-0000n8C@crux.unm.edu>

for <aapornet@usc.edu>; Wed, 10 Sep 1997 08:24:42 -0600 (MDT)

(Smail-3.2 1996-Jul-4 #50 built 1997-Aug-1)

Message-Id: <v03007800b03bfb816dcc@DialupEudora>

Mime-Version: 1.0

Content-Type: text/enriched; charset="us-ascii"

Date: Wed, 10 Sep 1997 08:33:12 +0100

To: aapornet@usc.edu

From: Hank Jenkins-Smith <hjsmith@unm.edu>

Subject: survey non-response bias among legislators

<smaller>At the UNM Institute for Public Policy, we have been in the field for 90 days with a mail survey about post-cold war national and personal security issues that includes a national sample of about 2500 state legislators from all fifty states (with companion samples of scientists and the public). We expected the response rate from legislators to be lower than that for most groups because: (1) some legislators are reluctant to put their views in writing; (2) most are busy professionals who may be unwilling to take the time to answer a questionnaire; and (3) some have a standing policy of not participating in any surveys. After following Dillman's total

design methods (repeated waves of mailings), our response rate is currently at approximately 22 percent. To better understand the implications for response bias, we are following-up with telephone contacts with 250 randomly selected "nonrespondents." We are asking them a short set of questions that will allow us to compare those who did not respond to the mail survey with those who did respond in terms of legislative demographics and selected items measuring attitudes and policy preferences. That process is in the early stages, but it appears to be eliciting responses from over 50 percent of those contacted by phone. If anyone has suggestions or references to previous research dealing with similar response rate issues, we would greatly appreciate your input.

I can be contacted at [hjsmith@unm.edu](mailto:hjsmith@unm.edu).

Thanks,

Hank Jenkins-Smith</smaller>

\*\*\*\*\*

Hank C. Jenkins-Smith

Director, UNM Institute for Public Policy

University of New Mexico



1805 Sigma Chi Rd. NE

Albuquerque, New Mexico 87131-1121

(505) 277-5028

(505) 277-3115 fax

\*\*\*\*\*

>From monson.6@osu.edu Wed Sep 10 08:38:19 1997

Received: from mail4.uts.ohio-state.edu (root@mail4.uts.ohio-state.edu  
[128.146.214.33])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id IAA28966 for <aapornet@usc.edu>; Wed, 10 Sep 1997 08:38:16 -0700  
(PDT)

Received: from nolan.47.acs.ohio-state.edu ([128.146.93.44] (may be forged))

by mail4.uts.ohio-state.edu (8.8.6/8.8.6) with SMTP id LAA02386

for <aapornet@usc.edu>; Wed, 10 Sep 1997 11:38:15 -0400 (EDT)

Date: Wed, 10 Sep 1997 11:38:15 -0400 (EDT)

Message-Id: <199709101538.LAA02386@mail4.uts.ohio-state.edu>

X-Sender: monson.6@pop.service.ohio-state.edu

X-Mailer: Windows Eudora Pro Version 2.1.2

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

To: aapornet@usc.edu

From: Quin Monson <monson.6@osu.edu>

Subject: response latency and CATI

I am looking for some help and advice on the best way to measure response latency using a CATI system. The survey center at Ohio State where I work uses CASES software which has the capability to start a timer upon finishing reading a question and then stop the timer as the respondent gives the answer.

Currently we rely on interviewers to start the timer and stop the timer with a keystroke. This works well when the question and response options are understood by the respondent and he/she responds unambiguously. My question is what to do when these conditions are not met. Can someone that uses response latency with CASES software share some programming expertise on how to efficiently deal with situations where the interviewer must re-read the question or skip back to change the answer. Currently we use a follow up to each timer that is not read to respondents where interviewers must respond whether or not they had to skip back and re-read the question. Can CASES be programmed to gather this information for us, eliminating the need for this extra question? In our current programming, skipping back restarts the timer and thus provides an invalid response time. When interviewers must do this, we simply set the response time as missing for analysis.

Also, if you use response latency measures in your CATI surveys, what instructions and training do you provide interviewers to help them collect valid response times and deal consistently with problem situations.

Thank you for your help,

Quin Monson

Ohio State University Survey Research Unit

>From s.kraus@mail.asic.csuohio.edu Wed Sep 10 09:07:37 1997  
Received: from csu-e.csuohio.edu (csu-e.csuohio.edu [137.148.5.27])  
by usc.edu (8.8.4/8.7.2/usc) with SMTP  
id JAA06937 for <aapornet@usc.edu>; Wed, 10 Sep 1997 09:07:35 -0700  
(PDT)  
Received: from myhost.csuohio.edu (portc22.async.csuohio.edu  
[137.148.59.32]) by csu-e.csuohio.edu (8.6.12/8.6.12) with SMTP id MAA03318  
for <aapornet@usc.edu>; Wed, 10 Sep 1997 12:07:35 -0400  
Date: Wed, 10 Sep 1997 12:07:35 -0400  
Message-Id: <199709101607.MAA03318@csu-e.csuohio.edu>  
X-Sender: s.kraus@bones.asic.csuohio.edu  
X-Mailer: Windows Eudora Light Version 1.5.2  
Mime-Version: 1.0  
Content-Type: text/plain; charset="us-ascii"  
To: aapornet@usc.edu  
From: "Dr. Sidney Kraus" <s.kraus@mail.asic.csuohio.edu>  
Subject: Re: survey non-response bias among legislators

I thought you may be interested in some suggestions for improving the response rate among legislators. Please disregard if you have considered these: 1)Legislators are motivated to respond to communication and other events that are perceived as helping to further their re-election; 2) They are quick to accept most invitations to behave or act when it is an opportunity for favorable press; 3)Requests that include reference to THEIR constituents are seriously considered; 4)Requests that are preceded or followed with personal calls by an influential are almost always acted upon; and 5)Inclusion of press releases about the project tailored for the legislator to send to his local media are perceived as coming from a professional who understands his or her needs, and is a response motivator.

Good luck.

At 08:33 AM 9/10/97 +0100, you wrote:

>At the UNM Institute for Public Policy, we have been in the field for

>90

days with a mail survey about post-cold war national and personal security

issues that includes a national sample of about 2500 state legislators from

all fifty states (with companion samples of scientists and the public). We

expected the response rate from legislators to be lower than that for most

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writing; (2) most are busy professionals who may be unwilling to take the

time to answer a questionnaire; and (3) some have a standing policy of not

participating in any surveys. After following Dillman's total design methods

(repeated waves of mailings), our response rate is currently at

approximately 22 percent. To better understand the implications for response

bias, we are following-up with telephone contacts with 250 randomly selected

"nonrespondents." We are asking them a short set of questions that will

allow us to compare those who did not respond to the mail survey with those

who did respond in terms of legislative demographics and selected items

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stages, but it appears to be eliciting responses from over 50 percent of

those contacted by phone. If anyone has suggestions or references to

previous research dealing with similar response rate issues, we would

greatly appreciate your input.

>

>I can be contacted at [hjsmith@unm.edu](mailto:hjsmith@unm.edu).

>

>Thanks,

>

>Hank Jenkins-Smith

>\*\*\*\*\*

>Hank C. Jenkins-Smith

>Director, UNM Institute for Public Policy

>University of New Mexico

>1805 Sigma Chi Rd. NE

>Albuquerque, New Mexico 87131-1121

>(505) 277-5028

>(505) 277-3115 fax

>\*\*\*\*\*

>

>From beniger@rcf.usc.edu Wed Sep 10 10:15:51 1997

Received: from almaak.usc.edu (almaak.usc.edu [128.125.19.166])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id KAA29292 for <aapornet@usc.edu>; Wed, 10 Sep 1997 10:15:48 -0700

(PDT)

Received: from localhost (beniger@localhost)

by almaak.usc.edu (8.8.4/8.8.4/usc) with SMTP

id KAA01608 for <aapornet@usc.edu>; Wed, 10 Sep 1997 10:15:48 -0700

(PDT)

Date: Wed, 10 Sep 1997 10:15:48 -0700 (PDT)

From: James Beniger <beniger@rcf.usc.edu>

To: AAPORNET <aapornet@usc.edu>

Subject: Anthropologists Against Race

Message-ID: <Pine.SV4.3.94.970910101321.1010A-100000@almaak.usc.edu>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

The Chronicle of Higher Education: Daily news

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## Anthropological Assn. Urges Government to Stop Collecting Data Based on Race

By DAVID L. WHEELER

The American Anthropological Association urged the federal government Monday to stop collecting statistics based on race.

The association said that the concept of race was based on pseudo-science, not science. "Biological-sounding terms add nothing to the precision, rigor, or factual basis of information being collected to characterize the identities of the American population," said a statement released by the association.

The anthropology group made the recommendation to the federal Office of Management and Budget, which is considering how to revise the racial and ethnic categories used in the official collection of information, including the

U.S. Census.

The association said that "ethnic origin," or a similar term, should be used to describe a person's ancestry in research.

Mary Margaret Overby, the association's director of governmental relations, said the anthropology group understood that research on human health, economic status, and other issues required that the U.S. population be divided into categories. "The idea is you can still track them," she said. "They just don't need to be labeled as race."

The association's statement said that racial categories have grown out of European folk taxonomies and wildly inaccurate misperceptions. Caucasians, for instance, were once believed to be descended from people in the Caucasus Mountains and to possess the world's most perfect skulls.

Dr. Overby said that discussion of new ways to study human variability would be a major theme in the association's

newsletters and at its annual meeting, in  
November.

The association has posted background  
information on the issue, as well as a  
statement of its position on race-based  
statistics, on the World-Wide Web, at  
<http://www.ameranthassn.org/ombprin.htm>

---

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All rights reserved.

>From RUSCIANO@enigma.rider.edu Wed Sep 10 11:57:29 1997

Received: from enigma.RIDER.EDU (enigma.rider.edu [192.107.45.2])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id LAA25881 for <AAPORNET@USC.EDU>; Wed, 10 Sep 1997 11:57:27 -0700

(PDT)

From: RUSCIANO@enigma.rider.edu

Received: from enigma.rider.edu by enigma.rider.edu (PMDF V5.1-8 #23246) id

<01INHCF89S08ZECS2@enigma.rider.edu> for AAPORNET@USC.EDU; Wed, 10 Sep

1997 14:53:47 EDT

Date: Wed, 10 Sep 1997 14:53:46 -0400 (EDT)

Subject: A controversy in public opinion research

To: AAPORNET@USC.EDU

Message-id: <01INHCF89S08ZECS2@enigma.rider.edu>

X-VMS-To: AAPORNET@USC.EDU

MIME-version: 1.0

Content-type: TEXT/PLAIN; CHARSET=US-ASCII



From: IN%"rusciano@genius.rider.edu" 10-SEP-1997 14:21:40.14

To: IN%"rusciano@genius.rider.edu" "rusciano"

CC: IN%"rusciano@genius.rider.edu" "rusciano"

Subj: A controversy in public opinion research

Return-path: <RUSCIANO@genius.rider.edu>

Received: from genius.rider.edu by genius.rider.edu (PMDF V5.1-7 #23246) id  
<01INHBAEJN348Y7PSG@genius.rider.edu> for rusciano; Wed, 10 Sep 1997  
14:21:06 EDT

Date: Wed, 10 Sep 1997 14:21:02 -0400 (EDT)

From: rusciano@genius.rider.edu

Subject: A controversy in public opinion research

In-reply-to:

<Pine.PMDF.3.95.970910135037.541197886A-100000@genius.rider.edu>

To: rusciano <rusciano@genius.rider.edu>

Cc: rusciano <rusciano@genius.rider.edu>

Message-id: <Pine.PMDF.3.95.970910135354.541196368A-100000@genius.rider.edu>

MIME-version: 1.0

Content-type: TEXT/PLAIN; charset=US-ASCII

Dear Fellow AAPORneters:

I read a message earlier this month which asked if the AAPORnet was discontinued, and someone replied "No, it was just sleeping." Well, I hope that we all are rested from the summer, and that my message does not come as an abrupt awakening, like someone shaking a person out of a good dream. I feel, however, that there is a controversy which has arisen in our field which we as an organization must discuss. As many of you are probably

aware, Christopher Simpson of American University wrote an article for the Journal of Communication, where he criticized Elisabeth Noelle-Neumann for having totalitarian tendencies, and for reflecting these in her research work. While many of the accusations are old news, the statements about her research are of direct interest to us. Basically, he makes two statements: that the SPIRAL OF SILENCE clearly shows totalitarian influences and impulses; and that Noelle-Neumann's survey research work is slanted to reflect these politics, especially in that text. Since AAPOR chose the SPIRAL OF SILENCE as one of the hundred most influential texts in public opinion research in the past fifty years at our anniversary conference, I feel a discussion of these issues is warranted. I have noted below some observations which I feel respond to Professor Simpson. Please pardon the length of this message, but I feel we must discuss these issues, given the nature of his accusations.

Generally, I am disturbed by the manner and content of Mr. Simpson's article for the following reasons:

- o his personality analysis is based upon faulty methodological assumptions-- Mr. Simpson begins his analysis with Noelle-Neumann's writings, and then abstracts back to what her personality and motivations "must have been" fifty years ago. Most scholars have abandoned this type of psychobiography for four reasons: first, it rests upon suspect constructions of "personality" which are essentially unknowable without extensive information not available to the author (see Simpson, pp. 151-152); second, it fails the logical test of prediction, since the personality constructed can easily explain opposite behavior or reactions to those the author describes; third, it allows no room for growth, change, or learning in a person's life-- an odd methodology to apply to a scholar; and fourth, there

is a tendency for analysts to select interpretations of past events that fit their theory, rather than adjusting the schema to conflicting facts.

Further, the analogy between Heidegger and Noelle-Neumann is inappropriate; Heidegger developed his philosophy first, and then declared Nazism to be consistent with his thinking. As such, the philosopher himself established this linkage. Noelle-Neumann, by contrast, explicitly distinguishes her theory from Nazi ideology. That linkage is Simpson's construction, not hers.

o he does not appear to understand the theory he is attacking-- Mr. Simpson attacks the "spiral of silence" theory as anti-democratic because it emphasizes "non-rational motivations." In fact, non-rational motivations are commonly discussed in democratic theory. Kenneth Arrow proved that rational individuals will never be able to reach a social welfare function by voting, given certain minimal conditions. Mancur Olson and others proved that rational individuals are unlikely to participate in collective action that benefits all. Indeed, I wrote an entire book showing how the threat of social isolation can overcome these problems and make democracy possible if the costs of silence are kept within reasonable limits. (See *Isolation and Paradox: Defining "the Public" in Modern Political Analysis*).

o he omits relevant facts about Noelle-Neumann's writings-- Mr. Simpson argues that Noelle-Neumann uses her survey research to promote false ideas, especially ideas which are threatening to immigrants. In fact, the Allensbach Institute published a special report on the subject of anti-immigrant violence, highlighting surveys showing that right-wing extremists were among the least popular individuals in

Germany-- even less acceptable than drug addicts. To quote the report, which was widely disseminated internationally: "right-wing rioters and hooligans, who until recently believed they were admired or at least tolerated by a silent majority of the German population, were operating under completely false assumptions." This statement was supported by survey data from the Institute.

o he states that the "spiral of silence" contradicts the Jeffersonian model of democracy, without noting the historical referents of either theory-- Noelle-Neumann bases much of her analysis on theories drawn from John Locke; in particular, she quotes liberally from Locke's statements about the "law of opinion and reputation" in his *Essay Concerning Human Understanding*. Locke was also, however, the primary inspiration for Jefferson's writings, most notably, the Declaration of Independence. Is Simpson arguing that Jefferson somehow misinterpreted Locke? The notion of silencing of dissenting opinions in a democracy also has a distinguished pedigree. Consider this quotation from Alexis de Tocqueville's *Democracy in America*: "in America, as long as the majority is still undecided, discussion is carried on; but as soon as its decision is irrevocably pronounced, every one is silent, and the friends as well as the opponents of the measure unite in assenting to its propriety." One may debate whether this is a positive tendency (de Tocqueville did not think so), but it is a legitimate sociological observation.

o his evidence of "totalitarian influences" often stretches credulity-- at one point, Simpson argues that Noelle-Neumann derived the idea for the "train test" described in the *Spiral of Silence*, in which individuals are asked if they would discuss certain controversial subjects on a crowded train, from intelligence-gathering operations practiced on

trains by the Nazis. One can more easily find a more modern antecedent: the "guerilla theater" practiced by dissidents in Chile during the Pinochet regime. According to this practice, a group of players would board a bus or a train, and begin a conversation about conditions in the country; after a certain drama was acted out, and the indirect message was conveyed that the government was at fault, all the players would exit the public transportation. The idea, of course, was to stimulate conversation and affect opinion among the passengers. In fact, I discussed this analogy with Professor Noelle-Neumann when she was presenting some preliminary descriptions of the train test.

Indeed, a more direct referent to the train test is the focus group, where individuals are encouraged to speak about certain topics, and a monitor observes what is expressed or not expressed, and how opinions change as a result. One assumes that Professor Simpson does not believe focus groups derived from sinister motives.

o his method of argument tends to silence dissent-- Mr. Simpson states in the *Journal of Communication* that "Those who publish Elisabeth Noelle-Neumann's work are right-wing publishers." A comment like this can have a chilling effect upon publishers accepting a scholar's work, for fear of being tainted by the "right-wing" characterization.

Further, I am not familiar with Noelle-Neumann's entire (extensive) bibliography, but I know that she has been published by the University of Chicago Press, Greenwood Publishers, *Public Opinion Quarterly*, the *Frankfurter Allgemeine Zeitung*, and the *Journal of Communication*, where Professor Simpson's article appears, to name but a few reputable sources. Such arguments paint those who defend Professor Noelle-Neumann as Nazi apologists or opportunists motivated by self-interest (apparently, Simpson

stated the latter accusation explicitly at the International Communication Conference in Montreal). As academics, we have been down this road before-- being accused of being "dupes" of totalitarian influences, or "fellow travellers" if we find certain ideas useful or provocative. Such accusations can only serve to have a chilling effect upon academic discourse, leading to the very silencing of dissenting opinions that Mr. Simpson decries in his article.

To summarize, the main flaw in Simpson's approach to the "sociology of knowledge" regarding Noelle-Neumann's theory is that "personality analysis" can easily yield conclusions opposite from his. For instance, by adopting a "learning model" of personality development, one can argue that Noelle-Neumann is a democratic theorist who seeks, along with the communitarian theorists, to find the "social skin" which binds democratic societies together; that she is a staunch fighter against anti-immigrant violence, in that she uses the resources of the Allensbach Institute to show the unpopularity of right-wing extremists, even while acknowledging, as a social scientist, the problems of assimilation; and that she has learned valuable lessons about the dangers of totalitarianism from having lived under such a regime. These conclusions seem at least as reasonable as the ones Simpson reaches. To build an argument where the evidence and approach can easily produce the opposite conclusion is questionable scholarship at best; to use this scholarship as the basis for scurrilous accusations should give all of us pause. I am concerned that care was not taken in this instance, and when one is dealing with an individual's reputation, the utmost care is required.

However, a more important issue is at stake. In order to

avoid repeating the horrors of the Holocaust, we desperately need the insights of those who existed under the monstrous regime responsible for it. To refuse to listen to these individuals because we are so afraid of somehow being misled is an act of intellectual cowardice. There is much to learn from Noelle- Neumann's writings. Mr. Simpson's article warns us to be fearful of the "hidden messages" implied by certain ideas, and so he attacks the person behind them. If we are naive and timid enough to accept such an attack, ideas are the least of what we have to fear.

I would add that these are the thoughts of one person on an issue which I feel we, as an organization are compelled to address. It is not intended as an attack upon anyone, notably Mr. Simpson. I really would like to hear others' opinions on this issue, but please, no flaming-- enough vitriol has already been expended in this discussion, and we have no need to add to it.

Frank Rusciano

Professor, Political Science

>From beniger@rcf.usc.edu Wed Sep 10 12:15:23 1997

Received: from almaak.usc.edu (almaak.usc.edu [128.125.19.166])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id MAA05194 for <aapornet@usc.edu>; Wed, 10 Sep 1997 12:15:15 -0700

(PDT)

Received: from localhost (beniger@localhost)

by almaak.usc.edu (8.8.4/8.8.4/usc) with SMTP

id MAA03360 for <aapornet@usc.edu>; Wed, 10 Sep 1997 12:15:12 -0700

(PDT)

Date: Wed, 10 Sep 1997 12:15:12 -0700 (PDT)

From: James Beniger <beniger@rcf.usc.edu>

Reply-To: James Beniger <beniger@rcf.usc.edu>

To: AAPORNET <aapornet@usc.edu>

Subject: National Longitudinal Health Survey Results in JAMA

Message-ID: <Pine.SV4.3.94.970910121141.2574A-100000@almaak.usc.edu>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

The Chronicle of Higher Education: Daily news

Wednesday, September 10, 1997

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Closeness to Parents Helps Health  
of Teen-Agers, Researchers Say

By DAVID L. WHEELER

Results from what researchers say is the largest survey ever done of adolescent health suggest that a sense of closeness with others, at home and at school, will help keep teen-agers from engaging in risky behavior, including smoking, drinking, and fighting.

The common wisdom about raising adolescents is that parents have little influence on them, but the study suggests that parents may play a large role in



steering their teen-age children away from trouble and into productive pursuits.

"These findings offer the parents of America a blueprint for what works in protecting their kids from harm," said J. Richard Udry, a professor of maternal and child health at the University of North Carolina at Chapel Hill, who helped to conduct the study.

Parents should let their adolescents know that they care about them, the researchers said. Parents should also share activities with their children and try to be home at the same time as their children so they are available to talk.

Since 1994, Dr. Udry and other researchers at the University of North Carolina and the University of Minnesota have conducted what is known as the National Longitudinal Study of Adolescent Health. The first results from the study, published in Tuesday's issue of The Journal of the American Medical Association, were based on 12,000 interviews with parents and teen-agers in

their homes.

While many American teen-agers are thriving, the study found, many others are at risk of harm or ill health.

One-third of the teen-age respondents said they had smoked marijuana, 3.5 per cent said they had attempted suicide in the past year, and more than 17 per cent said they drank alcohol more than once a month. Almost half of the respondents in high school said they had engaged in sexual intercourse. Over 12 per cent of the adolescents interviewed said they had carried a weapon in the previous 30 days, and one-quarter said they had been a victim of violence.

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>From slosh@garnet.acns.fsu.edu Wed Sep 10 18:34:04 1997

Received: from mailer.fsu.edu (mailer.fsu.edu [128.186.6.122])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id SAA23252 for <aapornet@usc.edu>; Wed, 10 Sep 1997 18:33:59 -0700

(PDT)

Received: from garnet.acns.fsu.edu (gmhub.acns.fsu.edu [128.186.195.10]) by

mailer.fsu.edu (8.8.5/8.7.3) with ESMTP id VAA02902 for <aapornet@usc.edu>;

Wed, 10 Sep 1997 21:33:59 -0400 (EDT)

Received: from garnet1.acns.fsu.edu (garnet1.acns.fsu.edu [128.186.195.2])

by garnet.acns.fsu.edu (8.8.6/8.8.6) with ESMTP id VAA22088;

Wed, 10 Sep 1997 21:33:57 -0400

Received: from fsu.edu.fsu.edu (xyp90.acns.fsu.edu [146.201.2.110])

by garnet1.acns.fsu.edu (8.8.6/8.8.6) with SMTP id VAA114952;

Wed, 10 Sep 1997 21:33:55 -0400

Date: Wed, 10 Sep 1997 21:33:55 -0400

Message-Id: <199709110133.VAA114952@garnet1.acns.fsu.edu>

X-Sender: slosh@garnet.acns.fsu.edu

X-Mailer: Windows Eudora Light Version 1.5.2

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

To: aapornet@usc.edu

From: Susan Losh <slosh@garnet.acns.fsu.edu>

Subject: Re: Human Subjects Committee. Come hang up any time

Cc: slosh@garnet.acns.fsu.edu

I am in the process of organizing a RDD survey of Leon County Florida. One set of questions is on health practices (no HIV, no sex, etc., nothing illegal) with a standard preamble. The administrative assistant for our Human Subjects Committee says we must have a statement in the Preamble that states "You can hang up any time you want."

I have never seen this in any preamble. Does anyone know of any systematic work, preferably experimental, that has seen differences (or similarities) in response rates and anything else influencing the quality of the data on whether respondents are invited to hang up at any point?

Any references appreciated. Any news from AAPOR and if there have been any code of ethnics issues on this one VERY appreciated.

The sponsors of the survey, the time, the use of the data, the random selection, etc are all explained in the preamble (it's pretty standard), including an "you only have to answer the questions you want to" statement.

You can reply to me at:

slosh@garnet.acns.fsu.edu

and I will post a summary of replies.

Thanks again,

Sue

If time were money, I'd be in debtor's prison.

Susan Losh

Department of Sociology

Florida State University  
Tallahassee FL 32306-2270

PHONE 850-644-1753

FAX 850-644-6208

>From boffey@norcmal.uchicago.edu Thu Sep 11 00:20:04 1997

Received: from genesis0.norc.uchicago.edu (genesis0.norc.uchicago.edu  
[128.135.45.38])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id AAA21628 for <aapornet@usc.edu>; Thu, 11 Sep 1997 00:20:00 -0700  
(PDT)

From: boffey@norcmal.uchicago.edu

Received: from norcmal.uchicago.edu (norcmal.uchicago.edu [128.135.45.4])

by genesis0.norc.uchicago.edu (8.8.5/8.8.5) with SMTP id CAA00393 for  
<aapornet@usc.edu>; Thu, 11 Sep 1997 02:01:38 GMT

Received: from ccMail by norcmal.uchicago.edu (ccMail Link to SMTP  
R6.00.02)

id AA873961720; Thu, 11 Sep 97 02:08:43 -0600

Message-Id: <9709118739.AA873961720@norcmal.uchicago.edu>

X-Mailer: ccMail Link to SMTP R6.00.02

Date: Thu, 11 Sep 97 02:19:35 -0600

To: <aapornet@usc.edu>

Subject: Rule: Re: AAPORNET digest 604

MIME-Version: 1.0

Content-Type: text/plain; charset=US-ASCII

Content-Transfer-Encoding: 7bit

(AUTO REPLY)

I am on vacation through September 23, 1997. Please direct requests to EUS.

>From lavrakas.1@osu.edu Thu Sep 11 04:43:59 1997

Received: from mail3.uts.ohio-state.edu (root@mail3.uts.ohio-state.edu [128.146.214.32])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id EAA19005 for <aapornet@usc.edu>; Thu, 11 Sep 1997 04:43:58 -0700

(PDT)

Received: from lavrakas.1.acs.ohio-state.edu ([128.146.93.45] (may be forged))

by mail3.uts.ohio-state.edu (8.8.6/8.8.6) with SMTP id HAA19504

for <aapornet@usc.edu>; Thu, 11 Sep 1997 07:44:00 -0400 (EDT)

Message-Id: <2.2.32.19970911114553.0081094c@pop.service.ohio-state.edu>

X-Sender: lavrakas.1@pop.service.ohio-state.edu

X-Mailer: Windows Eudora Pro Version 2.2 (32)

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

Date: Thu, 11 Sep 1997 07:45:53 -0400

To: aapornet@usc.edu

From: "Paul J. Lavrakas, Ph.D." <lavrakas.1@osu.edu>

Subject: Re: Human Subjects Committee. Come hang up any time

>Susan Losh wrote:

>

>I am in the process of organizing a RDD survey of Leon County Florida.

>One set of questions is on health practices (no HIV, no sex,  
>etc.,nothing  
>illegal) with a standard preamble. The administrative assistant for our  
>Human Subjects Committee says we must have a statement in the Preamble that  
>states "You can hang up any time you want.".....

-----

I can't help with pointing you to research findings that show anything about response rate differing by how explicit or implicit "voluntary participation" is made to respondents in telephone survey intro spiels, but I do want to share my opinion that I find your HSC person's demand simply outrageous. I urge you to refuse to state that explicitly and I urge all of us who must deal with HSC reviews to argue strongly against this type of misguided intrusion into what, we as ethical survey researcher -- not typical HSC members --, know best how to guard against.

\*\*\*\*\*  
\* Paul J. Lavrakas, Ph.D. \*  
\* Professor of Communication & Journalism \*  
\* Professor of Public Policy & Management \*  
\* Director, Survey Research Unit \*  
\* College of Social & Behavioral Sciences, Ohio State University \*  
\* Derby Hall [Room 0126], 154 N. Oval Mall, Columbus OH 43210 \*  
\* Voice: 614-292-3468 Fax: 614-292-6673 E-mail: lavrakas.1@osu.edu \*  
\*\*\*\*\*

>From SSDCF@UConnVM.UConn.Edu Thu Sep 11 05:33:36 1997  
Received: from UConnVM.UConn.Edu (uconnvm.uconn.edu [137.99.26.3])  
by usc.edu (8.8.4/8.7.2/usc) with SMTP

id FAA24919 for <aapornet@USC.EDU>; Thu, 11 Sep 1997 05:33:33 -0700

(PDT)

Received: from UCONNVM.UCONN.EDU by UConnVM.UConn.Edu (IBM VM SMTP V2R2)

with BSMTP id 8047; Thu, 11 Sep 97 08:33:45 EDT

Received: from UConnVM.UConn.Edu (NJE origin SSDCF@UCONNVM) by

UCONNVM.UCONN.EDU (LMail V1.2c/1.8c) with BSMTP id 8851; Thu, 11 Sep 1997

08:33:46 -0400

Date: Thu, 11 Sep 97 08:29:10 EDT

From: Don Ferree <SSDCF@UConnVM.UConn.Edu>

Subject: Re: Human Subjects Committee. Come hang up any time

To: Members of AAPORNET <aapornet@usc.edu>

In-Reply-To: <2.2.32.199709111114553.0081094c@pop.service.ohio-state.edu>

X-Mailer: MailBook 96.02.327

Message-Id: <970911.083345.EDT.SSDCF@UConnVM.UConn.Edu>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

Content-Transfer-Encoding: 7BIT

I agree strongly with Paul. Substantively, the assurance that participation is voluntary, and the lack on any implication that cooperation is required, should do it. And we probably cannot stop such committees from asking to see the script to make sure there is not IMPLIED coercion. That said, however, the intrusion of such committees must be strictly limited to the enforcement of appropriate privacy guarantees. Actually mandating a particular wording steps well beyond the bounds and next they will want to control content of instruments. (Beyond the STRICTLY limited requirement that one not ask about certain things because of institutional or regulatory standards -- even that would only extend to topic, not to wording, which should fall clearly within the researchers' discretion and expertise).



G. Donald Ferree, Jr.

(860) 486-4440 / 6308(FAX)

Institute for Social Inquiry/Roper Center SSDCF@UCONNVM.UCONN.EDU

University of Connecticut U-164 341 Mansfield Road, Room 421 Storrs CT

06269-1164

>From mlosch@blue.weeg.uiowa.edu Thu Sep 11 07:33:49 1997

Received: from ns-mx.uiowa.edu (ns-mx.uiowa.edu [128.255.1.4])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id HAA13742 for <aapornet@usc.edu>; Thu, 11 Sep 1997 07:33:46 -0700

(PDT)

Received: from ns-mx.uiowa.edu

(CLImodem358-201617ThuSep1109333119970telnet\_rdr29856@portal-4.weeg.uiowa.ed

u [128.255.56.104])

by ns-mx.uiowa.edu (8.8.5/8.8.5) with SMTP id JAA29820

for <aapornet@usc.edu>; Thu, 11 Sep 1997 09:33:39 -0500

Message-Id: <3.0.1.16.19970911093135.2e6f7cac@blue.weeg.uiowa.edu>

X-Sender: mlosch@blue.weeg.uiowa.edu

X-Mailer: Windows Eudora Pro Version 3.0.1 (16)

Date: Thu, 11 Sep 1997 09:31:35

To: aapornet@usc.edu

From: Mary Losch <mlosch@blue.weeg.uiowa.edu>

Subject: Re: Human Subjects Committee. Come hang up any time

In-Reply-To: <2.2.32.19970911114553.0081094c@pop.service.ohio-state.edu>

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

As someone who is both a survey research professional and the vice-chair of an IRB, I would suggest that you ask for the specific regulations requiring such language in a telephone interview introduction. I am aware of no such

requirements. Your language stating that respondents don't have to answer a specific question should be adequate in my opinion. If you have stated that their participation is voluntary and that the responses will be confidential/not identifiable, then you have met the key requirements for compliance with the regulations. It could be argued that explicitly telling an adult that they can hang up is in fact insulting rather than helpful..

Since, in most cases, the IRB approval is required for conducting the research, I would try to use the incident as an opportunity to clarify the important issues with the committee. In addition, you should determine whether actual IRB committee members are similarly inclined or whether this is a situation where a well-intended but perhaps inexperienced staff member is making the judgment.

I agree with Paul Lavrakas that you should not simply modify the wording. However, simply refusing may not further your cause and may delay your project longer. By asking for the regulations, providing information from other IRBs, and insisting on the basis for the request, I think you may be able to resolve the issue and prevent other such unreasonable requirements in the future. IRBs can in fact ask for specific wording in the introductions to interviews or cover letters of questionnaires since there are no consent forms of information summary documents as are required for most other research designs.

There are longstanding disagreements about the what falls within the purview of an IRB review. In fact, the regulations do allow reviews of the entire design and content of research protocols (i.e., stimuli) when assessing the cost/benefit analysis of a project. IRBs differ in their approach to reviews but suggestions about content are not outside of their

responsibilities in general. In this case, I think the request is unreasonable and you should press the committee to modify the request (if in fact it is coming from the committee).

Mary Losch, Ph.D.  
Program Director  
University of Iowa Social Science Institute  
(319) 335-2368  
mary-losch@uiowa.edu

At 07:45 AM 9/11/97 -0400, you wrote:

>>Susan Losh wrote:

>>

>>I am in the process of organizing a RDD survey of Leon County Florida.

>>One set of questions is on health practices (no HIV, no sex,

>>etc.,nothing

>>illegal) with a standard preamble. The administrative assistant for our

>>Human Subjects Committee says we must have a statement in the Preamble

that

>>states "You can hang up any time you want.".....

>-----

>----

>

>

>I can't help with pointing you to research findings that show anything

>about response rate differing by how explicit or implicit "voluntary

>participation" is made to respondents in telephone survey intro spiels,  
>but I do want to share my opinion that I find your HSC person's demand  
>simply outrageous. I urge you to refuse to state that explicitly and I  
>urge all of us who must deal with HSC reviews to argue strongly against  
>this type of misguided intrusion into what, we as ethical survey  
>researcher -- not typical HSC members --, know best how to guard against.

>\*\*\*\*\*

>\* Paul J. Lavrakas, Ph.D. \*

>\* Professor of Communication & Journalism \*

>\* Professor of Public Policy & Management \*

>\* Director, Survey Research Unit \*

>\* College of Social & Behavioral Sciences, Ohio State University \*

>\* Derby Hall [Room 0126], 154 N. Oval Mall, Columbus OH 43210 \*

>\* Voice: 614-292-3468 Fax: 614-292-6673 E-mail: lavrakas.1@osu.edu \*

>\*\*\*\*\*

>

>

>From DOrourke@SRL.UIC.EDU Thu Sep 11 08:47:13 1997

Received: from eeyore.cc.uic.edu (EEYORE.CC.UIC.EDU [128.248.171.51])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id IAA00415 for <aapornet@usc.edu>; Thu, 11 Sep 1997 08:47:10 -0700

(PDT)

Received: from SRL.UIC.EDU (MAIL.SRL.UIC.EDU [128.248.232.55])

by eeyore.cc.uic.edu (8.8.5/8.8.5) with SMTP id KAA20400

for <aapornet@usc.edu>; Thu, 11 Sep 1997 10:44:25 -0500 (CDT)

Received: from main-Message\_Server by SRL.UIC.EDU

with Novell\_GroupWise; Thu, 11 Sep 1997 10:42:12 -0500

Message-Id: <s417cb04.098@SRL.UIC.EDU>

X-Mailer: Novell GroupWise 4.1

Date: Thu, 11 Sep 1997 10:44:05 -0500

From: "Diane O'Rourke" <DOroure@SRL.UIC.EDU>

To: aapornet@usc.edu

Subject: You can hang up

As someone else has mentioned, telling someone they can hang up at any time could be considered insulting their intelligence. It certainly sounds like one person's (not too good) idea. The IRBs that I am aware of typically accept (1) the implicit understanding by adults that, when they receive a phone call, they can hang up initially or later on (with no explicit statement in the intro) or (2) the short statement that "your participation is voluntary." Particularly with the increasing problem of telemarketing, does anyone believe that people don't understand this? (Perhaps the statement "we're not selling anything" would allay more concerns.)

Two good sources of literature on informed consent (although most of it is about confidentiality) are: (of course the best source is Eleanor Singer herself!)

Singer, Eleanor. "Informed Consent and Survey Response: A Summary of the Empirical Literature." *Journal of Official Statistics*, Vol. 9, No. 2, 1993, pp. 361-375. (The entire issue is about Confidentiality and Data Access.)

Singer, E , Von Thurn, D, and Miller, E. "Confidentiality Assurances and Response: A Qualitative Review of the Experimental Literature" *Public Opinion Quarterly*, Vol. 59, No. 1, Spring 1995, pp. 66-77.

Diane O'Rourke  
Survey Research Laboratory  
Univ. of Illinois

>From igem100@indyunix.iupui.edu Thu Sep 11 09:13:00 1997  
Received: from iris.iupui.edu (root@iris.iupui.edu [134.68.220.32])  
by usc.edu (8.8.4/8.7.2/usc) with ESMTP  
id JAA06994 for <aapornet@usc.edu>; Thu, 11 Sep 1997 09:12:55 -0700  
(PDT)

From: igem100@indyunix.iupui.edu  
Received: from champion.iupui.edu (igem100@champion.iupui.edu  
[134.68.220.44])  
by iris.iupui.edu (8.8.7/8.8.7) with SMTP id LAA18525;  
Thu, 11 Sep 1997 11:12:54 -0500 (EST)

Date: Thu, 11 Sep 1997 11:12:53 -0500 (EST)

X-Sender: igem100@champion.iupui.edu

To: Susan Losh <slosh@garnet.acns.fsu.edu>

cc: aapornet@usc.edu

Subject: Re: Human Subjects Committee. Come hang up any time

In-Reply-To: <199709110133.VAA114952@garnet1.acns.fsu.edu>

Message-ID: <Pine.HPP.3.95.970911110758.3661A-100000@champion.iupui.edu>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

I must agree with all that has been said. Many IRB's do not understand the regulations and all too often have never even read them. In my case, I am at a medical school and they are even to the point of suggesting that ringing a telephone could "cause a psychotic response" so we should not be allowed to make telephone calls. I was able to defeat that insanity, from a

psychiatrist ironically, but still find that IRB's do not know what they can and can not do. In some sense every faculty member thinks they are a lawyer. They get on IRB's and think they are saving mankind. While I have a strong concern for ethics, I do think it goes both ways. Sometimes I think we need an IRB of researchers to review IRB's. In no case should introductory wording be changed as they suggest (in their tiny minds "ordered"). That is not within their jurisdiction AT ALL. Ask for the reg. citation and stand tall. Brian Vargus Director, Indiana University Public Opinion Laboratory

>From vince\_scardino@abtassoc.com Thu Sep 11 10:34:34 1997

Received: from abtmail.abtassoc.com (abtmail.abtassoc.com [198.105.0.7])  
by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id KAA09864 for <aapornet@usc.edu>; Thu, 11 Sep 1997 10:34:23 -0700  
(PDT)

From: vince\_scardino@abtassoc.com

Received: from hadrian.abtassoc.com (hadrian.abtassoc.com [198.105.0.2])  
by abtmail.abtassoc.com (8.8.6/8.8.6/LeftBank-Abtassoc1.0) with SMTP

id NAA19352;

Thu, 11 Sep 1997 13:37:10 -0400

Received: from [10.121.0.2] by hadrian.abtassoc.com

via smtpd (for abtmail.abtassoc.com [198.105.0.7]) with SMTP; 11  
Sep 1997 17:41:04 UT

Received: from cc:Mail by abtgwy.abtassoc.com

id AA874010767; Thu, 11 Sep 97 13:45:53 est

Date: Thu, 11 Sep 97 13:45:53 est

Message-Id: <9708118740.AA874010767@abtgwy.abtassoc.com>

To: aapornet@usc.edu

Cc: slosh@garnet.acns.fsu.edu

Subject: Re[2]: Human Subjects Committee. Come hang up any time

Sue,

It's unfortunate that your Human Subjects Committee has such a stupid administrative assistant. Is this the first time that your Human Subjects Committee has ever met? Is this the first time the admin ass't has ever faced this kind of issue? How come this issue hasn't come up before this?

Generally, HSCs and IRBs are concerned with weighing the potential benefits and risks to subjects in biomedical and behavioral research -- with the obvious objectives to minimize the risks to individuals and ensuring that the potential benefits outweigh the risks. They are also concerned about obtaining informed consent and protecting the privacy rights of individuals.

You may want to look at the Legislative History behind the National Research Act of 1974 for some insights behind the creation of Human Subjects Committees. Protecting respondents who are asked to participate in a telephone interview was NOT one of the abuses that led to the passage of the Act.

You may also want to take a look at the Privacy Act of 1974, which is probably much more relevant. Disclosures required by the Privacy Act include informing the respondent [a] the authority/legislation which authorizes the solicitation of information; [b] how the information will be used; [c] whether participation is mandatory or voluntary; and [d] the effects, if any, of not providing all or any part of the requested information. Most federal agencies



feel they are complying with both the spirit and the letter of the law by simply telling respondents something like "your participation is entirely voluntary and you are free not to answer particular question ..."

You are unlikely to come across any serious experimental research that tests differential response rates by inviting potential respondents to hang up. Survey researchers concerned about response rates have more serious issues to investigate than Beavis & Butthead "let's tell them to hang up, Dude" suggestions.

Good luck -- it sounds like you'll need it.

Vince Scardino, Abt Associates Inc.

Cambridge, MA 02138

e-mail: vince\_scardino@abtassoc.com

---

\_\_\_\_\_ Reply Separator

---

Subject: Re: Human Subjects Committee. Come hang up any time

Author: aapornet@usc.edu at internet

Date: 9/10/97 9:48 PM

I am in the process of organizing a RDD survey of Leon County Florida. One set of questions is on health practices (no HIV, no sex, etc., nothing illegal) with a standard preamble. The administrative assistant for our Human Subjects Committee says we must have a statement in the Preamble that states "You can hang up any time you want."

I have never seen this in any preamble. Does anyone know of any systematic work, preferably experimental, that has seen differences (or similarities) in response rates and anything else influencing the quality of the data on whether respondents are invited to hang up at any point?

Any references appreciated. Any news from AAPOR and if there have been any code of ethnics issues on this one VERY appreciated.

The sponsors of the survey, the time, the use of the data, the random selection, etc are all explained in the preamble (it's pretty standard), including an "you only have to answer the questions you want to" statement.

You can reply to me at:

slosh@garnet.acns.fsu.edu

and I will post a summary of replies.

Thanks again,

Sue

If time were money, I'd be in debtor's prison.

Susan Losh

Department of Sociology

Florida State University

Tallahassee FL 32306-2270

PHONE 850-644-1753

FAX 850-644-6208

>From selzerco@concentric.net Thu Sep 11 10:56:50 1997

Received: from darius.concentric.net (darius.concentric.net  
[207.155.184.79])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id KAA16811 for <aapornet@usc.edu>; Thu, 11 Sep 1997 10:56:45 -0700  
(PDT)

Received: from mcfeely.concentric.net (mcfeely [207.155.184.83])

by darius.concentric.net (8.8.7/(97/08/22 5.5))

id NAA25591; Thu, 11 Sep 1997 13:56:46 -0400 (EDT)

[1-800-745-2747 The Concentric Network]

Received: from crc3.concentric.net (cnc168044.concentric.net  
[206.173.171.44])

by mcfeely.concentric.net (8.8.7)

id NAA14558; Thu, 11 Sep 1997 13:56:44 -0400 (EDT)

Message-ID: <34183212.79FB@concentric.net>

Date: Thu, 11 Sep 1997 13:01:54 -0500

From: "J. Ann Selzer" <selzerco@concentric.net>

X-Mailer: Mozilla 3.0C-GZone (Win95; I)

MIME-Version: 1.0

To: aapornet@usc.edu

Subject: Job Opening

Content-Type: text/plain; charset=us-ascii

Content-Transfer-Encoding: 7bit

Job Posting: Public Opinion Research Analyst

Small Midwest research firm seeks numbers-savvy writer to manage projects and serve as lead analyst for public opinion surveys and focus groups.

Looking for a first-rate thinker with excellent computer skills.

This small but mighty firm specialize in research for the media--newspapers, magazines, books, and so on, with a host of non-media clients, including public policy groups, public libraries and so on. We work for newspapers such as The Des Moines Register, The San Diego Union-Tribune, the Providence Journal, and The Record (Bergen County, New Jersey). We do a great deal of work for Meredith Corporation, publishers of Better Homes and Gardens and a host of other magazines.

Please fax letter and resume to: 515.271.5710 or e-mail to selzerco@concentric.net

>From smeltz@usia.gov Thu Sep 11 11:29:38 1997

Received: from xgate.usia.gov (firewall-user@XGATE.USIA.GOV [198.67.64.2])  
by usc.edu (8.8.4/8.7.2/usc) with SMTP

id LAA00694 for <aapornet@usc.edu>; Thu, 11 Sep 1997 11:29:35 -0700  
(PDT)

Received: from Connect2 Message Router by xgate.usia.gov

via Connect2-SMTP 4.31.02; Thu, 11 Sep 1997 14:26:50 -0400

Message-ID: <971B183401BD11E0@xgate.usia.gov>

In-Reply-To: <941B183401BD11E0@xgate.usia.gov>

Date: Thu, 11 Sep 1997 14:23:31 -0400

From: "Smeltz, Dina" <smeltz@usia.gov>

Sender: "Smeltz, Dina" <smeltz@usia.gov>

To: aapornet@usc.edu

Subject: Re: Job Opening

X-SMF-Hop-Count: 2

MIME-Version: 1.0

Content-type: text/plain; charset="US-ASCII"

Content-transfer-encoding: 7bit

X-Mailer: Connect2-SMTP 4.31.02 MHS/SMF to SMTP Gateway

ok, coming down now.

>From BARRY@uga.cc.uga.edu Thu Sep 11 11:39:47 1997

Received: from uga.cc.uga.edu (uga.cc.uga.edu [128.192.232.5])

by usc.edu (8.8.4/8.7.2/usc) with SMTP

id LAA04959 for <aapornet@USC.EDU>; Thu, 11 Sep 1997 11:39:43 -0700

(PDT)

Received: from UGA.CC.UGA.EDU by uga.cc.uga.edu (IBM VM SMTP V2R3)

with BSMTP id 3882; Thu, 11 Sep 97 14:38:58 EDT

Received: from UGA.CC.UGA.EDU (NJE origin BARRY@UGA) by UGA.CC.UGA.EDU

(LMail V1.2c/1.8c) with BSMTP id 1084; Thu, 11 Sep 1997 14:38:58 -0400

Date: Thu, 11 Sep 97 14:36:49 EDT

From: "Barry A. Hollander" <BARRY@uga.cc.uga.edu>

Subject: Re: You can hang up

To: aapornet@usc.edu

In-Reply-To: <s417cb04.098@SRL.UIC.EDU>

X-Mailer: MailBook 96.02.327

Message-Id: <970911.143858.EDT.BARRY@UGA.CC.UGA.EDU>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

Content-Transfer-Encoding: 7BIT

I've always understood telephone surveys to have  
"implied consent." That is, people know they can just  
hang up if they want, that continuing with the  
survey implies they consent to being studied.

-----

Barry A. Hollander

College of Journalism

and Mass Communication

The University of Georgia

Athens, GA 30602

BARRY@uga.cc.uga.edu <http://www.grady.uga.edu/~bhollander>

>From beniger@rcf.usc.edu Thu Sep 11 14:44:39 1997

Received: from almaak.usc.edu (almaak.usc.edu [128.125.19.166])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id OAA01345 for <aapornet@usc.edu>; Thu, 11 Sep 1997 14:44:37 -0700

(PDT)

Received: from localhost (beniger@localhost)

by almaak.usc.edu (8.8.4/8.8.4/usc) with SMTP

id OAA20842 for <aapornet@usc.edu>; Thu, 11 Sep 1997 14:44:36 -0700

(PDT)

Date: Thu, 11 Sep 1997 14:44:36 -0700 (PDT)

From: James Beniger <beniger@rcf.usc.edu>

Reply-To: James Beniger <beniger@rcf.usc.edu>

To: AAPORNET <aapornet@usc.edu>

Subject: Controversy Over New BJS Domestic Violence Survey

Message-ID: <Pine.SV4.3.94.970911143821.18162A-100000@almaak.usc.edu>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

The New York Times

OP-ED, September 11, 1997, p. A19

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Feminist Number Games

By SALLY L. SATEL

WASHINGTON -- Feminists have seized on a new study from the Bureau of Justice Statistics as proof that domestic violence against women is even worse than they thought. They note that the figures in this study, based on 1994 data, are four times higher than estimates of domestic abuse reported in another major

survey.....

But this interpretation of the new study is misleading.

The most important finding is that of all the women who went to an emergency room for any reason -- roughly 47 million in 1994 -- only half of 1 percent, or around 200,000, sought emergency treatment for injuries resulting from domestic violence. This debunks the claim, widely cited by policy makers and women's groups, that up to a third of women who visit emergency rooms do so because they were assaulted by a current or former partner.

News reports on the Bureau of Justice Statistics study have pointed out that the new numbers, based on emergency room records, are four times higher than the estimate of domestic abuse by the National Crime Victimization Survey, which is based on interviews.....

The National Crime Victimization Survey asked women only about the criminal origins of any injury they suffered.....

The Bureau of Justice Statistics didn't even count all of the women who visited an emergency room because of a domestic assault. That's because researchers didn't find out the source of violence for 19 percent of the women who came in to be treated for injuries resulting from violence. Yet if we assumed that every one of



those women were victims of domestic violence, the total number of women who went to the hospital for that reason would only increase to 310,000, from 200,000.....

But current literature from the Health and Human Services Department's Office of Women's Health still claims that 30 percent of the women who visit emergency rooms have suffered domestic assault.....

Health and Human Services Secretary Donna Shalala has asserted that 20 to 30 percent of the women who come to emergency rooms do so because of physical abuse by their partner.

Domestic violence is indeed a terrible problem. The Bureau of Justice Statistics study reported that women were eight times more likely to be injured by an intimate than men. And it found that slightly more than a third of the violent injuries women suffered were the result of domestic abuse.

But we need to put those figures into context. Injuries from domestic assaults still accounted for just half of 1 percent of female emergency room cases in 1994.

Distorting the extent of domestic violence only makes it harder to address the problem..... Unless we get to the truth about the prevalence of domestic violence, we cannot take realistic action against it.

-----

Sally L. Satel is a psychiatrist and a lecturer at  
Yale's School of Medicine.

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issue of the Times for the full text.

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>From johnf@icue.com Thu Sep 11 17:03:35 1997

Received: from iris2 (iris2.icue.com [207.173.27.2])

by usc.edu (8.8.4/8.7.2/usc) with SMTP

id RAA19818 for <aapornet@usc.edu>; Thu, 11 Sep 1997 17:03:30 -0700

(PDT)

Received: from [207.173.27.40] by iris2 via SMTP

(950215.SGI.8.6.10/940406.SGI)

for <aapornet@usc.edu> id SAA12733; Thu, 11 Sep 1997 18:13:56 -0600

Date: Thu, 11 Sep 1997 18:13:56 -0600

X-Sender: john@iris2.icue.com

Message-Id: <v02130502630be2e069a4@[207.173.27.40]>

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

To: aapornet@usc.edu

From: johnf@icue.com (John M. Ford)

Subject: Help Changing Subscription Address (Sorry!)

I apologize for bothering the list with my email problem.

Could someone tell me how to change my aapornet suscription from my "johnf@icue.com" address to my new "johnf@itsnet.com" address?

Thanks for the help and sorry for the list intrusion.

John Ford

>From stakacs@garnet.acns.fsu.edu Fri Sep 12 07:20:24 1997

Received: from mailer.fsu.edu (mailer.fsu.edu [128.186.6.122])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id HAA21053 for <aapornet@usc.edu>; Fri, 12 Sep 1997 07:20:20 -0700

(PDT)

Received: from garnet.acns.fsu.edu (gmhub.acns.fsu.edu [128.186.195.10]) by

mailer.fsu.edu (8.8.5/8.7.3) with ESMTP id KAA01353 for <aapornet@usc.edu>;

Fri, 12 Sep 1997 10:20:11 -0400 (EDT)

Received: from garnet2.acns.fsu.edu (garnet2.acns.fsu.edu [128.186.195.3])

by garnet.acns.fsu.edu (8.8.6/8.8.6) with ESMTP id KAA53854

for <aapornet@usc.edu>; Fri, 12 Sep 1997 10:20:08 -0400

Received: (from stakacs@localhost)

by garnet2.acns.fsu.edu (8.8.6/8.8.6) id KAA155128

for aapornet@usc.edu; Fri, 12 Sep 1997 10:20:08 -0400

From: "Scott J. Takacs" <stakacs@garnet.acns.fsu.edu>

Message-Id: <199709121420.KAA155128@garnet2.acns.fsu.edu>

Subject: Re: Human Subjects Committee. Come hang up any time

To: aapornet@usc.edu

Date: Fri, 12 Sep 1997 10:20:08 -0400 (EDT)

In-Reply-To: <Pine.HPP.3.95.970911110758.3661A-100000@champion.iupui.edu>

from "igem100@indyunix.iupui.edu" at Sep 11, 97 11:12:53 am

X-Mailer: ELM [version 2.4 PL25]

MIME-Version: 1.0

Content-Type: text/plain; charset=US-ASCII

Content-Transfer-Encoding: 7bit

Brian Vargus writes:

>

- > I must agree with all that has been said. Many IRB's do not
- > understand the regulations and all too often have never even read them.
- > In my case, I am at a medical school and they are even to the point of
- > suggesting that ringing a telephone could "cause a psychotic response"
- > so we should not be allowed to make telephone calls.

If I hadn't dealt with an IRB myself, I'd think this was a joke. In my case it was that I wanted to use a cover letter (for a drop-off and pick-up survey) in "plain english" rather than legalese. It would have accomplished the goal of the board (protecting the subjects) but they opted for obeying what they thought was the letter of the law (they told me to use their format for cover letters and only change what I had to.)

Another factor to consider is that universities have office politics (all

right, we all knew that) and sometimes a very bureaucratic perspective (again, this is self-evident). Chances are someone in a position of greater responsibility (Department Chair, Dean, etc.) has had similar problems, and may be willing to champion your cause. That way instead of seeming like another whining faculty member your request comes from someone who can vote on budgeting and tenure review decisions. Worst case, you make someone else in the administration aware that the board is potentially hurting the university (lower/biased responses=worse research=less possibility of getting published=less image for the university.)

A more global issue might be to develop a two-tiered human subjects board system: One "fast track" tier for projects that are strictly survey research (which would only look for potentially actionable questions) and a "long track" tier which would look at actual experimental manipulations of subjects, particularly for psychological and medical studies where the risks are more than minimal.

What would also help would be more people filing (and winning) countersuits for frivolous lawsuits. Take away the economic incentive for suing the post office because you get too much junk mail, and the fear of a suit will diminish.

Scott T.

>From igem100@indyunix.iupui.edu Fri Sep 12 11:03:49 1997

Received: from hermes.iupui.edu (root@hermes.iupui.edu [134.68.220.31])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id LAA28030 for <aapornet@usc.edu>; Fri, 12 Sep 1997 11:03:45 -0700

(PDT)

From: igem100@indyunix.iupui.edu

Received: from champion.iupui.edu (igem100@champion.iupui.edu  
[134.68.220.44])

by hermes.iupui.edu (8.8.7/8.8.7) with SMTP id NAA12565

for <aapornet@usc.edu>; Fri, 12 Sep 1997 13:03:27 -0500 (EST)

Date: Fri, 12 Sep 1997 13:03:26 -0500 (EST)

X-Sender: igem100@champion.iupui.edu

To: aapornet@usc.edu

Subject: Re: Human Subjects Committee. Come hang up any time

In-Reply-To: <199709121420.KAA155128@garnet2.acns.fsu.edu>

Message-ID: <Pine.HPP.3.95.970912125643.26432A-100000@champion.iupui.edu>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

Scott Takacs suggested for a two-tiered system is essentially what I have at Indiana University NOW! It took several years of faculty politics to get there, but it works. We submit what we think is questionable and submit others as an "exempt project." Most surveys fall in the latter category. Obviously, a center director (my current spot in the organizational chart) must be accountable and very open about what the facility is doing. However, an expedited review system (two-tiered) avoids many of the most irritating nuisance-type objections. You still must be very careful about your staff's understanding of human subject-type concerns and make sure you are meeting the standards. All in all, I think we have been successful here. If any one wants to know more, please e-mail off net and I'll explain how we got the system and any pertinent details.

Brian Vargus

Director, Indiana University Public Opinion Laboratory

e-mail IGEM100@indyunix.iupui.edu

On Fri, 12 Sep 1997, Scott J. Takacs wrote:

> Brian Vargus writes:

>>

>> I must agree with all that has been said. Many IRB's do not  
>> understand the regulations and all too often have never even read  
>> them. In my case, I am at a medical school and they are even to the  
>> point of suggesting that ringing a telephone could "cause a  
>> psychotic response" so we should not be allowed to make telephone  
>> calls.

>

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> my case it was that I wanted to use a cover letter (for a drop-off and  
> pick-up survey) in "plain english" rather than legalese. It would  
> have accomplished the goal of the board (protecting the subjects) but  
> they opted for obeying what they thought was the letter of the law  
> (they told me to use their format for cover letters and only change  
> what I had to.)

>

> Another factor to consider is that universities have office politics  
> (all right, we all knew that) and sometimes a very bureaucratic  
> perspective (again, this is self-evident). Chances are someone in a  
> position of greater responsibility (Department Chair, Dean, etc.) has  
> had similar problems, and may be willing to champion your cause. That  
> way instead of seeming like another whining faculty member your

- > request comes from someone who can vote on budgeting and tenure review
- > decisions. Worst case, you make someone else in the administration
- > aware that the board is potentially hurting the university
- > (lower/biased responses=worse research=less possibility of getting
- > published=less image for the
- > university.)
- >
- > A more global issue might be to develop a two-tiered human subjects
- > board
- > system: One "fast track" tier for projects that are strictly survey
- > research (which would only look for potentially actionable questions) and
- > a "long track" tier which would look at actual experimental manipulations
- > of subjects, particularly for psychological and medical studies where the
- > risks are more than minimal.
- >
- > What would also help would be more people filing (and winning)
- > countersuits for frivolous lawsuits. Take away the economic
- > incentive for suing the post office because you get too much junk
- > mail, and the fear of a suit will diminish.
- >
- > Scott T.
- >

>From beniger@rcf.usc.edu Fri Sep 12 11:19:20 1997

Received: from almaak.usc.edu (almaak.usc.edu [128.125.19.166])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id LAA02155 for <aapornet@usc.edu>; Fri, 12 Sep 1997 11:19:18 -0700

(PDT)

Received: from localhost (beniger@localhost)



by almaak.usc.edu (8.8.4/8.8.4/usc) with SMTP

id LAA27193 for <aapornet@usc.edu>; Fri, 12 Sep 1997 11:19:16 -0700

(PDT)

Date: Fri, 12 Sep 1997 11:19:16 -0700 (PDT)

From: James Beniger <beniger@rcf.usc.edu>

Reply-To: James Beniger <beniger@rcf.usc.edu>

To: AAPORNET <aapornet@usc.edu>

Subject: Internet Threats to Personal Privacy

Message-ID: <Pine.SV4.3.94.970912110843.18610A-100000@almaak.usc.edu>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

>From Jim Beniger...

To echo the concerns of Jan Werner, Dennis Goldenson, and other AAPORNETers about Internet threats to personal privacy:

-----

In a front-page story in yesterday's (Sept. 11) New York Times, "Microsoft Faces Challenge on Internet-Cable TV Link," John Markoff reports the strategy of a small start-up company, Worldgate Communications of Bensalem, Pa.:

Worldgate's approach would employ a largely unused portion of the video spectrum called the vertical blanking interval. That means that a cable system will be able to continue to deliver all of its existing channels.

And unlike Web TV's technology, which amounts to a television set-top computer, virtually no computing takes place in the consumer's set-top box in Worldgate's system. Instead, the processing occurs at the cable operator's site. Only a relatively compact video stream is sent down to the home and only simple commands or keystrokes are sent back upstream.

"We thought, 'Why put the computer in the home?' " said Worldgate founder and chief executive Hal Krisbergh, who ran the cable business of Nextlevel -- the former General Instrument Corp. -- for a decade. "We realized if we did this we could offer very broad access."

Krisbergh acknowledged that the system would raise thorny privacy issues because Worldgate could closely link advertisers with the TV viewing and Internet browsing habits of users. "We know everything," he said. "We have to be very careful how we use this information."

---

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>From survey@uts.cc.utexas.edu Fri Sep 12 12:10:02 1997

Received: from moe.cc.utexas.edu (root@moe.cc.utexas.edu [128.83.42.2])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id MAA19054 for <aapornet@usc.edu>; Fri, 12 Sep 1997 12:09:59 -0700

(PDT)

Received: from [128.83.201.47] (quincy.jou.utexas.edu [128.83.201.47])

by moe.cc.utexas.edu (8.8.5/8.8.5/cc-uts-client-1.4) with SMTP id  
OAA26002

for <aapornet@usc.edu>; Fri, 12 Sep 1997 14:09:32 -0500 (CDT)

Message-Id: <v01530500b03f20eaf46a@[128.83.201.47]>

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

Date: Fri, 12 Sep 1997 13:46:17 -0300

To: aapornet@usc.edu

From: survey@uts.cc.utexas.edu (Veronica Inchauste)

Subject: Re: Human Subjects Committee. Come hang up any time

O'Neil:

I thought might enjoy this about Institutional Review Boards.

Veronica

>I must agree with all that has been said. Many IRB's do not understand  
>the regulations and all too often have never even read them. In my  
>case, I am at a medical school and they are even to the point of  
>suggesting that ringing a telephone could "cause a psychotic response"  
>so we should not be allowed to make telephone calls. I was able to  
>defeat that insanity, from a psychiatrist ironically, but still find that  
>IRB's do not know what they can and can not do. In some sense every

>faculty member thinks they are a lawyer. They get on IRB's and think  
>they are saving mankind. While I have a strong concern for ethics, I  
>do think it goes both ways. Sometimes I think we need an IRB of  
>researchers to review IRB's. In no case should introductory wording be  
>changed as they suggest (in their tiny minds "ordered"). That is not  
>within their jurisdiction AT ALL. Ask for the reg. citation and stand  
>tall. Brian Vargus Director, Indiana University Public Opinion  
>Laboratory

-----  
Veronica Inchauste (512) 471-2101  
Director (512) 471-4980  
Office of Survey Research FAX (512) 471-8500  
CMA 3.110 survey@uts.cc.utexas.edu  
University of Texas  
Austin TX, 78713

>From lang@u.washington.edu Fri Sep 12 14:04:47 1997

Received: from jason05.u.washington.edu (root@jason05.u.washington.edu  
[140.142.78.6])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id OAA20401 for <aapornet@usc.edu>; Fri, 12 Sep 1997 14:04:41 -0700  
(PDT)

Received: from homer32.u.washington.edu (lang@homer32.u.washington.edu  
[140.142.70.19])

by jason05.u.washington.edu (8.8.4+UW97.07/8.8.4+UW97.05) with  
ESMTP

id OAA28620 for <aapornet@usc.edu>; Fri, 12 Sep 1997 14:04:41 -0700

Received: from localhost (lang@localhost)

by homer32.u.washington.edu (8.8.4+UW97.07/8.8.4+UW97.04) with

SMTP

id OAA123224 for <aapornet@usc.edu>; Fri, 12 Sep 1997 14:04:39 -0700

Date: Fri, 12 Sep 1997 14:04:39 -0700 (PDT)

From: Kurt Lang <lang@u.washington.edu>

To: aapornet@usc.edu

Subject: Response to Rusciano

Message-ID:

<Pine.A41.3.95b.970912140223.103368B-100000@homer32.u.washington.edu>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

To: AAPORNetters

I'm compelled to disagree strongly with Frank Rusciano's insistence that AAPOR get involved in the controversy surrounding the publication of an article in last year's Journal of Communication. To do so would only involve the association in what has all the earmarks of an unseemly campaign against Christopher Simpson, its author, for his ideologically based criticism of Elisabeth Noelle-Neumann and her spiral of silence theory by some of her most ardent followers. Not only did they defend her with great fervor at a session at the ICA conference in May, organized explicitly for that purpose but, as revealed in that session, they had also put great pressure on the editor of JC not to publish the Simpson critique and at least one person had previously gone out of his/her way to intervene with the committee that was then considering Simpson's tenure at American University. The session, which I attended, was as much an ad hominem debate as an intellectual discussion. I do not believe it served any

scholarly purpose. Nor would it if AAPOR were to get into the act.

In saying this, I am aware that this controversy must have caused as much pain to Noelle-Neumann as it did to Simpson; nor does it amount to an uncritical acceptance of Simpson's endeavor to trace the origins of the spiral of silence theory to its author's political past some fifty or more years ago. In a brief note, mailed to him after reading the article, I concurred that social scientists need always be alert to the ideological components that intrude into so much of their theorizing but pointed out that he had failed to address some of the most troublesome political uses made of the spiral of silence phenomenon. It provided the underlying rationale for attacks on German news media for creating a climate of opinion against the conservatives. This, and other politically controversial causes served by research conducted by her and her disciples, are fully known and have undergone some examination in Germany, where the spiral of silence theory seems to have made less headway.

To be sure, the politics of the author neither establish nor undermine the validity of a scientific formulation. With that in mind, my note to Simpson was accompanied by an article, written with Gladys, on how people respond to the perceived opinions of others. It was based on a selective review of the social science literature but was flatly rejected by POQ and IJPOR. Four out of six reviewers saw absolutely no merit in it but lectured us to read up on the spiral of silence -- amazingly, since the article had been intended to put this formulation into an appropriate theoretical context. It argued that public opinion was not just a form of social control but also functioned as a forum, urged the need to focus on interaction between the public and government while recognizing that the overwhelming group pressure mobilized under some circumstances can be an obstacle to the public hearing itself.

One recipient of an offprint of the article (basically unchanged), sent to some 80 AAPOR/ICA members after publication elsewhere, volunteered his/her opinion that the reviewers' negative criticism reflected a simple fact: there are many true believers' who simply will not accept anything critical of the spiral of silence.

None of us are, nor should we be, "afraid to listen... to the insights of those who [like Noelle-Neumann] existed under the monstrous [nazi] regime," as Rusciano implies. Simpson's lone dissident voice is not likely to instill fear of isolation in anyone and certainly not in those, including many members of AAPOR, myself among them, who also experienced nazi horrors but from a less privileged perspective. Instead of joining the crusade against Simpson, who obviously can stand up for himself, AAPOR would be better advised to pay more attention to an original interest: the nature of public opinion. In my humble opinion, a focus on the spiral of silence as THE theory of public opinion would be an unwarranted and premature narrowing of that topic. Let's not repeat the ICA session at AAPOR!

Kurt Lang

P.S. In the unlikely event that anyone might be interested in our twice-rejected article, we would be happy to send a hard copy since we have not as yet established a website of our own.

>From mkuechle@shiva.hunter.cuny.edu Sat Sep 13 07:25:50 1997

Received: from shiva.hunter.cuny.edu (shiva.hunter.cuny.edu [146.95.128.96])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id HAA29239 for <aapornet@usc.edu>; Sat, 13 Sep 1997 07:25:47 -0700

(PDT)

Received: from social54.hunter.cuny.edu (social54.hunter.cuny.edu

[146.95.12.54])

by shiva.hunter.cuny.edu (8.8.7/8.8.7/08.30.97) with SMTP id KAA14667

for <aapornet@usc.edu>; Sat, 13 Sep 1997 10:26:50 -0400 (EDT)

Message-Id: <3.0.3.32.19970913102257.0086be70@shiva.hunter.cuny.edu>

X-Sender: mkuechle@shiva.hunter.cuny.edu

X-Mailer: QUALCOMM Windows Eudora Pro Version 3.0.3 (32)

Date: Sat, 13 Sep 1997 10:22:57 -0400

To: aapornet@usc.edu

From: Manfred Kuechler <mkuechle@shiva.hunter.cuny.edu>

Subject: Spiral of Silence

In-Reply-To: <Pine.A41.3.95b.970912140223.103368B-100000@homer32.u.washington.edu>

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

At 02:04 PM 9/12/1997 -0700, Kurt Lang wrote:

> I'm compelled to disagree strongly with Frank Rusciano's  
>insistence that AAPOR get involved in the controversy surrounding the  
>publication of an article in last year's Journal of Communication. To  
>do so would only involve the association in what has all the earmarks  
>of an unseemly campaign against Christopher Simpson, its author, for  
>his ideologically based criticism of Elisabeth Noelle-Neumann and her  
>spiral of silence theory by some of her most ardent followers. ....

I fully agree with Kurt. While there may be legitimate objections to Simpson's work (I had not have a chance to give it a full reading myself), I find the attempts by the Noelle-Neumann clan (for lack of a better word, in German I would say "Seilschaft") to intervene in the due process of Simpson's tenure review highly objectionable. For this very reason, I have



decided not meet a commitment I had made earlier this year to review the (slightly updated) new edition of SoS in the context of ENN's lifetime contributions to the profession for the "Politische Vierteljahresschrift (PVS)" -- the journal of the German Association for Politische Wissenschaft (DVPW). I think that \*silence\* is the most adequate response at this time, everything else just fuels a debate that does not serve any scholarly purpose.

Manfred Kuechler

Sociology Department at Hunter College (CUNY)

695 Park Avenue, NY, NY 10021

Tel: 212-772-5588

Fax: as above, then select "3" from voice mail menu (\*\*NEW\*\* as of 7/21/97)

WWW: <http://social54.hunter.cuny.edu/> (experimental as of 8/6/97) OR

<http://maxweber.hunter.cuny.edu/socio/>

>From beniger@rcf.usc.edu Mon Sep 15 09:44:28 1997

Received: from almaak.usc.edu (almaak.usc.edu [128.125.19.166])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id JAA16104 for <aapornet@usc.edu>; Mon, 15 Sep 1997 09:44:27 -0700

(PDT)

Received: from localhost (beniger@localhost)

by almaak.usc.edu (8.8.4/8.8.4/usc) with SMTP

id JAA02223 for <aapornet@usc.edu>; Mon, 15 Sep 1997 09:44:27 -0700

(PDT)

Date: Mon, 15 Sep 1997 09:44:27 -0700 (PDT)

From: James Beniger <beniger@rcf.usc.edu>

To: AAPORNET <aapornet@usc.edu>

Subject: Imaginative Uses of Archival Poll Data Dept.

Message-ID: <Pine.SV4.3.94.970915093954.707A-100000@almaak.usc.edu>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

IMAGINATIVE USES OF ARCHIVAL POLL DATA DEPT.

>From Jim Beniger...

"Notebook" [no author listed], The New Republic, September 8 & 15, 1997, p. 8.

"Mr. Speaker--Newt--the people have pretty much spoken: only 18 percent of Americans currently approve of the job you are doing. That puts you twenty-four points behind Gary Hart the week following the affair Rice, fifteen points behind Stalin's current popularity in Russia and two points behind Nixon upon his resignation. You trail the hotel-haunting Frank Gifford by eight points. Substantially more than 18 percent of the country thinks rapist-turned-masticator Mike Tyson deserves a chance to chomp his way back into boxing. Newt should keep in mind, as ex-Reagan aide Lyn Nofziger told the Atlanta paper [Journal and Constitution], "There's no sense running for president when everybody knows you and most of them hate you."

\*\*\*\*\*

>From rusciano@genius.rider.edu Mon Sep 15 10:51:25 1997

Received: from GENIUS.rider.edu (genius.rider.edu [192.107.45.5])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id KAA17410 for <aapornet@usc.edu>; Mon, 15 Sep 1997 10:51:18 -0700

(PDT)

Received: from genius.rider.edu by genius.rider.edu (PMDF V5.1-7 #23246) id

<01INO9KKTSH28Y50Q0@genius.rider.edu> for aapornet@usc.edu; Mon, 15 Sep

1997 13:47:30 EDT

Date: Mon, 15 Sep 1997 13:47:29 -0400 (EDT)

From: rusciano@genius.rider.edu

Subject: Reply to Kurt Lang

In-reply-to:

<Pine.PMDF.3.95.970914190848.541068637A-100000@genius.rider.edu>

To: aapor <aapornet@usc.edu>

Cc: rusciano <rusciano@genius.rider.edu>

Message-id: <Pine.PMDF.3.95.970915133332.541070568B-100000@genius.rider.edu>

MIME-version: 1.0

Content-type: TEXT/PLAIN; charset=US-ASCII

Fellow AAPORneters:

I found much to agree with in Kurt Lang's statement about the controversy over Noelle-Neumann's SPIRAL OF SILENC. First, I should have made myself clearer in my original statement; I was not looking to involve AAPOR as an organization in this discussion, but rather to open the subject to discussion amongst ourselves in this forum, while offering my own opinion.

In no way was this intended to be an attack upon Mr. Simpson-- I merely wished to state the intellectual problems I had with his article. In this vein, I would add that it would be improper for us, as an organization, to

either praise or condemn any scholarly work, but it is our right (and duty) to examine these in discussion forums like the AAPORnet. As far as taking this issue up at the AAPOR convention, I tend to agree with Kurt that from what I heard about the ICA, there would be little to be gained from such an exercise. However, I would allow that someone might have intellectual insights into this debate that might be useful and interesting to members, so I wouldn't rule out the possibility.

Regarding Kurt and Gladys Lang's critique of the SPIRAL OF SILENCE, I find it regrettable when any idea or theory seems to achieve intellectual hegemony. I, for one, would like to see a copy of their paper.

I would think our current project would be to examine critically any theories of public opinion, while trying to generate other models if we feel the present ones are inadequate.

I'm not sure that this activity has much to do with the controversy Simpson raises, however; it just seems to be basic scholarly sense. At any rate, I would hope to see Kurt and Gladys's critique in print soon.

Frank Rusciano

>>

>> On Fri, 12 Sep 1997, Kurt Lang wrote:

>>

>>> To: AAPORNetters

>>>

>>> I'm compelled to disagree strongly with Frank Rusciano's

>>> insistence that AAPOR get involved in the controversy surrounding

>>> the publication of an article in last year's Journal of  
>>> Communication. To do so would only involve the association in what  
>>> has all the earmarks of an unseemly campaign against Christopher  
>>> Simpson, its author, for his ideologically based criticism of  
>>> Elisabeth Noelle-Neumann and her spiral of silence theory by some  
>>> of her most ardent followers. Not only did they defend her with  
>>> great fervor at a session at the ICA conference in May, organized  
>>> explicitly for that purpose but, as revealed in that session, they  
>>> had also put great pressure on the editor of JC not to publish the  
>>> Simpson critique and at least one person had previously gone out  
>>> of his/her way to intervene with the committee that was then  
>>> considering Simpson's tenure at American University. The session,  
>>> which I attended, was as much an ad hominem debate as an  
>>> intellectual discussion. I do not believe it served any scholarly  
>>> purpose. Nor would it if AAPOR were to get into the act.

>>> In saying this, I am aware that this controversy must  
>>> have caused as much pain to Noelle-Neumann as it did to Simpson;  
>>> nor does it amount to an uncritical acceptance of Simpson's  
>>> endeavor to trace the origins of the spiral of silence theory to  
>>> its author's political past some fifty or more years ago. In a  
>>> brief note, mailed to him after reading the article, I concurred  
>>> that social scientists need always be alert to the ideological  
>>> components that intrude into so much of their theorizing but  
>>> pointed out that he had failed to address some of the most  
>>> troublesome political uses made of the spiral of silence  
>>> phenomenon. It provided the underlying rationale for attacks on  
>>> German news media for creating a climate of opinion against the  
>>> conservatives. This, and other politically controversial causes  
>>> served by research conducted by her and her disciples, are fully

>>> known and have undergone some examination in Germany, where the  
>>> spiral of silence theory seems to have made less headway.

>>> To be sure, the politics of the author neither  
>>> establish nor undermine the validity of a scientific formulation.  
>>> With that in mind, my note to Simpson was accompanied by an  
>>> article, written with Gladys, on how people respond to the  
>>> perceived opinions of others. It was based on a selective review  
>>> of the social science literature but was flatly rejected by POQ  
>>> and IJPOR. Four out of six reviewers saw absolutely no merit in  
>>> it but lectured us to read up on the spiral of silence --

>>> amazingly, since the article had been intended to put this  
>>> formulation into an appropriate theoretical context. It argued  
>>> that public opinion was not just a form of social control but  
>>> also functioned as a forum, urged the need to focus on  
>>> interaction between the public and government while recognizing  
>>> that the overwhelming group pressure mobilized under some  
>>> circumstances can be an obstacle to the public hearing itself.

>>> One recipient of an offprint of the article (basically  
>>> unchanged), sent to some 80 AAPOR/ICA members after publication  
>>> elsewhere, volunteered his/her opinion that the reviewers'  
>>> negative criticism reflected a simple fact: there are many true  
>>> believers' who simply will not accept anything critical of the  
>>> spiral of silence.

>>> None of us are, nor should we be, "afraid to listen...  
>>> to the insights of those who [like Noelle-Neumann] existed under  
>>> the monstrous [nazi] regime," as Rusciano implies. Simpson's lone  
>>> dissident voice is not likely to instill fear of isolation in  
>>> anyone and certainly not in those, including many members of  
>>> AAPOR, myself among them, who also experienced nazi horrors but

>>> from a less privileged perspective. Instead of joining the  
>>> crusade against Simpson, who obviously can stand up for himself,  
>>> AAPOR would be better advised to pay more attention to an  
>>> original interest: the nature of public opinion. In my humble  
>>> opinion, a focus on the spiral of silence as THE theory of public  
>>> opinion would be an unwarranted and premature narrowing of that  
>>> topic. Let's not repeat the ICA session at AAPOR!

>>>

>>> Kurt Lang

>>>

>>> P.S. In the unlikely event that anyone might be interested in our  
>>> twice-rejected article, we would be happy to send a hard copy  
>>> since we have not as yet established a website of our own.

>>>

>>>

>>

>>

>

>

>From DMMerkle@aol.com Tue Sep 16 07:17:31 1997

Received: from emout03.mail.aol.com (emout03.mx.aol.com [198.81.11.94])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id HAA11999 for <aapornet@usc.edu>; Tue, 16 Sep 1997 07:17:27 -0700

(PDT)

From: DMMerkle@aol.com

Received: (from root@localhost)

by emout03.mail.aol.com (8.7.6/8.7.3/AOL-2.0.0)

id KAA16421 for aapornet@usc.edu;

Tue, 16 Sep 1997 10:16:56 -0400 (EDT)

Date: Tue, 16 Sep 1997 10:16:56 -0400 (EDT)

Message-ID: <970916101447\_603302455@emout03.mail.aol.com>

To: aapornet@usc.edu

Subject: Re: A controversy in public opinion research

MIME-Version: 1.0

Content-Type: text/plain; charset=unknown-8bit

Content-Transfer-Encoding: 8bit

In a message dated 97-09-10 15:06:00 EDT, Frank Rusciano writes:

>However, a more important issue is at stake. In order to avoid  
>repeating the horrors of the Holocaust, we desperately need the  
>insights of those who existed under the monstrous regime responsible  
>for it.

This is one of the points made by Simpson in his Journal of Communication article (and Bogart in his 1991 article "The Pollster and the Nazis" in Commentary). Although a discussion of the spiral of silence as it relates to Nazi Germany would be quite informative and interesting for a number of reasons, Noelle-Neumann provides no discussion of it in her extensive (English) publications on the spiral of silence.

However, any such analysis would have to revise the theory somewhat. Surely, the "fear of social isolation" that sets the spiral of silence in motion according to Noelle-Neumann's theory was replaced (or supplemented) in Nazi Germany by a much stronger mechanism, namely the concern for one's physical well being.



Daniel Merkle, Ph.D.  
Director of Surveys  
Voter News Service  
New York, NY 10122  
Ph: 212-947-7280  
Fax: 212-947-7756  
DMMerkle@aol.com

>From slosh@garnet.acns.fsu.edu Tue Sep 16 12:52:09 1997

Received: from mailer.fsu.edu (mailer.fsu.edu [128.186.6.122])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id MAA23462 for <aapornet@usc.edu>; Tue, 16 Sep 1997 12:52:02 -0700

(PDT)

Received: from garnet.acns.fsu.edu (gmhub.acns.fsu.edu [128.186.195.10]) by  
mailer.fsu.edu (8.8.5/8.7.3) with ESMTP id PAA20118 for <aapornet@usc.edu>;

Tue, 16 Sep 1997 15:51:51 -0400 (EDT)

Received: from garnet1.acns.fsu.edu (garnet1.acns.fsu.edu [128.186.195.2])

by garnet.acns.fsu.edu (8.8.6/8.8.6) with ESMTP id PAA87238;

Tue, 16 Sep 1997 15:51:47 -0400

Received: from slosh.coss.fsu.edu (slosh.coss.fsu.edu [128.186.39.165])

by garnet1.acns.fsu.edu (8.8.6/8.8.6) with SMTP id PAA145488;

Tue, 16 Sep 1997 15:51:46 -0400

Message-Id: <1.5.4.32.19970916194716.006f69b0@garnet.acns.fsu.edu>

X-Sender: slosh@garnet.acns.fsu.edu

X-Mailer: Windows Eudora Light Version 1.5.4 (32)

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

Date: Tue, 16 Sep 1997 15:47:16 -0400

To: aapornet@usc.edu

From: Susan Losh <slosh@garnet.acns.fsu.edu>

Subject: Re: hanging up and HSC preambles

Cc: slosh@garnet.acns.fsu.edu, bbelling@garnet.acns.fsu.edu

First, thank you to everyone who replied to my request for information on Sept 10 and to the survey directors with whom I spoke. My inquiry was about Human Subjects Committees and a staff member who refused to send out an innocuous survey for Human Subjects Committee \*review\* until I added "you can hang up at any time" in the survey introduction. I was told that this was required by federal guidelines.

(1) No one is aware of any research that directly assesses whether a statement such as "you can hang up at any time" has any \*comparative\* impact on response rates or other data quality, although one then-doctoral candidate who did add this to his survey through Florida State University felt his response rates were still OK. Clearly this is one step past Eleanor Singer's work on confidentiality, anonymity etc!

(2) More importantly no one could find a Federal Guideline that requires those doing RDD surveys of adults to make such a direct invitation to hang up in the preamble. Our IRB may be confusing RDD surveys with telephone solicitations. I am now trying to obtain a direct copy of the Federal Guidelines. I have a set of "Tips" put together by the IRB that stresses the voluntary nature of participation but have no "hang up" requirement in them either. Clearly these "Tips" are insufficient under the circumstances.

(3) AAPOR does not really address this issue readily, directly, or easily either although some folks thought that we did. I called AAPOR and was

directed to our WEB site and explored everything on it, including our code of ethics. I couldn't find anything directly related to the "hang up" on it.

(4) I did send over to the IRB office a slightly reworked phrase about total participation "Your participation in the survey is totally voluntary..." which was suggested by some responses. Thanks

(5) Thanks in part to AAPOR support, we were able to move review of the project past office staff out to a subcommittee which does "expedited review" of surveys. I was part of a Faculty Senate subcommittee last year that recommended such a two-tier process which is apparently is now in place. However, I am informed by the HSC head that I must now show I can be "waived" or "exempt" from Federal Guidelines...when I have complied and have not violated any of them in the first place which I find very strange. I will keep you folks posted on our progress. I am not very sanguine about it.

(6) Given my experience, that of several people who contacted me, and other FSU faculty, students and staff (I think my favorite is the IRB member at "Unnamed U" who felt telephone surveys shouldn't be done because someone might have a psychotic episode if the phone rang), "human subjects protection" needs to be thoroughly reworked. So far I have not encountered a shred of concern for protecting human subjects. Instead, I find extreme fear that the University will be sued at the slightest provocation and that \*everything\* must be centered around doing so, even if the solutions endanger academic freedom or the research enterprise itself. What is happening is that instead (a) some researchers just ignore IRBs which is harder to do with funded research but seems to happen anyway because (b) so many people are getting fed up with these kinds of experiences that no one will turn in people who don't go through them. I find this social process

truly dangerous to human subject protection and setting the stage for tremendous problems. Second is that several of the inputs I got from non-professional staff were, in fact, detrimental to informed consent. Worse yet, there are NO SOCIAL SCIENTISTS ON OUR HUMAN SUBJECTS COMMITTEE. I cannot imagine how such a situation has come to pass.

Thanks again for all the support.

Sue

If time were money I'd be in debtor's prison.

Susan Losh  
Department of Sociology  
Florida State University  
Tallahassee FL 32306-2270  
(850) 644-1753  
FAX (850) 644-6208

>From FNEUROHR@pch.com Tue Sep 16 13:06:44 1997

Received: from mail.pch.com (firewall-user@mail.pch.com [209.2.117.2])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id NAA27854 for <aapornet@usc.edu>; Tue, 16 Sep 1997 13:06:41 -0700

(PDT)

Received: by mail.pch.com; id PAA02112; Tue, 16 Sep 1997 15:49:44 -0400

(EDT)

Received: from unknown(10.1.1.6) by mail.pch.com via smap (3.2)

id xma002109; Tue, 16 Sep 97 15:49:41 -0400

Received: from PCH-Message\_Server by pch.com

with Novell\_GroupWise; Tue, 16 Sep 1997 16:10:04 -0500

Message-Id: <s41eaf5c.051@pch.com>

X-Mailer: Novell GroupWise 4.1

Date: Tue, 16 Sep 1997 16:09:10 -0500

From: Fred Neurohr <FNEUROHR@pch.com>

To: aapornet@usc.edu

Subject: Perception Analyzer Research

Mime-Version: 1.0

Content-Type: text/plain

Content-Disposition: inline

Does anyone have any thoughts on using Perception Analyzer (PA) research for testing television copy? PA is a dial based data collection tool that is sometimes referred to as an Audience Response System (ARS). Basically, we are interested in advertising copy testing, and we want to get around group dynamics that sometimes "pollute" findings from focus groups.

We intend to have a set of respondents view a clutter reel while operating their dials, and then follow up with a subset using mini groups.

Do any of you have experience using this research method? Are there any pitfalls (besides the obvious) that I should be aware of?

Thanks,

Fred Neurohr

>From daves@startribune.com Tue Sep 16 13:29:45 1997

Received: from firewall2.startribune.com (firewall2.startribune.com  
[132.148.80.211])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id NAA03548 for <aapornet@usc.edu>; Tue, 16 Sep 1997 13:29:42 -0700  
(PDT)

Received: by firewall2.startribune.com; id PAA14942; Tue, 16 Sep 1997  
15:29:06 -0500 (CDT)

Received: from gw.startribune.com(132.148.71.49) by  
firewall2.startribune.com via smap (3.2)

id xma014845; Tue, 16 Sep 97 15:28:39 -0500

Received: from STAR-Message\_Server by mail.startribune.com  
with Novell\_GroupWise; Tue, 16 Sep 1997 15:31:09 -0600

Message-Id: <s41ea63d.013@mail.startribune.com>

X-Mailer: Novell GroupWise 4.1

Date: Tue, 16 Sep 1997 15:28:53 -0600

From: Rob Daves <daves@startribune.com>

To: slosh@garnet.acns.fsu.edu, aapornet@usc.edu

Cc: bbelling@garnet.acns.fsu.edu

Subject: Re: hanging up and HSC preambles -Reply

Susan Losh is to be commended for bringing up the ethical treatment of  
respondents. In one of her points she writes that ...

(3) AAPOR does not really address this issue readily, directly, or easily  
either although some folks thought that we did. I called AAPOR and was  
directed to our WEB site and explored everything on it, including our code  
of ethics. I couldn't find anything directly related to the "hang up" on it.

It's true that there's no direct answer to her questions. But it is dealt with in part in the recently-published "Best Practices for Survey and Public Opinion Research and Survey Practices AAPOR Condemns." In Item 9 of "Best practices," AAPOR endorses maximizing cooperation or response rates within the limits of ethical treatment of human subjects.

In Item 11, AAPOR also endorses carefully developing and fulfilling pledges of confidentiality given to respondents. Both items carry fuller explanations.

The booklet was available at the May conference, and if it's not up on the web site already, it should be there soon. Also, the upcoming edition of AAPOR News will have information about ordering print copies. Sorry I didn't weigh in sooner.

Hope this helps, and I hope that Susan gets out of the temporal debtor's prison she hinted at. <grin>

Rob Daves

AAPOR Publications and Information Chair

>From Karin\_Clissold@marketstrategies.com Wed Sep 17 07:04:33 1997

Received: from kestrel.marketstrategies.com (kestrel.marketstrategies.com [206.251.93.130])

by usc.edu (8.8.4/8.7.2/usc) with SMTP

id HAA29290 for <aapornet@usc.edu>; Wed, 17 Sep 1997 07:04:28 -0700

(PDT)

Received: from ccmil.marketstrategies.com by kestrel.marketstrategies.com

(SMI-8.6/SMI-SVR4)

id HAA09799; Wed, 17 Sep 1997 07:00:40 -0700

Received: from ccMail by ccmil.marketstrategies.com (SMTPLINK V2.11)

id AA874515847; Wed, 17 Sep 97 09:59:49 EST

Date: Wed, 17 Sep 97 09:59:49 EST

From: "Karin Clissold" <Karin\_Clissold@marketstrategies.com>

Message-Id: <9708178745.AA874515847@ccmil.marketstrategies.com>

To: aapornet@usc.edu

Subject: Re: Perception Analyzer Research

Information regarding the use of the Perception Analyzer can be obtained from Mark Camack, Kim Fravil or Cindy Dederick, who frequently utilize this methodology in advertising testing (both television and print) for Utility and Technology clients.

All are at Market Strategies, Inc. whose subsidiary, Columbia Information Systems, developed the PA system.

websites: [www.marketstrategies.com](http://www.marketstrategies.com) or [www.cis.com](http://www.cis.com)

Karin Clissold



>From barbara\_bryant@ccmail.bus.umich.edu Wed Sep 17 09:26:01 1997  
Received: from runningman.rs.itd.umich.edu (0@runningman.rs.itd.umich.edu  
[141.211.144.15])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id JAA03040 for <aapornet@usc.edu>; Wed, 17 Sep 1997 09:25:56 -0700  
(PDT)

From: barbara\_bryant@ccmail.bus.umich.edu

Received: from ccmail.bus.umich.edu by runningman.rs.itd.umich.edu  
(8.8.5/2.3)

with SMTP id LAA25101; Wed, 17 Sep 1997 11:04:29 -0400 (EDT)

Received: from ccMail by ccmail.bus.umich.edu (ccMail Link to SMTP R6.0)

id AA874508340; Wed, 17 Sep 97 10:59:05 -0500

Message-Id: <9709178745.AA874508340@ccmail.bus.umich.edu>

X-Mailer: ccMail Link to SMTP R6.0

Date: Wed, 17 Sep 97 09:54:18 -0500

To: <aapornet@usc.edu>

Subject: Re: Perception Analyzer Research

MIME-Version: 1.0

Content-Type: text/plain; charset=US-ASCII

Content-Transfer-Encoding: 7bit

The people to talk to about Perception Analyzer are at Market Strategies,  
248-350-3020

---

Reply Separator

---

Subject: Perception Analyzer Research

Author: <aapornet@usc.edu > at Internet

Date: 9/16/97 4:09 PM

Does anyone have any thoughts on using Perception Analyzer (PA) research for testing television copy? PA is a dial based data collection tool that is sometimes referred to as an Audience Response System (ARS). Basically, we are interested in advertising copy testing, and we want to get around group dynamics that sometimes "pollute" findings from focus groups.

We intend to have a set of respondents view a clutter reel while operating their dials, and then follow up with a subset using mini groups.

Do any of you have experience using this research method? Are there any pitfalls (besides the obvious) that I should be aware of?

Thanks,

Fred Neurohr

>From DMMerke@aol.com Wed Sep 17 10:02:16 1997

Received: from emout12.mail.aol.com (emout12.mx.aol.com [198.81.11.38])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id KAA14467 for <aapornet@usc.edu>; Wed, 17 Sep 1997 10:02:13 -0700

(PDT)

From: DMMerke@aol.com

Received: (from root@localhost)

by emout12.mail.aol.com (8.7.6/8.7.3/AOL-2.0.0)

id NAA28571 for aapornet@usc.edu;

Wed, 17 Sep 1997 13:01:43 -0400 (EDT)

Date: Wed, 17 Sep 1997 13:01:43 -0400 (EDT)

Message-ID: <970917130039\_236725676@emout12.mail.aol.com>

To: aapornet@usc.edu

Subject: Re: Spiral of Silence

Prof. Simpson asked me to post this for him as he is not on AAPORNET.

AAPORNET:

I deeply appreciate Dr. M. Keuchler's comments concerning the case, and his personal decision to take an action in response to it. I respectfully suggest, however, that my critique of Noelle-Neumann is no more "ideologically motivated" than any other piece of academic writing, and was in any case peer reviewed by a full committee of highly qualified academics at a journal known for its cautious and moderate approach to controversy. The source of the "ideological" claim, in my view, is the smear campaign by Noelle's proteges to which Dr. Keuchler quite properly objects.

I would be pleased to provide to any AAPORNET listserv reader a copy of my article via an attached file in electronic mail, so that readers might judge for themselves the merits of the various claims made in this controversy. I can be reached at: simpson@american.edu

The terms "ideology" or "ideologically motivated" are vexed, for reasons with which AAPOR readers are familiar. Having said that, perhaps AAPOR readers would be interested in reading the following excerpts from Hans Mathias Kepplinger's denunciation of me that was secretly sent to my

superiors at American University. For me, it speaks volumes about the deeply rooted preconceptions of Mr. Kepplinger and similar Noelle-Neumann proteges:

Kepplinger argues at length that Noelle presented a "neutral description of the role of Jews in public life" (p.8 of the unpaginated denunciation) in her Hitler-era writings, and thus should not be regarded as a public anti-Semite during the Hitler years.

Compare that claim to Noelle's published "nest of wasps" characterization of the "Jew hiding behind the Chicago Daily News" ("Wer informiert Amerika?, \_Das Reich\_ June 8, 1941) and her claim that "the hand of the Jews... endangered German cultural life and national unity. Germany saw only two ways out of this situation: Either to fight off by national ideals an apparently inevitable fate [of Jewish rule], or hopelessly to resign herself and await her own deterioration and extinction..... Consequently, the German people elected (and they actually did elect!) as their leader Adolph Hitler, who in this time of indecision had laid down the system of national defense in his book \_Mein Kampf\_ and in the twenty five points of the party program of the National-Socialists." ("A Nazi Version," \_Columbia Missourian\_, Nov. 24, 1937).

When characterizing me and the \_Journal of Communication\_, Kepplinger writes, "We are dealing with the destruction of science and its replacement by politics.... Both in the realm of science and without, there have always been and always will be \_criminals\_ [ie, Simpson, in this instance --my emphasis] by conviction who are willing to sacrifice all truth to their beliefs... An even greater scandal is the behavior of [the Journal of Communication's] editor, who neglected his responsibility in an utterly inexcuseable manner and thus carries a share of the blame" for allowing the article to be published at all. Both Simpson and the editor are said to be

motivated by "goals that have nothing to do with science" (pp. 30, 31-32 of unpaginated denunciation).

AAPOR readers of many different political persuasions can judge the political and "ideological" forces at work in this controversy. My only request is that they read the article that is under attack by Ms. Noelle's proteges before reaching their conclusion.

Best wishes,

Christopher Simpson

School of Communication

American University

Washington DC 20016-8017 USA

simpson@american.edu

>From rusciano@genius.rider.edu Wed Sep 17 10:57:50 1997

Received: from GENIUS.rider.edu (genius.rider.edu [192.107.45.5])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id KAA02254 for <aapornet@usc.edu>; Wed, 17 Sep 1997 10:57:38 -0700

(PDT)

Received: from genius.rider.edu by genius.rider.edu (PMDF V5.1-7 #23246) id

<01INR2DFAHO8Y55HI@genius.rider.edu> for aapornet@usc.edu; Wed, 17 Sep

1997 13:53:20 EDT

Date: Wed, 17 Sep 1997 13:53:17 -0400 (EDT)

From: rusciano@genius.rider.edu

Subject: Re: Spiral of Silence

In-reply-to: <970917130039\_236725676@emout12.mail.aol.com>

To: aapornet@usc.edu

Cc: simpson@american.edu

Message-id: <Pine.PMDF.3.95.970917134530.541076742A-100000@genius.rider.edu>

MIME-version: 1.0

Content-type: TEXT/PLAIN; charset=US-ASCII

Fellow AAPORneters:

I appreciate Mr. Simpson's response to the AAPORnet; I feel that such communication can only help our discussion. With regards to his comments, I fully agree that it is inaccurate to attempt to ascribe motivations to an individual based upon their scholarly work; the statement that Mr. Simpson's article was "ideologically motivated" is without evidence. Indeed, that was the main point I made about Simpson's article regarding the SPIRAL OF SILENCE on the AAPORnet originally-- that we move into the realm of the unprovable once we attempt to construct a personality analysis of someone's work, or try to describe how their work reveals their personality or motivations. It therefore seems better to evaluate the work from a scholarly perspective, rather than from the perspective of a hypothetical "personality" or "motivations" for which we have no proof. In this regard, Mr. Simpson's objections are justified, just as Noelle-Neumann's are.

Frank Rusciano

On Wed, 17 Sep 1997 DMMerle@aol.com wrote:

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>

>

> AAPORNET:

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> actually did elect!) as their leader Adolph Hitler, who in this time of  
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> \_Mein Kampf\_ and in the twenty five points of the party program of the  
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>  
> Best wishes,  
> Christopher Simpson  
> School of Communication  
> American University  
> Washington DC 20016-8017 USA  
>  
> simpson@american.edu  
>  
>  
>

>From steenb@fleishman.com Wed Sep 17 19:35:19 1997

Received: from fhinternet.fleish.com (www.fleish.com [207.193.111.252])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id TAA03007 for <aapornet@usc.edu>; Wed, 17 Sep 1997 19:35:16 -0700

(PDT)

Received: from stlexg001.fleishman.com

(smtp.fleishman.com [207.193.111.11]) by fhinternet.fleish.com

(Post.Office MTA v3.0 release 0122 ID# 0-0U10L2S100) with SMTP

id AAA72 for <aapornet@usc.edu>; Wed, 17 Sep 1997 21:30:49 -0500

Received: by stlexg001.fleishman.com with SMTP (Microsoft Exchange Server  
Internet Mail Connector Version 4.0.995.52)

id <01BCC3B2.ADC0CCE0@stlexg001.fleishman.com>; Wed, 17 Sep 1997  
21:43:04 -0500

Message-ID:

<c=US%a=attmail%p=fh%l=STLEXG003-970918023019Z-60@stlexg001.fleishman.com>

From: "Steen, Bob" <steenb@fleishman.com>

To: "'aapornet@usc.edu'" <aapornet@usc.edu>

Subject: RE: Spiral of Silence

Date: Wed, 17 Sep 1997 21:30:19 -0500

X-Mailer: Microsoft Exchange Server Internet Mail Connector Version

4.0.995.52

MIME-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

Content-Transfer-Encoding: 7bit

While this ongoing discussion is interesting, it is at the same time tiresome. I personally hope this does not become a cause celebre for an AAPOR conference. I feel like we are dealing in tabloid academics, which have a certain appeal, but make little difference in how I go about the business of conducting opinion research. What I learned from the Spiral of Silence was another way to think about opinion formulation. The politics of the author are not relevant to me.

Keep the discourse alive on AAPORNET. Publish another peer reviewed analysis. Keep it off the 1997 Conference agenda. Bob Steen  
Fleishman-Hillard Research St. Louis, MO 314-982-1752 Fax 314-982-9105  
E-mail Steenb@fleishman.com

200 N. Broadway

St. Louis, MO 63102

>-----

>From: DMMerkle@aol.com[SMTP:DMMerkle@aol.com]

>Sent: Wednesday, September 17, 1997 12:01 PM

>To: aapornet@usc.edu

>Subject: Re: Spiral of Silence

>

>Prof. Simpson asked me to post this for him as he is not on AAPORNET.

>

>

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>Best wishes,

>Christopher Simpson

>School of Communication

>American University

>Washington DC 20016-8017 USA

>

>simpson@american.edu

>

>

>

>From R1MJM1@VM1.CC.UAKRON.EDU Thu Sep 18 08:02:00 1997

Received: from VM1.CC.UAKRON.EDU (vm1.cc.uakron.edu [130.101.5.2])

by usc.edu (8.8.4/8.7.2/usc) with SMTP

id IAA05483 for <aapornet@USC.EDU>; Thu, 18 Sep 1997 08:01:57 -0700

(PDT)

Message-Id: <199709181501.IAA05483@usc.edu>

Received: from VM1.CC.UAKRON.EDU by VM1.CC.UAKRON.EDU (IBM VM SMTP V2R4)

with BSMTP id 8736; Thu, 18 Sep 97 11:01:36 EDT

Received: from AKRONVM (NJE origin R1MJM1@AKRONVM) by VM1.CC.UAKRON.EDU  
(LMail V1.2c/1.8c) with BSMTP id 4190; Thu, 18 Sep 1997 11:01:36 -0400

Date: Thu, 18 Sep 97 10:51:27 EDT

From: McKee McClendon <R1MJM1@VM1.CC.UAKRON.EDU>

Subject: Re: AAPORNET digest 610

To: "News and Discussion for members of AAPOR (American Association for  
Public Opinion Research)" <aapornet@usc.edu>

In-Reply-To: Your message of Thu, 18 Sep 1997 00:10:29 PDT

Al, is this the setup i need to create an export file that can be read by  
spss pc? Also, i don't know how to download the export file to my pc. you  
mentioned you could send it to me, or would it be better to just put this  
fairly small file on a floppy disk? McKee

-----  
//HERBERTN JOB (86010,AI1S0000),HERBERT,  
// USER=  
// EXEC SPSS,DSN4='SPSS.Export.S6870',UNIT4=SYSDA,VOL4=ACAD01,  
// STATUS4=new,DISP4=CATLG,CYLS='10,20',GOSIZE=8152K,dsn3='r1mjm1.s6879'  
GET FILE=spssin  
FREQUENCIES VARIABLES=YEAR  
export OUTFILE=SPSSOUT  
FINISH

>From bgroves@survey.umd.edu Sat Sep 20 07:41:09 1997

Received: from umailsrv2.umd.edu (umailsrv2.umd.edu [128.8.10.76])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id HAA15882 for <AAPORNET@USC.EDU>; Sat, 20 Sep 1997 07:41:06 -0700

(PDT)

Received: from survey.umd.edu (survey.umd.edu [129.2.169.100])

by umailsrv2.umd.edu (8.8.5/8.8.5) with SMTP id KAA01180

for <AAPORNET@USC.EDU>; Sat, 20 Sep 1997 10:36:40 -0400

Received: from SURVEY/MAILQUEUE1 by survey.umd.edu (Mercury 1.13);

Sat, 20 Sep 97 10:38:58 +1100

Received: from MAILQUEUE1 by SURVEY (Mercury 1.13); Sat, 20 Sep 97 10:38:54

+1100

From: "Bob Groves" <bgroves@survey.umd.edu>

To: AAPORNET@USC.EDU

Date: Sat, 20 Sep 1997 10:38:48 EST

Subject: International Conference on Survey Nonresponse

X-mailer: Pegasus Mail for Windows (v2.54)

Message-ID: <8C73D417E4F@survey.umd.edu>

CALL FOR ABSTRACTS FOR MONOGRAPH PAPERS

INTERNATIONAL CONFERENCE ON SURVEY NONRESPONSE

TIME: FALL, 1999

PLACE: UNITED STATES, PROBABLY IN THE

WESTERN U.S.

DEADLINE FOR ABSTRACTS FOR MONOGRAPH

PAPERS: JULY 1, 1998

BACKGROUND AND GOALS

The International Conference on Survey Nonresponse will be the first international gathering totally devoted to the nonresponse phenomena in sample surveys since the meetings of the National Academy of Sciences panel in 1983. Since that time there have been important new developments both in statistical estimation in the presence of missing data

and data collection procedures to reduce nonresponse. An edited volume containing a description of state of the art research findings in the area will be written

and a related conference will be mounted in 1999.

The edited volume and conference have the following goals:

the volume will be designed to be a description of the state of the art in social science and statistical theory and practice in nonresponse rate reduction, nonresponse error measurement, and postsurvey compensation for nonresponse.

the volume will be designed to be suitable for university use; that is, it will not be a proceedings volume, but an integrated treatment of the field.

the conference will gather together large numbers of active researchers in survey nonresponse and missing data issues.

the conference will have presentations of current research and practice regarding survey nonresponse.

#### TYPE OF PAPERS ELIGIBLE FOR THE MONOGRAPH

The ideal paper contributes both to theory and methods in the handling of nonresponse in surveys. Papers with empirical tests of ideas are preferred to papers that demonstrate an approach, without evaluating it. Thus, assessing the merit of ideas, hypotheses, and protocols is the target of the conference and the monograph.



Papers should focus on nonresponse; that is, the failure to measure a sampled unit on some variable desired in a survey. Issues involving coverage error; that is, missingness on sampling frame, are not in scope. However, papers that address the intersection of the coverage error and nonresponse error are eligible. Papers on editing and imputation, as a tool to address missing data, are in scope, but should have evaluations of the methods being described. Review papers, synthesizing an area of research findings, are eligible for the monograph. We hope to assemble papers across the breadth of research issues in survey nonresponse, including: Unit nonresponse vs. item nonresponse; design vs. estimation (including variance estimation); methods to reduce nonresponse and methods to measure the effects of nonresponse; theory (both social science and statistical) vs. practice; onetime vs. longitudinal surveys; surveys of persons, households, establishments; item missing data on objective and subjective measurements; relationships between nonresponse error and other survey errors.

This is a call for abstracts of papers to be considered for the edited volume. Senior authors of the monograph papers will have travel and lodging expenses paid at the conference. A later "contributed paper" call will be made for abstracts of papers to be presented at the conference.

HOW TO SUBMIT ABSTRACTS: An abstract of 500 words should be submitted as an attachment to ICSN@SURVEY.UMD.EDU. Questions about submissions can also be sent to that ID, or queries can be posed to Robert M. Groves, chair of the editorial committee, at 301-314-7911.

>From GCarcagno@mathematica-mpr.com Mon Sep 22 07:49:01 1997

Received: from relay3.smtp.psi.net (relay3.smtp.psi.net [38.8.210.2])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id HAA14818 for <aapornet@usc.edu>; Mon, 22 Sep 1997 07:48:57 -0700

(PDT)

Received: from math3.mathinc by relay3.smtp.psi.net (8.8.3/SMI-5.4-PSI)

id KAA00143; Mon, 22 Sep 1997 10:48:34 -0400 (EDT)

Received: by math3.mathinc with SMTP (Microsoft Exchange Server Internet

Mail Connector Version 4.0.994.63)

id <01BCC745.01378D60@math3.mathinc>; Mon, 22 Sep 1997 10:48:04 -0400

Message-ID: <c=US%a=\_%p=MATHINC%l=MPR5-970922144358Z-31490@math3.mathinc>

From: George Carcagno <GCarcagno@mathematica-mpr.com>

To: "'aapornet@usc.edu'" <aapornet@usc.edu>

Subject: Senior Survey Researcher Positions Open

Date: Mon, 22 Sep 1997 10:43:58 -0400

X-Mailer: Microsoft Exchange Server Internet Mail Connector Version

4.0.994.63

- > Mathematica Policy Research, Inc., a leading employee-owned survey
- >research organization, has positions available in its Princeton, NJ and
- >Washington, DC offices for senior survey researchers. Mathematica's
- >survey group is growing rapidly, and we are looking for senior survey
- >professionals who want to work in a challenging and stimulating
- >environment. Applicants must have senior-level experience designing and
- >directing complex survey research projects in support of public policy
- >research studies.
  
- > The positions require at least ten years of experience. We are
- >especially interested in applicants with survey expertise in health
- >services research and early childhood development.
  
- > Job qualifications include an advanced degree in the social sciences,

>statistics, or a related field, or an equivalent combination of education  
>and experience. The positions require extensive knowledge of survey  
>research methods, strong management and business development  
>skills, and excellent written and oral communication skills.

> We offer a competitive salary and benefits package. Contact:

> Ms. Patty Welsh

> MPR

> P.O. Box 2393

> Princeton, NJ 08543

> 609-937-2767

> Fax: 609-799-0005

> E-mail: PWelsh@mathematica-mpr.com

> Visit our website at [www.mathematica-mpr.com](http://www.mathematica-mpr.com)

>

>

>

>From beniger@rcf.usc.edu Mon Sep 22 09:50:31 1997

Received: from almaak.usc.edu (almaak.usc.edu [128.125.19.166])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id JAA17319 for <aapornet@usc.edu>; Mon, 22 Sep 1997 09:50:29 -0700

(PDT)

Received: from localhost (beniger@localhost)

by almaak.usc.edu (8.8.4/8.8.4/usc) with SMTP

id JAA19323 for <aapornet@usc.edu>; Mon, 22 Sep 1997 09:50:29 -0700

(PDT)

Date: Mon, 22 Sep 1997 09:50:29 -0700 (PDT)

From: James Beniger <beniger@rcf.usc.edu>

To: AAPORNET <aapornet@usc.edu>

Subject: Growing Controversy on Research Ethics

Message-ID: <Pine.SV4.3.94.970922094253.12860E-100000@almaak.usc.edu>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

A new report questions the ethics of 15 studies currently being conducted in third-world countries on ways of reducing the transmission of HIV from mothers to infants.

- researchers from the Public Citizen's Health Research Group in Washington, D.C. write in the report that the studies involve placebo groups in which mothers with HIV are not given the test treatment of AZT, even though it is already known that AZT can significantly reduce mother-infant HIV transmission.
- the report notes that nine of the 15 studies are funded by the U.S. government, and that the experimental designs being used would be considered unethical if conducted in the U.S.
- the report is in The New England Journal of Medicine (1997;337(12):847-849, 853-855).

---

>From Med-Brief, (c) 1997 Intelligent Network Concepts, Inc.

>From paniotto@kmis.kiev.ua Mon Sep 22 11:48:19 1997

Received: from shiva.adam.kiev.ua (shiva.adam.kiev.ua [194.93.172.35])  
by usc.edu (8.8.4/8.7.2/usc) with ESMTP  
id LAA22230 for <aapornet@usc.edu>; Mon, 22 Sep 1997 11:48:02 -0700  
(PDT)

Received: from kmisua.UUCP (uucp@localhost)  
by shiva.adam.kiev.ua (8.8.7/8.8.7) with UUCP id VAA32505;  
Mon, 22 Sep 1997 21:43:59 +0300

Received: by kmis.kiev.ua (UUPC/@ v5.09gamma, 14Mar93);  
Sun, 21 Sep 1997 21:18:42 +0200

To: 21434vs@msu.edu

Cc: 556-3938@mcimail.com, aapornet@usc.edu, adqa@musica.mcgill.ca,  
ajm5@columbia.edu, alla@pgm.kiev.ua, alw1006@cus.cam.ac.uk,

Message-Id: <AAHCN9quP8@kmis.kiev.ua>

From: "Vladimir I. Paniotto" <paniotto@kmis.kiev.ua>

Date: Sun, 21 Sep 97 21:18:41 +0200

X-Mailer: BML [MS/DOS Beauty Mail v.1.36]

Subject: KIIS Fall Omnibus Survey

21 September 97

Dear Colleagues,

Between October 30 and November 25, 1997 the Kiev International Institute of sociology will conduct an omnibus-survey of the adult population of Ukraine (16+). A large part of the questionnaire is reserved for potential clients. We are inviting you to take part in this survey.

Enclosed you will find information about survey and about conditions of including your questions in the questionnaire;

We would be glad to cooperate with you.

Sincerely yours,

Director, doctor of science

Vladimir Paniotto

For more information, write or call

Vladimir Paniotto, Director of KIIS

(Kiev International Institute of Sociology)

Milchakova 1/18, kv.11, Kiev-253002, UKRAINE

Phone (380-44)-517-3949

Fax (380-44)-228-0875

E-mal: [paniotto@kmis.kiev.ua](mailto:paniotto@kmis.kiev.ua)

KIIS UKRAINE OMNIBUS SURVEY

The Kiev International Institute of Sociology informs that between October 30 and November 25, 1997 it will conduct an omnibus survey of the

adult population of Ukraine.

Sample. 1800 respondents aged 16 years and older, living in Ukraine. Sample is based on random selection of 200 sampling points (post-office districts) all over the Ukraine. The sampling process consists of random selection of streets, buildings and apartments inside each post-office district. The last stage - random selection of respondents from families. The sample is representative not only for Ukraine as a whole but for separate regions and groups of regions.

Closing Date for Questions: 20 October 1997

Results Available: 25 November 1997 (Marginals and the data in SPSS-file)

Costs:

Entry fee \$380 plus \$260 per closed (pre-coded) question, \$370 for open-ended question (receiving the information without including your own questions - \$19 per question).

Discount:

- for clients who will purchase more than 10 questions - 10% discount;
- for clients who purchased data of one previous omnibus - \$200 per closed question and \$330 per open-ended question.

Questions already included in questionnaire:

Demography: sex, age, education, ethnicity, marital

status, occupational status, socio-economic status, language, religion,  
place of residence - oblast, city or village, size.

Political questions: view on general situation in  
Ukraine, social problems, attitudes toward economic reforms, private  
property, free market, opinion about the Black Sea fleet, Crimea,  
relations with Russia, independence of Ukraine, nuclear weapons, language  
problems.

The full list of questions (with exact wording) will be send on your  
request.

>From daves@startribune.com Mon Sep 22 13:59:38 1997

Received: from firewall2.startribune.com (firewall2.startribune.com  
[132.148.80.211])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id NAA05889 for <aapornet@usc.edu>; Mon, 22 Sep 1997 13:59:34 -0700  
(PDT)

Received: by firewall2.startribune.com; id PAA08668; Mon, 22 Sep 1997  
15:59:00 -0500 (CDT)

Received: from gw.startribune.com(132.148.71.49) by  
firewall2.startribune.com via smap (3.2)

id xma008646; Mon, 22 Sep 97 15:59:00 -0500

Received: from STAR-Message\_Server by mail.startribune.com

with Novell\_GroupWise; Mon, 22 Sep 1997 16:01:40 -0600

Message-Id: <s4269664.073@mail.startribune.com>

X-Mailer: Novell GroupWise 4.1

Date: Mon, 22 Sep 1997 16:00:03 -0600

From: Rob Daves <daves@startribune.com>



To: aapornet@usc.edu

Subject: "Best & Worst Practices" now available on AAPOR web site

Fellow researchers,

"Best Practices for Survey and Public Opinion Research and Survey Practices AAPOR Condemns," originally published earlier this year in booklet form, is now available at AAPOR's web site. Point your browser to <http://www.aapor.org>, and go to the ethics section.

We plan to continue updating aapor.org and regularly adding things that would be helpful to public opinion researchers.

Rob Daves, publications chair

>From DOrourke@SRL.UIC.EDU Tue Sep 23 10:05:24 1997

Received: from eeyore.cc.uic.edu (EEYORE.CC.UIC.EDU [128.248.171.51])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id KAA00149 for <aapornet@usc.edu>; Tue, 23 Sep 1997 10:05:17 -0700

(PDT)

Received: from SRL.UIC.EDU (MAIL.SRL.UIC.EDU [128.248.232.55])

by eeyore.cc.uic.edu (8.8.5/8.8.5) with SMTP id MAA27674

for <aapornet@usc.edu>; Tue, 23 Sep 1997 12:01:50 -0500 (CDT)

Received: from main-Message\_Server by SRL.UIC.EDU

with Novell\_GroupWise; Tue, 23 Sep 1997 11:53:00 -0500

Message-Id: <s427ad9c.014@SRL.UIC.EDU>

X-Mailer: Novell GroupWise 4.1

Date: Tue, 23 Sep 1997 11:56:07 -0500

From: "Diane O'Rourke" <DOrourke@SRL.UIC.EDU>

To: aapornet@usc.edu

Subject: Job position available

SAMPLING OPERATIONS SUPERVISOR

SURVEY RESEARCH LABORATORY

The Survey Research Laboratory of the University of Illinois has an immediate opening at its Urbana office for an individual with a background in the social sciences to develop sampling design and selection techniques for population-based survey research projects.

Responsibilities include working with clients and staff on survey sample designs, planning methodological experiments, designing and selecting population samples, calculating sampling errors, writing reports, supervising staff, preparing and monitoring budgets, advising faculty and staff on research designs, and proposal development. Minimum requirements: BA/BS in a social science, quantitative methods, or related field with sampling methods course work, with at least 2 years' experience in research methods and supervisory responsibilities; or a Master's degree in social sciences or related field with demonstrated research and supervisory capabilities. Salary commensurate with experience. For full consideration, send resume by October 18, 1997 to Seymour Sudman, Ph.D., Survey Research Laboratory, University of Illinois, 909 W. Oregon, Suite 300, Urbana, IL 61801. NO PHONE CALLS. The University is an affirmative action/equal opportunity employer.

>From mkuechle@shiva.hunter.cuny.edu Tue Sep 23 14:41:26 1997

Received: from shiva.hunter.cuny.edu (shiva.hunter.cuny.edu [146.95.128.96])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id OAA22211 for <aapornet@usc.edu>; Tue, 23 Sep 1997 14:41:20 -0700

(PDT)

Received: from social54.hunter.cuny.edu (social54.hunter.cuny.edu  
[146.95.12.54])  
by shiva.hunter.cuny.edu (8.8.7/8.8.7/08.30.97) with SMTP id RAA06304  
for <aapornet@usc.edu>; Tue, 23 Sep 1997 17:42:32 -0400 (EDT)  
Message-Id: <3.0.3.32.19970923173831.008b39b0@shiva.hunter.cuny.edu>  
X-Sender: mkuechle@shiva.hunter.cuny.edu  
X-Mailer: QUALCOMM Windows Eudora Pro Version 3.0.3 (32)  
Date: Tue, 23 Sep 1997 17:38:31 -0400  
To: aapornet@usc.edu  
From: Manfred Kuechler <mkuechle@shiva.hunter.cuny.edu>  
Subject: Lang and Lang Paper available on the Web  
Mime-Version: 1.0  
Content-Type: text/plain; charset="us-ascii"

In a recent posting to AAPORNET (Sep 12) -- related to the  
Simpson/Noelle-Neumann controversy -- Kurt Lang pointed to the apparent  
reluctance on part of a fair number of influential scholars in the field to  
accept anything critical of the "Spiral of Silence". As a case in point he  
cited the flat rejection by both POQ and IJPOR of a paper that tried to put  
the issue in a larger context.

I think that the paper by Kurt and Gladys Lang is a very valuable  
contribution and -- with the approval of the Langs -- I have made their  
paper available on the web at:

<http://maxweber.hunter.cuny.edu/socio/facpaper/BWAGON.htm>

Feel free to visit and download.

Manfred Kuechler

Sociology Department at Hunter College (CUNY)

695 Park Avenue, NY, NY 10021

Tel: 212-772-5588

Fax: as above, then select "3" from voice mail menu (\*\*NEW\*\* as of 7/21/97)

WWW: <http://social54.hunter.cuny.edu/> (experimental as of 8/6/97) OR

<http://maxweber.hunter.cuny.edu/socio/>

>From daves@startribune.com Wed Sep 24 07:24:01 1997

Received: from firewall2.startribune.com (firewall2.startribune.com [132.148.80.211])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id HAA02922 for <AAPORNET@usc.edu>; Wed, 24 Sep 1997 07:23:56 -0700

(PDT)

Received: by firewall2.startribune.com; id JAA18621; Wed, 24 Sep 1997

09:23:27 -0500 (CDT)

Received: from gw.startribune.com(132.148.71.49) by firewall2.startribune.com via smap (3.2)

id xma018507; Wed, 24 Sep 97 09:23:02 -0500

Received: from STAR-Message\_Server by mail.startribune.com

with Novell\_GroupWise; Wed, 24 Sep 1997 09:25:42 -0600

Message-Id: <s428dc95.084@mail.startribune.com>

X-Mailer: Novell GroupWise 4.1

Date: Wed, 24 Sep 1997 09:24:09 -0600

From: Rob Daves <daves@startribune.com>

To: bgroves@survey.umd.edu, AAPORNET@usc.edu

Subject: Scout Report for the Social Sciences

AAPORfolk ...

I thought many of us might find this useful, so I've taken the liberty of attaching Vol. 1, No. 1 of the Internet Scout Report for the Social Sciences. It is a weekly compilation of new or interesting web sites dealing with the social sciences and humanities. If you'd like to subscribe to it -- you receive it once a week via e-mail -- subscription information is at the bottom of the report.

Rob Daves

Director of Polling & News Research

Star Tribune

Minneapolis MN 55488 612-673-7278 daves@startribune.com

>>> Michael de Nie <mwdenie@cs.wisc.edu> 09/23/97 02:22pm >>>

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===== Social Sciences Report ==
===== September 23, 1997 =====
===== Volume 1, Number 1 =====

===== Internet Scout Project =====
===== University of Wisconsin =====
===== Department of Computer Sciences =====
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===== SCOUT REPORT =====
===== FOR THE =====
===== SOCIAL SCIENCES =====
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THIS WEEK

===== Research =====

1. The Data Archive
2. Cambridge University Press Journals Online
3. European Association of Sinological Librarians (EASL)
4. Working Papers--Center for Demography and Ecology (CDE)
5. Electronic Journal of Africana Bibliography (EJAB)

===== Learning Resources =====

6. CAIN Web Service--The Northern Ireland Conflict
7. The Valley of the Shadow Archive: Two Communities in the American Civil War
8. Fieldwork--The Anthropologist in the Field
9. International Constitutional Law
10. Syllabus Web

===== Professional and General Interest =====

11. Conference Announcements
12. Job Guides
13. UN International Criminal Tribunal for the Former Yugoslavia (ICTY)
14. FinAid
15. European Museum Guide

===== Publications =====

16. American Graduate
17. Latin American Studies Association LASA97 Papers Online
18. New Think Tank Policy Papers and Briefs
19. Academia Book Releases--Baker & Taylor--September 1997
20. 33 New ERIC Digests--August 1997
21. New Tables of Contents/Abstracts

===== New Data =====

22. Basic Tables: 1990 Demographic Profile Generator

23. Housing Vacancies and Home Ownership--Second Quarter 1997

===== In the News =====

24. Scottish and Welsh Devolution

Copyright and subscription information appear at the end of the Social Sciences Report. For more information on all services of the Internet Scout Project, please visit our website: <http://scout.cs.wisc.edu/scout/>

If you'd like to know how the Internet Scout team selects resources for inclusion in the Scout Report and the Social Sciences Report, visit our "Selection Criteria" page at:

<http://scout.cs.wisc.edu/scout/report/criteria.html>

Feedback is always welcome: [scout@cs.wisc.edu](mailto:scout@cs.wisc.edu)

===== Research =====

1. The Data Archive

<http://dawwww.essex.ac.uk/>

The University of Essex hosts "the largest collection of accessible computer-readable data in the social sciences and humanities in the United Kingdom," housing over 7000 datasets of information for secondary research

in a variety of disciplines. Users can search the catalog and indexes by subject or keyword using the BIRON (Information Retrieval On-line) system or its associated thesarus, HASSET (Humanities And Social Science Electronic Thesaurus). Bibliographic information returned is comprehensive. The site also features links to a number of other archives and social science information services, including the CESSDA Integrated Data Catalog, "a unified collection of mainly European social science data archive catalogues, which can be searched through one common interface." Authorized users (conditions vary by dataset) can order data in a variety of formats and media. [MD]

## 2. Cambridge University Press Journals Online

[http://www.journals.cup.org/cup/html/nm\\_intro.htm](http://www.journals.cup.org/cup/html/nm_intro.htm)

Cambridge University Press (CUP) has unveiled a new free service that gives users full access to its online journals. After registration, users can browse the content of all Cambridge online journals, read abstracts, download the full text in PDF format, and sign up for an alerting service. CUP plans to make at least 50 titles available by the end of 1997. At present there are several titles of interest to social scientists, including Journal of American Studies, The Journal of African History, Journal of Latin American Studies, and Journal of Social Policy. Please note that free access to full text articles will cease at an unspecified date in late 1997. However, tables of contents, abstracts and search facilities will remain free to all. [MD]

## 3. European Association of Sinological Librarians (EASL)



<http://www.uni-kiel.de:8080/ORIENTALISTIK/easl/easl.html>

This site is an excellent collection of resources for both librarians and scholars interested in China. Highlights include recent and past issues of BEASL (Bulletin of the European Association of Sinological Librarians), notes from European China Library Groups, and an annotated list of selected European Sinological Libraries which includes information on holdings, focus, communication, and some hyperlinks. The site also offers telnet access to the catalogue of the Sinological Series in European Libraries Project (SSLEP). Two large collections of links are also featured: the first to libraries and East Asian Library Associations worldwide, the second to a wide variety of general and specific Asian resources. [MD]

4. Working Papers--Center for Demography and Ecology (CDE) [.pdf, .ps]

<http://www.ssc.wisc.edu/cde/workpap.htm>

The CDE at the University of Wisconsin-Madison is "a multi-disciplinary faculty research cooperative for social scientific demographic research whose membership includes sociologists, rural sociologists, economists, and historians." CDE research focuses "on population composition and distribution within the United States, especially on changes in family structure and process and social inequality, but the total range of research and training activities of CDE members is far broader in content and scope." This page features two working paper series: CDE papers and National Survey of Families and Households (NSFH) papers. Selected papers (Adobe Acrobat [.pdf] and PostScript) are available online, but ordering information is provided and available abstracts may be viewed without special software.

[MD]

## 5. Electronic Journal of Africana Bibliography (EJAB)

<http://www.lib.uiowa.edu/proj/ejab/>

Provided by John Howell, International Studies Bibliographer at the University of Iowa Libraries, "EJAB is a refereed online journal of bibliographies on any aspect of Africa, its peoples, their homes, cities, towns, districts, states, countries, regions, including social, economic sustainable development, creative literature, the arts, and the Diaspora." The site currently has three bibliographies: Guides, Collections and Ancillary Materials to African Archival Resources in the US; Foreign Periodicals on Africa; and Medical/Health Periodicals and Books on Africa. Combined, they contain over 2100 entries. Anyone studying Africa will undoubtedly find numerous important resources. [MD]

===== Learning Resources =====

## 6. CAIN Web Service--The Northern Ireland Conflict

<http://cain.ulst.ac.uk/index.html>

The CAIN Project (Conflict Archive on the Internet) is in the process of creating a wonderful multimedia resource for anyone researching or teaching "the Troubles." The site is still under development, but the amount of information already offered is well worth a visit. Sections currently available include Background to the Conflict, Key Issues, Key Events, and Bibliographic Databases. Sections on Northern Ireland Society, Conflict

Studies, and a Directory of Researchers are under development. Users may conduct both full text page and bibliographic searches of the entire site. Although the Key Issues and Events sections will eventually be the largest, the Background area currently has the most content. Among its offerings are a glossary and thesaurus of relevant terms, acronyms of prominent organizations, a bibliography and chronology of the conflict, a guide to research data, related links, and a photo collection which includes political wall murals. [MD]

7. The Valley of the Shadow Archive: Two Communities in the American Civil War <http://jefferson.village.Virginia.EDU/vshadow2/>

This ambitious and well-executed web site is the product of a University of Virginia research Project funded in part by the National Endowment for the Humanities. It seeks to document the story of the Civil War as seen by the people of two communities in the Great Valley of the United States which were separated by only a few hundred miles: Franklin County, Pennsylvania and Augusta County, Virginia. Users can take a walking tour of the archive or search its rooms, which include Public Records, Newspapers, Letters and Diaries, Church Records, Military Records, or Maps and Images. Collectively, they contain an amazing amount of primary source material. The site also features a Reference Center, which includes a bibliography, tools for using the archive, and examples from teachers who have used the project in the classroom. In addition, this site, which focuses on the period between John Brown's Raid in October 1859 and the outbreak of the Civil War in April 1861, is only the first of three planned installments. The project plans to document life in these counties through Emancipation and Reconstruction.

[MD]

## 8. Fieldwork--The Anthropologist in the Field

<http://www.truman.edu/academics/ss/faculty/tamakoshi/index.html>

This site is part of an effort by Professor Laura Tamakoshi of Truman State University to deepen her student's understanding and appreciation of fieldwork in anthropology. The content is based on Dr. Tamakoshi's five and one-half years of research in Papua New Guinea, but her experiences and advice can be applied to any region. The site is divided into four major sections, Planning, Method, Writing, and Reference, which address such topics as writing proposals, choosing field sites, setting up, adjusting to culture shock, and writing field notes and reports. The Reference section also contains a bibliography and a short list of links. This site is especially useful for Anthropology graduate students planning their research, but anyone with an interest in the field or in Papua New Guinea will find the site interesting and engaging. [MD]

## 9. International Constitutional Law

<http://www.uni-wuerzburg.de/law/home.html>

Hosted by the University of Wuerzburg (Germany), International Constitutional Law (ICL) provides English texts of constitutional documents and links to background information on over seventy countries. Documents are cross-referenced for comparison of constitutional provisions. Also featured are links to Constitutional Court sites, a Model Constitutional Code, a section on German Case Law, a comprehensive list of international organizations, and a strong collection of links to constitutional and

international law and constitution sites. The material available at ICL is widely applicable to fields such as political science, international relations, or government, and could be very useful for research projects in both secondary and university classrooms. [MD]

#### 10. Syllabus Web

<http://www.syllabus.com/>

This site is produced by Syllabus Press, publishers of the free *Syllabus Magazine*, which covers the use of technology in secondary and higher education. Syllabus Web features full text archives of several of the company's publications and an index of case studies on technology innovation in classrooms. The site also has four sections which are updated weekly: Job Listings, Case Studies, News/Resources/Trends, and Higher Education Web Site. Additional content includes detailed information on the annual Syllabus Conference and reviews of the latest educational technology products. [MD]

===== Professional and General Interest =====

#### 11. Conference Announcements

IRISS'98 Internet Research and Information for Social Scientists

<http://www.sosig.ac.uk/iriss/>

March 25-27, 1998 University of Bristol, UK. The first international IRISS

conference aims to bring together social scientists who are interested in the Internet, either as a means of supporting and enhancing their work, or as a focus for their research. IRISS is aimed at people working in the social sciences and they invite papers and participation from practitioners, researchers, librarians, educators, and information providers. [MD]

"Globalization From Below: Contingency and Contestation in Historical Perspective" <http://jefferson.village.virginia.edu/~spoons/global/>

February 5-8, 1998 Duke University, Durham, North Carolina, USA. "This conference is concerned with globalization as a dynamic, contested and often contingent process. Rather than concentrating upon the huge, apparently irresistible structures that have shaped our world in the last 500 years, we will look rather at how different people and groups in specific situations and places have struggled to come to terms with, and often conduct resistance against, the developing global system." [MD]

(For links to additional calls for papers and conference announcements, see the Conference section of the Current Awareness Resources Page (<http://scout.cs.wisc.edu/scout/report/socsci/metapage/index.html>)).

## 12. Job Guides

H-Net Job Guide for 22 September 1997

<http://www.h-net.msu.edu/jobs/jobcats.cgi>

American Studies Crossroads Project Opportunities Database

<http://home.dc.lsoft.com/archives/opportunities.html>

American Sociological Association Employment Bulletin September 1997

<http://www.asanet.org/eb0997.htm>

(For links to additional Job Guides, see the Employment/Funding section of the Current Awareness Resources Page

(<http://scout.cs.wisc.edu/scout/report/socsci/metapage/index.html>)).

13. UN International Criminal Tribunal for the Former Yugoslavia (ICTY)

<http://www.un.org/icty/>

The ICTY was established by the UN Security Council in May 1993 to "prosecute persons responsible for serious violations of international humanitarian law committed in the territory of the former Yugoslavia since 1991." This site was recently established to provide information on the Tribunal's proceedings. Users can view the latest documents and news, press releases, and lists of detainees and indictments. Other features at this site include Tribunal Publications, Basic Legal Documents, Tribunal cases, the ICTY\_Bulletin\_, and links to information on the Dayton Peace Agreement. Quick access to information is provided in the ICTY at a Glance section and the entire site is also searchable. [MD]

14. FinAid

<http://finaid.org/>

Maintained by Mark Kantrowitz and sponsored by the National Association of Student Financial Aid Administrators (NASFAA), the Financial Aid Information Page is one of the finest online sources of free information and guidance on student financial aid. The site is divided into categories which address various aspects of finding and securing aid. The Assistance section includes an overview of financial aid, a glossary, a bibliography, and scam alerts. Under Tools users will find Kantrowitz's financial aid calculators, which help students and their parents better plan their financial futures. The core of the site is the collection of links to databases of aid sources, lists of lenders and loan guarantors, government information, and links to major scholarship and fellowship sources such as FastWEB, SRN Express, and ExPAN. FinAid is an excellent place for students at any level to begin their electronic search for funding. [MD]

#### 15. European Museum Guide

<http://www.museumguide.com:80/>

Museum Media Publishers publishes this guide to the major museums in twelve European countries. The current edition describes some 1500 exhibitions planned between May 1997 and May 1998. Museums and exhibitions are listed alphabetically by country and city. Each entry describes the museum's collection, visiting information, and a description of their exhibitions through May 1998. When possible, a hyperlink to the museum's web site is also provided. Users can also view a chronological index of all the exhibitions in each country or search the site by museum or exhibition. [MD]



==== Publications ====

16. American Graduate [http://www.usm.edu/~history/american\\_graduate/](http://www.usm.edu/~history/american_graduate/)

American Graduate, provided by the Department of History at the University of Southern Mississippi, is a new free e-journal of social and cultural history aimed at graduate students in History and related fields. Each issue will include essays written by graduate students, book reviews, news and announcements, and interviews with established historians. [MD]

17. Latin American Studies Association LASA97 Papers Online [.pdf]

<http://info.pitt.edu/~lasa/elecpapers.htm>

No frames

<http://info.pitt.edu/~lasa/epapersnoframes.htm>

The Latin American Studies Association has recently begun to place papers from the LASA97 meeting online in Adobe Acrobat (.pdf) format. Papers are organized into 17 different categories, including Agrarian and Rural Issues, Latinos in the US, Gender, and Democratization. [MD]

18. New Think Tank Policy Papers and Briefs

Gary Burtless, "The Future of Organized Labor"--Brookings Institution

<http://www.brookings.edu/es/oped/burtless/8-26-97.htm>

Ariel Cohen, "Russia's Assault on Religious Freedom"--Heritage Foundation

<http://www.heritage.org/heritage/library/categories/forpol/bg1137.html>

[http://www.heritage.org/heritage/library/pdf\\_library/backgrounder/bg\\_1137.pdf](http://www.heritage.org/heritage/library/pdf_library/backgrounder/bg_1137.pdf)  
f [. pdf]

Keith Watson and Steven D. Gold, "The Other Side of Devolution: Shifting Relationships Between State and Local Governments"--Urban Institute  
<http://newfederalism.urban.org/html/other.htm>  
.PDF version (16p.) <http://newfederalism.urban.org/pdf/other.pdf>

Alan Vick, David T. Orletsky, Abram N. Shulsky, John Stillion, "Preparing the US Air Force for Military Operations Other Than War"--Rand Organization  
<http://www.rand.org/publications/MR/MR842/MR842.pdf/>

(For links to additional new Think Tank publications see the Think Tank Policy Papers section on the Current Awareness Resources Page (<http://scout.cs.wisc.edu/scout/report/socsci/metapage/index.html>)).  
[MD]

19. Academia Book Releases--Baker & Taylor--September 1997  
<http://www.baker-taylor.com/Academia/M09/UBBS.html>  
(Please see the Publications section of the Current Awareness Resources Page for links to new book releases sorted by specific fields (<http://scout.cs.wisc.edu/scout/report/socsci/metapage/index.html>)).  
[MD]

20. 33 New ERIC Digests--August 1997  
[http://www.ed.gov/databases/ERIC\\_Digests/index/](http://www.ed.gov/databases/ERIC_Digests/index/)

33 New ERIC Digests, "short reports (1,000 - 1,500 words) on topics of prime current interest in education," were added to the US Education Department's ERIC Digest Page in August 1997. The full text ERIC Digest database contains over 1,700 Digests. [JS]

21. New Tables of Contents/Abstracts for recent and forthcoming issues are available for the following Journals: [MD]

\_Archaeology Magazine\_ <http://www.he.net/~archaeol/9709/index.html>

\_History and Theory\_ <http://www.wesleyan.edu/histjrnl/forthcom.htm>

\_Social Science and Medicine\_

<http://www.elsevier.com/estoc/publications/store/6/02779536/SZ976801.shtml>

\_Columbia Journalism Review\_

<http://www.cjr.org/>

\_Review of Politics\_

<http://www.nd.edu/~rop/recent.forthcoming/summer97/introsummer.htm>

===== New Data =====

22. Basic Tables: 1990 Demographic Profile Generator

<http://www.oseda.missouri.edu/uic/uicapps/xtabs3.html>

The University of Missouri-St. Louis Urban Information Center has recently updated this online application, which allows users to "generate a single 1990 'Basic Tables' (demographic profile) report for any of the supported geographic units, including census tract, block group, city (no size limit),

5-digit ZIP code, state, county or metro area for anywhere in the United States. Examples are provided to assist users. [MD]

#### 23. Housing Vacancies and Home Ownership--Second Quarter 1997

<http://www.census.gov/ftp/pub/hhes/www/hvs.html>

The Census Bureau has recently released data on housing vacancies and home ownership for the second quarter 1997. The site features the Bureau press release, tables, annual statistics for 1995 and 1996, and a graph of homeownership rates by region. [MD]

===== In the News =====

#### 24. Scottish and Welsh Devolution

The Scottish Devolution Web Site

<http://www.scottish-devolution.org.uk/frame.htm>

Record Campaign: Make it a Double for Scotland's Parliament

<http://www.record-mail.co.uk/rm/devo/>

A Voice for Wales

<http://floor.ccta.gov.uk:8080/assembly/english/homepage.nsf>

Scottish National Party

<http://www.snp.org.uk>

Plaid Cymru

<http://www.plaidcymru.org>

On 11 September the Scottish people voted strongly in favor of creating

their own Parliament and granting that body tax varying powers. One week later, Wales approved its first devolved government in over 500 years by the narrowest of margins (0.6%). The first site is the official devolution site by the office of the Secretary of State for Scotland. It includes the full text of the White Paper, an explanation of the main elements of the Government's proposals, the full text of the Referendum Act and its results by region. The second site is put up by Scotland's largest-selling newspapers, the Daily Record and Sunday Mail, which strongly supported the initiative. Their site has a number of items of interest, including the latest devolution news, a chat room, a resource guide, and a history of the fight for Scottish home rule. A Voice for Wales is the official devolution site of the Secretary of State for Wales. It features full text press releases and the White Paper on Welsh devolution. The site is also available in Welsh. Naturally, the Scottish National Party and Plaid Cymru (the Welsh nationalist party) strongly backed both initiatives. Their sites offer profiles of party leaders, party manifestos and white papers, and the latest news. [MD]

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Michael de Nie

Project Assistant

Internet Scout Project

<http://scout.cs.wisc.edu>

e-mail: [mwdenie@cs.wisc.edu](mailto:mwdenie@cs.wisc.edu)

608.265.4678

>From FNEUROHR@pch.com Wed Sep 24 14:45:09 1997

Received: from mail.pch.com (firewall-user@mail.pch.com [209.2.117.2])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id OAA19833 for <aapornet@usc.edu>; Wed, 24 Sep 1997 14:45:02 -0700

(PDT)

Received: by mail.pch.com; id RAA29861; Wed, 24 Sep 1997 17:26:45 -0400

(EDT)

Received: from unknown(10.1.1.6) by mail.pch.com via smap (3.2)

id xma029859; Wed, 24 Sep 97 17:26:24 -0400

Received: from PCH-Message\_Server by pch.com

with Novell\_GroupWise; Wed, 24 Sep 1997 17:47:54 -0500

Message-Id: <s429524a.043@pch.com>

X-Mailer: Novell GroupWise 4.1

Date: Wed, 24 Sep 1997 17:47:10 -0500

From: Fred Neurohr <FNEUROHR@pch.com>

To: aapornet@usc.edu

Cc: kbergend@pch.com

Subject: TextSmart (TM) Software

Mime-Version: 1.0

Content-Type: text/plain

Content-Disposition: inline

Does anyone have any experience using TextSmart(tm) from SPSS? It's a new program (new to ME, anyway) geared toward survey researchers that uses linguistics technology to help analyze open-ended questionnaire data to save time on coding.

It sounds terrific, but before I take it for a spin, I would like to hear more about it from others in the research community.

I look forward to your insight,

Fred Neurohr

Manager of Marketing Research

Publishers Clearing House



Port Washington, NY

ps. For those of you who are interested, I found information on TextSmart (tm) at the following website:

<http://www.spss.com/software/TextSmart/>

>From MILTGOLD@aol.com Thu Sep 25 04:19:47 1997

Received: from emout16.mail.aol.com (emout16.mx.aol.com [198.81.11.42])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id EAA18200; Thu, 25 Sep 1997 04:19:43 -0700 (PDT)

From: MILTGOLD@aol.com

Received: (from root@localhost)

by emout16.mail.aol.com (8.7.6/8.7.3/AOL-2.0.0)

id HAA00898;

Thu, 25 Sep 1997 07:19:13 -0400 (EDT)

Date: Thu, 25 Sep 1997 07:19:13 -0400 (EDT)

Message-ID: <970925071912\_1043626383@emout16.mail.aol.com>

To: FNEUROHR@pch.com, owner-aapornet@usc.edu, aapornet@usc.edu

cc: kbergend@pch.com

Subject: Re: TextSmart (TM) Software

In a message dated 9/25/97 1:32:03 AM, FNEUROHR@pch.com (Fred Neurohr) wrote:

<<Does anyone have any experience using TextSmart(tm) from SPSS? It's a new program (new to ME, anyway) geared toward survey researchers that uses linguistics technology to help analyze open-ended questionnaire data to save time on coding.

It sounds terrific, but before I take it for a spin, I would like to hear more about it from others in the research community.

>>

You're right, it seems to be very new yet tantalizing. I just received literature about it from SPSS. May I suggest something that seems almost too simple: you or others contact the marketing representatives at SPSS, either in Chicago at 312-329-2400, their main number I believe, or in your local area if SPSS has an office there. I'm in Washington, DC and they have a Federal marketing office there. Ask those representatives directly: can they tell you of any studies, articles, analyses, etc. or research investigators currently using the product, and how effective the product's been in those settings? Sometimes the reps track down users and studies to induce others to buy/examine the product.

Also you said: "take it for a spin"--- perhaps they do have a demo or limited edition version or can loan it to you on a short-term basis.

Good luck!

Milton R. Goldsamt, Ph.D.

Dept. of Justice

miltgold@aol.com

>From KDOUMA@pscinc.com Thu Sep 25 05:35:47 1997

Received: from vixa.voyager.net (vixa.voyager.net [198.109.136.2])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id FAA27652 for <aapornet@usc.edu>; Thu, 25 Sep 1997 05:35:41 -0700

(PDT)

Received: from pscinc.com (ftp.pscinc.com [207.74.101.2]) by

vixa.voyager.net (8.8.5/CICNet) with SMTP id IAA17275 for

<aapornet@usc.edu>; Thu, 25 Sep 1997 08:35:03 -0400 (EDT)

Received: from GWDOMAIN-Message\_Server by pscinc.com

with Novell\_GroupWise; Thu, 25 Sep 1997 08:31:29 -0400

Message-Id: <s42a2161.004@pscinc.com>

X-Mailer: Novell GroupWise 4.1

Date: Thu, 25 Sep 1997 08:30:30 -0400

From: Kara L Douma <KDOUMA@pscinc.com>

To: aapornet@usc.edu

Subject: Re: TextSmart (TM) Software

Mime-Version: 1.0

Content-Type: text/plain

Content-Disposition: inline

You may order the program for a 30-day free trial. My firm did this, and we received it yesterday. We are going to be using some old data sets that have both the verbatim responses to open-ended questions and the coded responses to these open-ended questions to see how the program does. I can let you know what we think in about a month.

>From slosh@garnet.acns.fsu.edu Thu Sep 25 10:55:27 1997

Received: from mailer.fsu.edu (mailer.fsu.edu [128.186.6.122])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id KAA12470 for <aapornet@usc.edu>; Thu, 25 Sep 1997 10:55:23 -0700

(PDT)

Received: from garnet.acns.fsu.edu (gmhub.acns.fsu.edu [128.186.195.10]) by mailer.fsu.edu (8.8.5/8.7.3) with ESMTP id NAA06828 for <aapornet@usc.edu>; Thu, 25 Sep 1997 13:54:22 -0400 (EDT)

Received: from garnet1.acns.fsu.edu (garnet1.acns.fsu.edu [128.186.195.2]) by garnet.acns.fsu.edu (8.8.6/8.8.6) with ESMTP id NAA92584; Thu, 25 Sep 1997 13:54:19 -0400

Received: from slosh.coss.fsu.edu (slosh.coss.fsu.edu [128.186.39.165]) by garnet1.acns.fsu.edu (8.8.6/8.8.6) with SMTP id NAA114396; Thu, 25 Sep 1997 13:54:18 -0400

Message-Id: <1.5.4.32.19970925174929.00706304@garnet.acns.fsu.edu>

X-Sender: slosh@garnet.acns.fsu.edu (Unverified)

X-Mailer: Windows Eudora Light Version 1.5.4 (32)

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

Date: Thu, 25 Sep 1997 13:49:29 -0400

To: aapornet@usc.edu

From: Susan Losh <slosh@garnet.acns.fsu.edu>

Subject: Re: IRB approval

Cc: slosh@garnet.acns.fsu.edu

I'd like to thank all the AAPOR people for their suggestions and support through a trying IRB experience. I added the phrase to the introduction "Your entire participation in this survey is voluntary and you only have to answer the questions you want" (PAUSE) and received approval this morning. No invitations to hang up. Not even "you have a right to discontinue this survey at any time" (one IRB suggestion that seemed to me to have Miranda overtones).

I'm sure this has been quite an education for our committee. Copies of

AAPOR's "Best Practices" and "Code of Ethics" as well as NCPP "20 Questions" have received wide circulation.

Thanks again!

Susan Losh

If time were money I'd be in debtor's prison.

Susan Losh  
Department of Sociology  
Florida State University  
Tallahassee FL 32306-2270  
(850) 644-1753  
FAX (850) 644-6208

>From beniger@rcf.usc.edu Thu Sep 25 11:39:51 1997

Received: from almaak.usc.edu (almaak.usc.edu [128.125.19.166])

by usc.edu (8.8.4/8.7.2/usc) with ESMTP

id LAA00307 for <aapornet@usc.edu>; Thu, 25 Sep 1997 11:39:48 -0700

(PDT)

Received: from localhost (beniger@localhost)

by almaak.usc.edu (8.8.4/8.8.4/usc) with SMTP

id LAA01792 for <aapornet@usc.edu>; Thu, 25 Sep 1997 11:39:45 -0700

(PDT)

Date: Thu, 25 Sep 1997 11:39:45 -0700 (PDT)

From: James Beniger <beniger@rcf.usc.edu>

To: AAPORNET <aapornet@usc.edu>

Subject: The Glamour of Questionnaire Construction

Message-ID: <Pine.SV4.3.94.970925111909.10601G-100000@almaak.usc.edu>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

Anyone who might think that questionnaire construction is anything but glamorous need only look at next month's issue of--of course-- "Glamour" Magazine, as abstracted below:

---

A glance at the October issue of "Glamour":

The top 10 college women

The winners of the magazine's annual competition for the top college women -- 10 seniors -- "have the muscle and brains to change the country," according to the editors. Among the winners are women who have taught kindergarten in South Africa, DEVISED A QUESTIONNAIRE for a long-term study of anorexic women, and established a child-care program for students who are parents.

One winner has even collected ice-core samples from an Antarctic glacier and helped find drums of toxic waste buried in New Mexico. Their career goals range from two students who want to run for public office to one who wants to be a college provost.

The institutions the women attend are the California Institute of Technology; Dartmouth College; Cornell, Temple, Tufts, and Yale Universities; and the Universities of California at Los Angeles, Chicago, Maryland at College Park, and Michigan. (The magazine's World-Wide Web address is <http://www.swoon.com/mag Rack/glamour.html>)

---

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>From dfor8320@uriacc.uri.edu Thu Sep 25 21:09:25 1997

Received: from URIACC.URI.EDU (URIACC.URI.EDU [131.128.1.1])

by usc.edu (8.8.4/8.8.4/usc) with SMTP

id VAA29325 for <aapornet@usc.edu>; Thu, 25 Sep 1997 21:09:11 -0700

(PDT)

Received: from \*unknown [198.115.225.13] by URIACC.URI.EDU (IBM VM SMTP V2R3a) with TCP ; Fri, 26 Sep 1997 00:09:12 EDT

Message-ID: <342B35BF.7773@uriacc.uri.edu>

Date: Fri, 26 Sep 1997 00:10:39 -0400

From: "David R. Fortin" <dfor8320@uriacc.uri.edu>

Reply-To: dfor8320@uriacc.uri.edu

X-Mailer: Mozilla 3.0Gold (Win95; I)

MIME-Version: 1.0

To: aapornet@usc.edu

Subject: Online Survey Experiment

References: <Pine.SUN.3.91.960319101025.5054J-100000@almaak.usc.edu>

Content-Type: text/plain; charset=us-ascii

Content-Transfer-Encoding: 7bit

Fellow aapor members,  
if you have a few minutes, please check out a new Online Survey Experiment  
about Advertising on the Web at:

<http://aptiva.cba.uri.edu>

It is unique in that it uses random allocation of subjects to different  
stimulus conditions in a true factorial design. This is part of my  
dissertation research. Feel free to distribute to anyone who might be  
interested. We offer five \$50 cash prizes as incentives. I will respond to  
anyone who wants further info on the methodology or how I constructed the  
whole thing.

Thanks for helping out. I will post top line results if there is interest  
from the list.

--

David R. Fortin

PhD Program

University of Rhode Island, USA

<mailto:dfor8320@uriacc.uri.edu>

<http://ritim.cba.uri.edu/david>

>From sparker@garnet.acns.fsu.edu Fri Sep 26 10:53:11 1997

Received: from mailer.fsu.edu (mailer.fsu.edu [128.186.6.122])

by usc.edu (8.8.4/8.8.4/usc) with ESMTP

id KAA05443 for <aapornet@usc.edu>; Fri, 26 Sep 1997 10:52:58 -0700

(PDT)

Received: from garnet.acns.fsu.edu (gmhub.acns.fsu.edu [128.186.195.10]) by  
mailer.fsu.edu (8.8.5/8.7.3) with ESMTP id NAA21264 for <aapornet@usc.edu>;



Fri, 26 Sep 1997 13:52:58 -0400 (EDT)

Received: from garnet1.acns.fsu.edu (garnet1.acns.fsu.edu [128.186.195.2])

by garnet.acns.fsu.edu (8.8.6/8.8.6) with ESMTP id NAA19522

for <aapornet@usc.edu>; Fri, 26 Sep 1997 13:52:58 -0400

Received: from sparker.coss.fsu.edu (sparker.ispa.fsu.edu [128.186.39.250])

by garnet1.acns.fsu.edu (8.8.6/8.8.6) with SMTP id NAA147696

for <aapornet@usc.edu>; Fri, 26 Sep 1997 13:52:57 -0400

Message-Id: <2.2.32.19970926175346.007140c4@garnet.fsu.edu>

X-Sender: sparker@garnet.fsu.edu

X-Mailer: Windows Eudora Pro Version 2.2 (32)

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

Date: Fri, 26 Sep 1997 13:53:46 -0400

To: aapornet@usc.edu

From: "Dr. Suzanne L. Parker" <sparker@garnet.acns.fsu.edu>

Subject: Re: hanging up and HSC preambles

At 03:47 PM 9/16/97 -0400, you wrote:

>First, thank you to everyone who replied to my request for information  
>on Sept 10 and to the survey directors with whom I spoke. My inquiry  
>was about Human Subjects Committees and a staff member who refused to  
>send out an innocuous survey for Human Subjects Committee \*review\*  
>until I added "you can hang up at any time" in the survey introduction.  
>I was told that this was required by federal guidelines.

>

>(1) No one is aware of any research that directly assesses whether a  
>statement such as "you can hang up at any time" has any \*comparative\*  
>impact on response rates or other data quality, although one  
>then-doctoral candidate who did add this to his survey through Florida

>State University felt his response rates were still OK. Clearly this is  
>one step past Eleanor Singer's work on confidentiality, anonymity etc!

>

>(2) More importantly no one could find a Federal Guideline that  
>requires those doing RDD surveys of adults to make such a direct  
>invitation to hang up in the preamble. Our IRB may be confusing RDD  
>surveys with telephone solicitations. I am now trying to obtain a  
>direct copy of the Federal Guidelines. I have a set of "Tips" put  
>together by the IRB that stresses the voluntary nature of participation  
>but have no "hang up" requirement in them either. Clearly these "Tips"  
>are insufficient under the circumstances.

>

>(3) AAPOR does not really address this issue readily, directly, or  
>easily either although some folks thought that we did. I called AAPOR  
>and was directed to our WEB site and explored everything on it,  
>including our code of ethics. I couldn't find anything directly related  
>to the "hang up" on it.

>

>(4) I did send over to the IRB office a slightly reworked phrase about  
>total participation "Your participation in the survey is totally  
>voluntary..." which was suggested by some responses. Thanks

>

>(5) Thanks in part to AAPOR support, we were able to move review of the  
>project past office staff out to a subcommittee which does "expedited  
>review" of surveys. I was part of a Faculty Senate subcommittee last  
>year that recommended such a two-tier process which is apparently is  
>now in place. However, I am informed by the HSC head that I must now  
>show I can be "waived" or "exempt" from Federal Guidelines...when I  
>have complied and have not violated any of them in the first place

>which I find very strange. I will keep you folks posted on our  
>progress. I am not very sanguine about it.

>

>(6) Given my experience, that of several people who contacted me, and  
>other FSU faculty, students and staff (I think my favorite is the IRB  
>member at "Unnamed U" who felt telephone surveys shouldn't be done  
>because someone might have a psychotic episode if the phone rang),  
>"human subjects protection" needs to be thoroughly reworked. So far I  
>have not encountered a shred of concern for protecting human subjects.  
>Instead, I find extreme fear that the University will be sued at the  
>slightest provocation and that  
>\*everything\* must be centered around doing so, even if the solutions  
>endanger academic freedom or the research enterprise itself. What is  
>happening is that instead (a) some researchers just ignore IRBs which is  
>harder to do with funded research but seems to happen anyway because (b) so  
>many people are getting fed up with these kinds of experiences that no one  
>will turn in people who don't go through them. I find this social process  
>truly dangerous to human subject protection and setting the stage for  
>tremendous problems. Second is that several of the inputs I got from  
>non-professional staff were, in fact, detrimental to informed consent.

Worse

>yet, there are NO SOCIAL SCIENTISTS ON OUR HUMAN SUBJECTS COMMITTEE. I  
>cannot imagine how such a situation has come to pass.

>

>Thanks again for all the support.

>

>Sue

>

>

>

>

>If time were money I'd be in debtor's prison.

>

>Susan Losh

>Department of Sociology

>Florida State University

>Tallahassee FL 32306-2270

>(850) 644-1753

>FAX (850) 644-6208

>

>

>Dear Susan,

Haven't had the opportunity to call you about the resolution of the above problem. From the message you left, it sounds like surveys are going to be exempt in the future--or is this just wishful thinking? I will try to give you a call this weekend to see what happened. Otherwise I will be in from 11:30-5:15 on Monday and I'll try to see if you are in.

Suzie Parker

>

>

>From [tsilver@CapAccess.org](mailto:tsilver@CapAccess.org) Fri Sep 26 13:58:30 1997

Received: from [cap1.CapAccess.org](mailto:cap1.CapAccess.org) ([tsilver@cap1.CapAccess.org](mailto:tsilver@cap1.CapAccess.org)

[207.91.115.50])

by [usc.edu](mailto:usc.edu) (8.8.4/8.8.4/usc) with SMTP

id NAA04550 for <aapornet@usc.edu>; Fri, 26 Sep 1997 13:58:26 -0700  
(PDT)  
Received: (from tsilver@localhost) by cap1.CapAccess.org (8.6.12/8.6.10) id  
RAA03514; Fri, 26 Sep 1997 17:04:17 -0400  
Date: Fri, 26 Sep 1997 17:04:17 -0400 (EDT)  
From: Tom Silver <tsilver@CapAccess.org>  
To: aapornet@usc.edu  
Subject: Presidential Polls  
Message-ID: <Pine.SUN.3.91-FP.970926165708.1770A-100000@cap1.capaccess.org>  
MIME-Version: 1.0  
Content-Type: TEXT/PLAIN; charset=US-ASCII

I thought the following, from our Sept. 29 issue, might be of interest  
to presidential poll-watchers.

Tom Silver, Editor  
THE POLLING REPORT  
PollingRpt@aol.com

---

GOVERNOR BUSH

by T. Keating Holland  
Director of Polling, CNN

Name recognition may be the reason why Texas Gov. George W. Bush is

beating other Republican presidential hopefuls in many recent polls. But are GOP voters under the impression that former President Bush is planning a comeback bid? CNN conducted an experiment recently to find out if rank-and-file Republicans have confused father and son.

The CNN/USA Today/Gallup poll of Sept. 6-7 presented respondents with a GOP primary laundry list of 11 candidates, including "Texas Gov. George W. Bush." Three days later, CNN went in the field again, this time with Time magazine. The CNN/Time poll of Sept. 10-11 included the same list, but referred to Bush as "Texas Gov. George W. Bush, son of the former President."

The results:

	CNN/ USA Today/ Gallup %	CNN/ Time %
Bush	21	19
Kemp	15	13
Quayle	10	8
Forbes	9	8
Whitman	9	5
Thompson	5	8
Gingrich	5	4
Buchanan	4	5
Alexander	3	4
Ashcroft	3	2
Smith	2	2

Conclusion: Bush may be benefitting from name recognition he inherited from his famous dad, but he is not leading the GOP field because rank-and-file Republicans think he is his famous dad.

### Bush as Frontrunner: Hedge Your Bets

In the past three months surveys by five different organizations have shown George W. Bush leading the GOP field (as long as Colin Powell's name is not included on the list) with between 19% and 24% of the vote.

Does this make Bush the GOP frontrunner?

The term frontrunner has been bandied about for years without any real precision. Safire's Political Dictionary simply defines a frontrunner as "the leading contender for a nomination." How early can a frontrunner be anointed? How much strength must a candidate command before he or she merits the title? The historical record is unclear.

### The Frontrunner Rule

But pollsters know better. We can't say with statistical certainty that one candidate is winning if his or her lead is within the margin of error. And a "leading contender" logically cannot be a candidate who might actually be in second place. So here's a suggested rule to follow if you're using polling data to crown a frontrunner: A candidate cannot be a frontrunner if his or her lead is smaller than the sampling error.

On the Democratic side Al Gore is a frontrunner (at least for now). His lead in those same five polls ranges from 17 to 56 points, based on

samples with sampling errors of about 5 percentage points.

Bob Dole was a frontrunner in 1996. Just before the first primaries he was posting leads of about 30 points in polls with similar sampling errors. The same was true of frontrunner Bill Clinton in 1992.

But we cannot say with statistical certainty that George W. Bush is the leading GOP contender. The margin of error in each of the five polls is about 5.5 percentage points. That means Bush's lead in all of them should be more than 11 points before we say absolutely, positively that he leads the pack. In fact, his lead in those five polls is between three and seven points. So the Texas Governor is not the GOP frontrunner--at least not yet.

(c) 1997, The Polling Report, Inc.

>From mitofsky@mindspring.com Sat Sep 27 12:15:24 1997

Received: from camel14.mindspring.com (camel14.mindspring.com [207.69.200.64])

by usc.edu (8.8.4/8.8.4/usc) with ESMTP

id MAA11072 for <aapornet@usc.edu>; Sat, 27 Sep 1997 12:15:20 -0700

(PDT)

Received: from warrenmi (ip73.an5-new-york4.ny.pub-ip.psi.net [38.26.16.73])

by camel14.mindspring.com (8.8.5/8.8.5) with SMTP id PAA27341

for <aapornet@usc.edu>; Sat, 27 Sep 1997 15:15:12 -0400 (EDT)

Message-Id: <1.5.4.32.19970927191318.00688a1c@pop.mindspring.com>

X-Sender: mitofsky@pop.mindspring.com

X-Mailer: Windows Eudora Light Version 1.5.4 (32)

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

Date: Sat, 27 Sep 1997 15:13:18 -0400

To: aapornet@usc.edu



From: Warren Mitofsky <mitofsky@mindspring.com>

Subject: Re: Presidential Polls

I disagree with Tom Silver when he said that Texas Gov. George W. Bush is not the GOP frontrunner because his lead is smaller than the 11 percentage points he claims is the margin of error on the difference. Tom also said that in five polls Bush is in first place with 19% to 24% of the vote.

Gov. George W. Bush is the front runner at this time among Republicans.

First, when five polls all show Bush leading the sampling error across the five polls is much smaller than for a single poll. The sampling error on the difference for the five polls is certainly smaller than the 6 point lead shown in the two CNN/\* polls.

Second, the sampling error on the difference between Bush and Kemp for a single poll is smaller than 11% Tom cites, probably more like 8%. The 11% would be correct if the contest was a two candidated contest and there was only one poll.

At 05:04 PM 9/26/97 -0400, you wrote:

>I thought the following, from our Sept. 29 issue, might be of interest

>to presidential poll-watchers.

>

>Tom Silver, Editor

>THE POLLING REPORT

>PollingRpt@aol.com

> \_\_\_\_\_

>

>

>

>GOVERNOR BUSH

>

>by T. Keating Holland

>Director of Polling, CNN

>

>

>Name recognition may be the reason why Texas Gov. George W. Bush is

>beating other Republican presidential hopefuls in many recent polls. But

>are GOP voters under the impression that former President Bush is

>planning a comeback bid? CNN conducted an experiment recently to find

>out if rank-and-file Republicans have confused father and son.

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>a GOP primary laundry list of 11 candidates, including "Texas Gov.

>George W. Bush." Three days later, CNN went in the field again, this

>time with Time magazine. The CNN/Time poll of Sept. 10-11 included the

>same list, but referred to Bush as "Texas Gov. George W. Bush, son of

>the former President."

> The results:

>

>

> CNN/

> USA Today/ CNN/

> Gallup Time

> % %

>Bush 21 19

>Kemp 15 13

>Quayle 10 8

>Forbes 9 8

>Whitman 9 5

>Thompson 5 8

>Gingrich 5 4

>Buchanan 4 5

>Alexander 3 4

>Ashcroft 3 2

>Smith 2 2

>

>

> Conclusion: Bush may be benefitting from name recognition he

>inherited

>from his famous dad, but he is not leading the GOP field because

>rank-and-file Republicans think he is his famous dad.

>

>

>Bush as Frontrunner: Hedge Your Bets

>

>In the past three months surveys by five different organizations have

>shown George W. Bush leading the GOP field (as long as Colin Powell's

>name is not included on the list) with between 19% and 24% of the vote.

>Does this make Bush the GOP frontrunner?

> The term frontrunner has been bandied about for years without any

>real precision. Safire's Political Dictionary simply defines a

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>frontrunner be anointed? How much strength must a candidate command

>before he or she merits the title? The historical record is unclear.

>

>

>The Frontrunner Rule

>

>But pollsters know better. We can't say with statistical certainty that  
>one candidate is winning if his or her lead is within the margin of  
>error. And a "leading contender" logically cannot be a candidate who  
>might actually be in second place. So here's a suggested rule to follow  
>if you're using polling data to crown a frontrunner: A candidate cannot  
>be a frontrunner if his or her lead is smaller than the sampling error.

> On the Democratic side Al Gore is a frontrunner (at least for now).  
>His lead in those same five polls ranges from 17 to 56 points, based on  
>samples with sampling errors of about 5 percentage points.

> Bob Dole was a frontrunner in 1996. Just before the first primaries  
>he was posting leads of about 30 points in polls with similar sampling  
>errors. The same was true of frontrunner Bill Clinton in 1992.

> But we cannot say with statistical certainty that George W. Bush is  
>the leading GOP contender. The margin of error in each of the five polls  
>is about 5.5 percentage points. That means Bush's lead in all of them  
>should be more than 11 points before we say absolutely, positively that  
>he leads the pack. In fact, his lead in those five polls is between  
>three and seven points. So the Texas Governor is not the GOP  
>frontrunner--at least not yet.

>

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>

-----

Warren Mitofsky

MITOFSKY INTERNATIONAL

1 East 53rd Street -- 5th Floor

New York, NY 10022

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FAX: 212 980-3107

E-mail: mitofsky@mindspring.com

>From jwerner@jwdp.com Sun Sep 28 17:24:11 1997

Received: from vgnernet.net (qmailr@vgnernet.net [205.219.186.1])

by usc.edu (8.8.4/8.8.4/usc) with SMTP

id RAA28034 for <aapornet@usc.edu>; Sun, 28 Sep 1997 17:24:10 -0700

(PDT)

Received: (qmail 9080 invoked from network); 29 Sep 1997 00:24:11 -0000

Received: from plp7.vgnernet.net (HELO jwdp.com) (205.219.186.107)

by vgnernet.net with SMTP; 29 Sep 1997 00:24:10 -0000

Message-ID: <342EF4ED.F99F6B24@jwdp.com>

Date: Sun, 28 Sep 1997 20:23:09 -0400

From: Jan Werner <jwerner@jwdp.com>

Reply-To: jwerner@jwdp.com

X-Mailer: Mozilla 4.03 [en] (Win95; U)

MIME-Version: 1.0

To: aapornet@usc.edu

Subject: Re: Presidential Polls

References: <Pine.SUN.3.91-FP.970926165708.1770A-100000@cap1.capaccess.org>

Content-Type: text/plain; charset=iso-8859-1

Content-Transfer-Encoding: 8bit

Like Humpty Dumpty in Lewis Carroll's "Through the Looking-Glass", Tom

Silver is perfectly free to assign any meaning he wants to the word "frontrunner". That doesn't mean that his definition has any statistical validity.

What Mr. Silver calls the "margin of error" is the so-called "sampling error" reported by polling organizations, which is usually computed as a 95% confidence level for a 50% two-way split of a simple random sample, using the formula  $2 \cdot \sqrt{(p \cdot q) / (n - 1)}$ . For the surveys cited by Mr. Silver, this would indicate a sample size of around 400.

A 95% probability may be Mr. Silver's idea of "certainty", but the next time I board a plane I would prefer that the odds be somewhat better than 19 to 1 that I will land safely.

Even if we accept a 95% confidence level as adequate for opinion surveys, we should still be using the total error--which is generally unknown--for that purpose rather than the sampling error. So let's pretend that that there are no context or question order effects and generally no bias from interviewer interactions or any other sources.

Also that we have properly defined our sampling frame (do "rank and file Republicans" really select the nominee?) and can actually identify its members when conducting our survey.

Next, we face the problem that the completion rate for telephone surveys runs around 33% (according to SSI). Even applying the more lenient "cooperation rate" of 65% used by some, the error range for a 50% split should be properly stated as +/-17.5% for a sample of that size at a 95% probability level, according to Deming, Cochran and other authorities on sampling. So let's pretend that the minority who deign to answer our survey

are distributed exactly as the total population and that we can safely ignore any error from non-response.

Now we still have an error computation that is based on the normal approximation to the binomial distribution. This assumes an approximately normal distribution (hey, we're just pretending, so we can assume that too), but more importantly, that we are looking at the occurrence vs. the non-occurrence of a given event, rather than at interactions between multiple mutually exclusive, and therefore not independent, events.

Even In our make-believe world, this means that our stated sampling error only tells us about the probability that the proportion of the population selecting a candidate should be attributed to chance, not the differences between many candidates.

And in the first place, the confidence interval is not linear. Assuming all of the above, for a 20% proportion, it is 4%, and at 15%, it is 3.5%, rather than the stated 5% at 50%.

So, if it pleases Mr. Silver to say that the leader in a poll is a "frontrunner" only if he leads by more than the stated "margin of error", or because his aunt Zelda read it in her tea leaves, or because space aliens told him so, that is his right. After all, anyone who cites William Safire as an authority can't be too picky.

Just don't pretend that there is a "scientific" basis to this definition.

As far as I am concerned, if one is willing to believe the results cited, George Bush is the frontrunner.

Jan Werner

jwerner@jwdp.com

---

Tom Silver wrote:

>

> I thought the following, from our Sept. 29 issue, might be of interest

> to presidential poll-watchers.

>

> Tom Silver, Editor

> THE POLLING REPORT

> PollingRpt@aol.com

> \_\_\_\_\_

>

> GOVERNOR BUSH

>

> by T. Keating Holland

> Director of Polling, CNN

>

> Name recognition may be the reason why Texas Gov. George W. Bush is

> beating other Republican presidential hopefuls in many recent polls.

> But are GOP voters under the impression that former President Bush is

> planning a comeback bid? CNN conducted an experiment recently to find

> out if rank-and-file Republicans have confused father and son.

> The CNN/USA Today/Gallup poll of Sept. 6-7 presented respondents

> with a GOP primary laundry list of 11 candidates, including "Texas

> Gov. George W. Bush." Three days later, CNN went in the field again,

> this time with Time magazine. The CNN/Time poll of Sept. 10-11



> included the same list, but referred to Bush as "Texas Gov. George W.

> Bush, son of the former President."

> The results:

>

> CNN/

> USA Today/ CNN/

> Gallup Time

> % %

> Bush 21 19

> Kemp 15 13

> Quayle 10 8

> Forbes 9 8

> Whitman 9 5

> Thompson 5 8

> Gingrich 5 4

> Buchanan 4 5

> Alexander 3 4

> Ashcroft 3 2

> Smith 2 2

>

> Conclusion: Bush may be benefitting from name recognition he

> inherited from his famous dad, but he is not leading the GOP field

> because rank-and-file Republicans think he is his famous dad.

>

> Bush as Frontrunner: Hedge Your Bets

>

> In the past three months surveys by five different organizations have

> shown George W. Bush leading the GOP field (as long as Colin Powell's

> name is not included on the list) with between 19% and 24% of the

> vote. Does this make Bush the GOP frontrunner?

> The term frontrunner has been bandied about for years without any

> real precision. Safire's Political Dictionary simply defines a

> frontrunner as "the leading contender for a nomination." How early can

> a frontrunner be anointed? How much strength must a candidate command

> before he or she merits the title? The historical record is unclear.

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>

> But pollsters know better. We can't say with statistical certainty

> that one candidate is winning if his or her lead is within the margin

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> who might actually be in second place. So here's a suggested rule to

> follow if you're using polling data to crown a frontrunner: A

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> His lead in those same five polls ranges from 17 to 56 points, based

> on samples with sampling errors of about 5 percentage points.

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> polls is about 5.5 percentage points. That means Bush's lead in all of

> them should be more than 11 points before we say absolutely,

> positively that he leads the pack. In fact, his lead in those five

> polls is between three and seven points. So the Texas Governor is not

> the GOP frontrunner--at least not yet.

>

> (c) 1997, The Polling Report, Inc.

>From yd17@cornell.edu Mon Sep 29 09:11:18 1997

Received: from postoffice2.mail.cornell.edu (POSTOFFICE2.MAIL.CORNELL.EDU  
[132.236.56.10])

by usc.edu (8.8.4/8.8.4/usc) with ESMTP

id JAA22339 for <aapornet@usc.edu>; Mon, 29 Sep 1997 09:11:11 -0700

(PDT)

Received: from postoffice4.mail.cornell.edu ([128.253.61.148])

by postoffice2.mail.cornell.edu (8.8.5/8.8.5) with SMTP id MAA14466

for <aapornet@usc.edu>; Mon, 29 Sep 1997 12:11:06 -0400 (EDT)

Message-Id: <3.0.2.16.19970929121204.3de75b5a@postoffice4.mail.cornell.edu>

X-Sender: yd17@postoffice4.mail.cornell.edu

X-Mailer: QUALCOMM Windows Eudora Pro Version 3.0.2 (16) -- [Cornell

Modified]

Date: Mon, 29 Sep 1997 12:12:04

To: aapornet@usc.edu

From: Yasamin DiCiccio <yd17@cornell.edu>

Subject: list vs unlisted HH

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

I am looking for references that compare the differences between listed and unlisted households in general. Any articles that compare the demographic characteristics would be very useful.

Thanks for any help you can provide.

Yasamin DiCiccio

Computer-Assisted Survey Team

Cornell University

Ithaca, NY 14853

(607) 255-0148

>From beniger@rcf.usc.edu Mon Sep 29 10:25:56 1997

Received: from almaak.usc.edu (almaak.usc.edu [128.125.19.166])

by usc.edu (8.8.4/8.8.4/usc) with ESMTP

id KAA01025 for <aapornet@usc.edu>; Mon, 29 Sep 1997 10:25:54 -0700

(PDT)

Received: from localhost (beniger@localhost)

by almaak.usc.edu (8.8.4/8.8.4/usc) with SMTP

id KAA18765 for <aapornet@usc.edu>; Mon, 29 Sep 1997 10:25:53 -0700

(PDT)

Date: Mon, 29 Sep 1997 10:25:53 -0700 (PDT)

From: James Beniger <beniger@rcf.usc.edu>

To: AAPORNET <aapornet@usc.edu>

Subject: AAPOR Guidelines in the Media

Message-ID: <Pine.SV4.3.94.970929102318.17966A-100000@almaak.usc.edu>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

AAPOR Guidelines in the Media

AAPOR receives favorable coverage of our new guidelines for proper survey practices on the front page of this morning's Los Angeles Times business

section. Also cited is AAPOR member Evans Witt.

The article, headlined "There's No Method to Opinion Poll Madness," constitutes a detailed criticism of pseudo-polling via the Internet--the World Wide Web in particular. It appears in the weekly "Innovation" column written by Jonathan Weber, editor of the Times's weekly Monday "The Cutting Edge" business section, which is devoted to high technology.

Reproduced below is the section most relevant to AAPOR. Those interested in reading the entire article can find it at The Los Angeles Times web site, [www.latimes.com](http://www.latimes.com) (search there for "Jonathan Weber").

\*\*\*\*\*

Serious-minded pollsters, including those at major media organizations such as this one, have been battling the growth of "pseudo-polls" for years. The American Assn. for Public Opinion Research puts out a set of guidelines on proper polling practices, and they are elaborate: Producing meaningful results requires careful attention to sample selection, wording of questions, interviewing techniques and statistical analysis methods, among other things. Surveys relying on self-selection are considered worthless by definition.

While pseudo-polls often don't promise to be something they're not, it's asking a lot for people to think through the methodology, or lack thereof.

"If it's called a poll, folks are not going to look at it very carefully" to determine if it's real, says Evans Witt, executive director of the Voter News Service, an opinion research consortium. "That confusion ultimately degrades real survey research."

---

Jonathan Weber (jonathan.weber@latimes.com) is editor of The Cutting Edge, the Los Angeles Times weekly Monday business section devoted to high tech.

\*\*\*\*\*

>From lavrakas.1@osu.edu Mon Sep 29 12:29:57 1997

Received: from mail3.uts.ohio-state.edu (root@mail3.uts.ohio-state.edu [128.146.214.32])

by usc.edu (8.8.4/8.8.4/usc) with ESMTP

id MAA05553 for <aapornet@usc.edu>; Mon, 29 Sep 1997 12:29:52 -0700

(PDT)

Received: from lavrakas.1.acs.ohio-state.edu ([128.146.93.45] (may be forged))

by mail3.uts.ohio-state.edu (8.8.6/8.8.6) with SMTP id NAA29804

for <aapornet@usc.edu>; Mon, 29 Sep 1997 13:38:32 -0400 (EDT)

Message-Id: <2.2.32.19970929174436.008432c4@pop.service.ohio-state.edu>

X-Sender: lavrakas.1@pop.service.ohio-state.edu

X-Mailer: Windows Eudora Pro Version 2.2 (32)

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"

Date: Mon, 29 Sep 1997 13:44:36 -0400

To: aapornet@usc.edu

From: "Paul J. Lavrakas, Ph.D." <lavrakas.1@osu.edu>

Subject: Re: list vs unlisted HH

See TELEPHONE SURVEY METHODS (Lavrakas: Sage Pub., 1993; pp. 33-35)

At 12:12 PM 9/29/97 +0000, you wrote:

>I am looking for references that compare the differences between listed  
>and unlisted households in general. Any articles that compare the  
>demographic characteristics would be very useful.

>

>Thanks for any help you can provide.

>

>Yasamin DiCiccio

>

>Computer-Assisted Survey Team

>Cornell University

>Ithaca, NY 14853

>

>(607) 255-0148

>

>

>

\*\*\*\*\*

\* Paul J. Lavrakas, Ph.D. \*

\* Professor of Communication & Journalism \*

\* Professor of Public Policy & Management \*

\* Director, Survey Research Unit \*

\* College of Social & Behavioral Sciences, Ohio State University \*  
\* Derby Hall [Room 0126], 154 N. Oval Mall, Columbus OH 43210 \*  
\* Voice: 614-292-3468 Fax: 614-292-6673 E-mail: lavrakas.1@osu.edu \*  
\*\*\*\*\*

>From binddav@statcan.ca Mon Sep 29 13:04:58 1997

Received: from stcgate.statcan.ca (stcgate.statcan.ca [142.206.192.1])

by usc.edu (8.8.4/8.8.4/usc) with SMTP

id NAA16621 for <AAPORNET@usc.edu>; Mon, 29 Sep 1997 13:04:51 -0700

(PDT)

Received: (from root@localhost) by stcgate.statcan.ca (8.6.11/8.6.9) id

QAA25273; Mon, 29 Sep 1997 16:07:06 -0400

Received: from stcnet.statcan.ca(142.206.128.146) by stcgate via smap

(V1.3)

id sma025187; Mon Sep 29 20:06:33 1997

Received: from statcan.ca by statcan.ca (SMI-8.6/SMI-SVR4)

id QAA15284; Mon, 29 Sep 1997 16:05:44 -0400

Message-Id: <3.0.1.16.19970929160135.1ad70f38@142.206.128.146>

X-Sender: binddav@142.206.128.146 (Unverified)

X-Mailer: Windows Eudora Pro Version 3.0.1 (16)

Date: Mon, 29 Sep 1997 16:01:35

To: "AAPORNET" <AAPORNET@usc.edu>, "SRMSNet" <SRMSNET@UMDD.UMD.EDU>

From: "David A. Binder" <binddav@statcan.ca>

Subject: CONFERENCE and WORKSHOP: STATISTICS CANADA SYMPOSIUM 98 ON  
LONGITUDINAL ANALYSIS FOR COMPLEX SURVEYS

Mime-Version: 1.0

Content-Type: text/plain; charset="us-ascii"



## STATISTICS CANADA SYMPOSIUM 98

### LONGITUDINAL ANALYSIS FOR COMPLEX SURVEYS

Ottawa, Canada

May 19-22, 1998

#### CALL FOR PAPERS

Statistics Canada's fifteenth annual international methodology symposium will be on the topic of longitudinal analysis for complex surveys. In conjunction with this symposium, Statistics Canada and the Centre de recherches mathématiques (CRM), Université de Montréal, are sponsoring a workshop on this same topic. This workshop is one of the many events taking place during the CRM's theme year in statistics.

The focus of Symposium 98 is on recently developed methods in longitudinal data analysis. Emphasis will be given to the theory and application of longitudinal methods for data from complex surveys. The symposium will give participants an opportunity to meet colleagues who are involved in solving problems unique to the analysis of survey data, including David Binder, Wayne Fuller, Harvey Goldstein, Lisa Lavange, Jerry Lawless, Danny Pfeiffermann, and J.N.K. Rao.

We invite abstracts for papers related to the theme of Symposium 98. A non-exhaustive list of topics is included with this invitation. Papers concerning new or previously undocumented approaches, methodologies and applications are especially welcome. Academic researchers and practitioners from both the private and public sectors are encouraged to submit.

Abstracts of 200-300 words, in English or French, along with the presenter's name, affiliation, complete address, telephone and fax numbers and email address, should be sent to the address below. The deadline for abstracts is October 31, 1997. The final selection of papers will be announced by December 31, 1997.

Submit abstracts to:

Michael Hidioglou

Statistics Canada

11th floor, R.H. Coats Building

Ottawa, Ontario

Canada K1A 0T6

Telephone: (613)951-4767

Fax: (613)951-1462

email: [symposium98@statcan.ca](mailto:symposium98@statcan.ca)

Presenters must submit a draft paper, in English or French, by April 17, 1998, for the purposes of official simultaneous translation. The final version of a paper must be provided by June 30, 1998, in order to appear in the symposium proceedings.

NON-EXHAUSTIVE LIST OF TOPICS:

Preparing/storing survey data for longitudinal analysis.

Imputing for longitudinal data analysis.

Weighting issues with longitudinal surveys.

Gross flows - Methods of estimation and applications.

Multi-level modelling techniques and applications to longitudinal survey data (including random effects models).

Event history techniques and applications with survey data.

Marginal modelling and applications with survey data.

Software for applying longitudinal techniques to survey data.

Causal analysis of panel data.

FOR MORE INFORMATION, please visit our web site:

[www.statcan.ca/english/conferences/symposium98/index.htm](http://www.statcan.ca/english/conferences/symposium98/index.htm)

---

David A. Binder           | binddav@statcan.ca  
Director                 | az004@freenet.carleton.ca  
Business Survey Methods Division | TEL: (613) 951-0980 (Office)  
11-A R.H. Coats Building   | (613) 226-7292 (Home)  
Statistics Canada         | FAX: (613) 951-1462  
Ottawa, Ontario, CANADA K1A 0T6 |

>From tsilver@CapAccess.org Mon Sep 29 19:15:47 1997

Received: from cap1.CapAccess.org (tsilver@cap1.CapAccess.org  
[207.91.115.50])

by usc.edu (8.8.4/8.8.4/usc) with SMTP

id TAA16939 for <aapornet@usc.edu>; Mon, 29 Sep 1997 19:15:41 -0700  
(PDT)

Received: (from tsilver@localhost) by cap1.CapAccess.org (8.6.12/8.6.10) id  
WAA23638; Mon, 29 Sep 1997 22:21:39 -0400

Date: Mon, 29 Sep 1997 22:21:39 -0400 (EDT)

From: Tom Silver <tsilver@CapAccess.org>

To: aapornet@usc.edu

Subject: Presidential Polls -- A Clarification

Message-ID: <Pine.SUN.3.91-FP.970929220922.19982A-100000@cap1.capaccess.org>

MIME-Version: 1.0

Content-Type: TEXT/PLAIN; charset=US-ASCII

On Friday, 9/26, I posted an article ("Governor Bush") to AAPORnet that had originally been published in The Polling Report. I'm happy to see that it stimulated a discussion of the issues it raised about the interpretation of presidential horserace polls.

The first response, however, mistakenly referred to me as the author -- an error that has been carried forward in the thread on this topic. As the byline at the top of the article stated, it was written by T. Keating Holland, Director of Polling at CNN.

Tom Silver, Editor

THE POLLING REPORT

P.O. Box 42580

Washington, DC 20015

202 237-2000

202 237-2001 fax

PollingRpt@aol.com

>From caspar@rti.org Tue Sep 30 10:51:19 1997

Received: from cscnts3.rti.org (cscnts3.rti.org [152.5.128.49])

by usc.edu (8.8.4/8.8.4/usc) with ESMTP

id KAA10728 for <aapornet@usc.edu>; Tue, 30 Sep 1997 10:51:05 -0700

(PDT)

Received: by cscnts3.rti.org with Internet Mail Service (5.0.1458.49)

id <TR53FZ8K>; Tue, 30 Sep 1997 13:50:22 -0400

Message-ID: <F7688A451B58CF11B8DA08002BE5A6C702577122@cscnts3.rti.org>

From: "Caspar, Rachel A." <caspar@rti.org>

To: "'aapornet@usc.edu'" <aapornet@usc.edu>

Cc: "Caspar, Rachel A." <caspar@rti.org>, "Pate, D. Kirk" <dkp@rti.org>

Subject: Job openings at RTI

Date: Tue, 30 Sep 1997 13:50:20 -0400

X-Priority: 3

MIME-Version: 1.0

X-Mailer: Internet Mail Service (5.0.1458.49)

Content-Type: text/plain

SURVEY DIRECTORS

The Research Triangle Institute, a leading nonprofit survey research

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RESEARCH TRIANGLE PARK, NC 27709-2194  
(E-MAIL: [DKP@RTI.ORG](mailto:DKP@RTI.ORG); FAX: 919-541-1261)

To learn more about RTI, please visit our Web Site at [www.rti.org](http://www.rti.org).

EOE/AA M/F/D/V

Rachel A. Caspar  
Survey Methodologist

Research Triangle Institute Phone:

(919) 541-6376

P.O. Box 12194

Fax:

(919) 541-1261

Research Triangle Park, NC 27709-2194 Internet:

caspar@rti.org

>From lockhadc@Maritz.com Tue Sep 30 11:32:43 1997

Received: from gatekeeper.maritz.com (firewall-user@[209.96.36.2])

by usc.edu (8.8.4/8.8.4/usc) with ESMTP

id LAA15763 for <aapornet@usc.edu>; Tue, 30 Sep 1997 11:32:23 -0700

(PDT)

Received: by gatekeeper.maritz.com; id OAA08231; Tue, 30 Sep 1997 14:54:47

-0400 (EDT)

Received: from mifen-comm01.maritz.com(156.45.55.30) by

gatekeeper.maritz.com via smap (3.2)

id xmac04698; Tue, 30 Sep 97 14:51:14 -0400

Received: by mifen-comm01.maritz.com with Internet Mail Service

(5.0.1458.49)

id <T6QHY0B6>; Mon, 29 Sep 1997 21:23:46 -0500

Message-ID: <295401AFA48ED011B0D200805F313285C3F589@mifen-comm07.maritz.com>

From: "Lockhart, Dan C." <lockhadc@Maritz.com>

To: "'aapornet@usc.edu'" <aapornet@usc.edu>

Subject: RE: list vs unlisted HH

Date: Mon, 29 Sep 1997 21:23:15 -0500

X-Priority: 3

X-Mailer: Internet Mail Service (5.0.1458.49)

Paul,

As you may know I am not an academic and I rarely publish my work. It is generally proprietary for clients. However, I do have these data which may interest you. I may be interested in publishing some of this if you think there is a vehicle.

Here is some of what I have:

### Telephone Survey

Up to 5 attempts were made to contact individuals on this survey.

Contacts were made as follows:

One Attempt	Two Attempts	Three Attempts	Four Attempts
700	192	71	40

An initial list of 5,452 numbers were started to complete 1003 Telephone (Response Rate = 18%) Cost

Of these numbers:

- 1,332 were non-working
- 1,253 refused to participate
- 1,003 had completed interviews
- 376 were non-residential



491 were not called

299 had a fax or dataline

289 were disqualified due to quota or

other disqualification

198 reported that they conduct surveys

by mail only

89 had a language problem

67 terminated in mid-interview

39 were never available

16 were disqualified due to hearing or

other problem

A sample of individuals

A random sample of telephone numbers...

Internet: A population of individuals registered to be included  
in an internet survey sample...

Mail: A random sample of names and addresses was  
purchased from Donnelley Marketing.

Due to address availability the mail methodology was  
biased towards males, older individuals, and the lowest economic status  
groups.

Demographics

These data show that the telephone was a good method for

controlling the sample. Both the mail and Internet surveys produced samples biased towards Males.

#### Comparison by Gender

	RDD	Listed	U.S. Census	Internet	Telephone	Mail
Male	49%	58%	50%	61%		
Female	51%	42%	50%	39%		

Similar to the results for gender, the internet and mail samples appear to both provide biased samples on age. The internet sample was biased towards age groups younger than 55. The mail sample was biased towards individuals in older age groups. The mail sample resulted in so few young individuals that no further analyses were conducted on the 13 individuals in the youngest age category.

#### Comparison by Age

	Listed	RDD	U.S. Census	Internet	Telephone	Mail
18-24	13%	14%	11%	2%		
25-34	21%	32%	19%	13%		
35-44	22%	27%	24%	19%		
45-54	16%	20%	18%	20%		
55-64	11%	6%	11%	19%		
65 +	17%	1%	18%	27%		

100% 100% 100%

100%

These data indicate all methods resulted in undersampling the least wealthy income groups. The telephone was a fairly good method to control income demographics. Only a minor bias is noted in the telephone survey. The Internet resulted in the greatest bias. The internet had very few respondents in the lowest income groups and considerably excess respondents in the highest income groups. The mail survey had a bias toward the middle income groups and a slight bias toward the highest income groups.

### Comparison by Income

#### RDD

Listed

#### U.S. Census Internet

Telephone Mail

Under \$14.9K 22.6% 5% 15%

10%

\$15K - Under \$25K 16.7% 8% 15%

15%

\$25K - Under \$35K 14.2% 15% 16%

15%

\$35K - Under \$45K 10.9% 16% 16%

15%

\$45K - Under \$55K 8.7% 16% 12%

13%

\$55K - Under \$65K 6.6% 9% 7%

9%

Over \$65 K      20.3%    30%   19%

22%

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Best regards,

Dan Lockhart    :-)

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> -----

> From: Paul J. Lavrakas, Ph.D.[SMTP:lavrakas.1@osu.edu]

> Sent: Monday, September 29, 1997 12:44 PM

> To: aapornet@usc.edu

> Subject: Re: list vs unlisted HH

>

> See TELEPHONE SURVEY METHODS (Lavrakas: Sage Pub., 1993; pp. 33-35)

>

>

> At 12:12 PM 9/29/97 +0000, you wrote:

>>I am looking for references that compare the differences between

> listed and

>>unlisted households in general. Any articles that compare the

> demographic

>>characteristics would be very useful.

>>

>>Thanks for any help you can provide.

>>

>>Yasamin DiCiccio

>>

>>Computer-Assisted Survey Team

>>Cornell University

>>Ithaca, NY 14853

>>

>>(607) 255-0148

>>

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